[DISCUSSION DRAFT]

April 6, 2015

114TH CONGRESS 1ST SESSION



To amend the General Education Provisions Act to strengthen privacy protections for students and parents.

IN THE HOUSE OF REPRESENTATIVES

M____ introduced the following bill; which was referred to the Committee on _____

A BILL

To amend the General Education Provisions Act to strengthen privacy protections for students and parents.

1 Be it enacted by the Senate and House of Representa-

2 tives of the United States of America in Congress assembled,

3 SECTION 1. SHORT TITLE.

4 This Act may be cited as the "_____ Act".

5 SEC. 2. PROTECTION OF THE RIGHTS AND PRIVACY OF 6 PARENTS AND STUDENTS.

7 Section 444 of the General Education Provisions Act

8 (20 U.S.C. 1232g) is amended to read as follows:

 $\mathbf{2}$

"SEC. 444. PROTECTION OF THE RIGHTS AND PRIVACY OF PARENTS AND STUDENTS.

3 "(a) IN GENERAL.—No funds shall be made available
4 under any applicable program to any educational agency
5 or institution unless such agency or institution complies
6 with the following requirements:

7 "(1) PARENTAL ACCESS.—Except as provided 8 in subsection (b), the educational agency or institu-9 tion shall not deny or effectively prevent the parents 10 of students who are or have been in attendance at 11 a school of such agency or at such institution, as the 12 case may be, the right to inspect and review the edu-13 cational records of their children. If any material or 14 document in the education record of a student in-15 cludes information on more than one student, a par-16 ent shall have the right to inspect and review only 17 such part of such material or document that relates 18 to such parent's child.

"(2) REASONABLE RESPONSE TIME.—The educational agency or institution shall establish appropriate procedures for the granting of a request by
parents for access to the education records of their
children within a reasonable period of time, but in
no case more than 30 days after the request has
been made.

1 "(3) INSPECTION AND REVIEW.—The agency or 2 institution shall not deny the parents of students the 3 right to inspect and review the education records 4 maintained by the State educational agency on their 5 children who are or have been in attendance at any 6 school of an educational agency or institution that is subject to the provisions of this section. 7 "(4) ADJUSTMENTS TO RECORD.—The edu-8 9 cational agency or institution shall provide parents 10 of students who are or have been in attendance at 11 a school of such agency or at such institution an op-12 portunity to— 13 "(A) challenge and correct the content of 14 the record that is inaccurate or misleading at 15 the time of attendance or otherwise in violation 16 of privacy rights of students through a hearing 17 by such agency or institution, in accordance 18 with the regulations of the Secretary; and 19 "(B) insert into such records a written ex-20 planation of the parents respecting the content 21 of such records. 22 "(5) Release of records without paren-23 TAL CONSENT.—The educational agency or institu-24 tion shall not permit the release of education records 25 personally identifiable information contained (or

therein other than directory information) of students
 without the written consent of their parents to any
 individual, agency, or organization, [person?] other
 than to—

5 "(A) other school officials, including teach-6 ers within the educational institution or local 7 educational agency, who have been determined 8 by such agency or institution to have legitimate 9 educational interests, including the educational 10 interests of the child for whom consent would 11 otherwise be required;

12 "(B) officials of other schools or school 13 systems in which the student seeks or intends 14 to enroll, upon condition that the student's par-15 ents be notified of the transfer, receive a copy 16 of the record if desired, and have an oppor-17 tunity for a hearing to challenge the content of 18 the record;

19 "(C) officials working for or on behalf of
20 the following for audit or evaluation or enforce21 ment [, including monitoring]—

22 "(i) authorized representatives of (I)
23 the Comptroller General of the United
24 States, (II) the Secretary, (III) State edu25 cational authorities, or (IV) the Food and

| 1 | Nutrition Service for purposes of subpara- |
|----|--|
| 2 | graph (L); or |
| 3 | "(ii) authorized representatives of the |
| 4 | Attorney General for law enforcement pur- |
| 5 | poses; |
| 6 | "(D) officials in connection with a stu- |
| 7 | dent's application for, or receipt of, financial |
| 8 | aid; |
| 9 | "(E) State and local officials or authorities |
| 10 | to whom such information is specifically allowed |
| 11 | to be reported or disclosed pursuant to State |
| 12 | statute regarding the juvenile justice system, in- |
| 13 | cluding truancy; |
| 14 | "(F) organizations conducting studies for, |
| 15 | or on behalf of, educational agencies or institu- |
| 16 | tions so long as— |
| 17 | "(i) such studies are conducted in |
| 18 | such a manner as will not permit the per- |
| 19 | sonal identification of students and their |
| 20 | parents by persons other than representa- |
| 21 | tives of such organizations and such infor- |
| 22 | mation will be destroyed when no longer |
| 23 | needed for the purpose for which it is con- |
| 24 | ducted; |

| 1 | ["(ii) parents have been notified of |
|----|--|
| 2 | the study and have had a reasonable |
| 3 | amount of time to opt out; and] |
| 4 | "(iii) the organization has agreed to |
| 5 | and has appropriate security that meets |
| 6 | the requirements under paragraphs (8), |
| 7 | (11), and (12); |
| 8 | "(G) accrediting organizations in order to |
| 9 | carry out their accrediting functions; |
| 10 | "(H) parents of a dependent student of |
| 11 | such parents, as defined in section 152 of the |
| 12 | Internal Revenue Code of 1986; |
| 13 | "(I) in connection with an emergency, ap- |
| 14 | propriate persons if the knowledge of such in- |
| 15 | formation is necessary to protect the health or |
| 16 | safety of the student or other persons; |
| 17 | "(J) the entity or persons designated in a |
| 18 | Federal grand jury subpoena, in which case the |
| 19 | court shall order, for good cause shown, the |
| 20 | educational agency or institution (and any offi- |
| 21 | cer, director, employee, agent, or attorney for |
| 22 | such agency or institution) on which the sub- |
| 23 | poena is served, to not disclose to any person |
| 24 | the existence or contents of the subpoena or |

2

7

any information furnished to the grand jury in response to the subpoena;

"(K) the entity or persons designated in 3 4 any other subpoena issued for a law enforce-5 ment purpose, in which case the court or other 6 issuing agency may order, for good cause 7 shown, the educational agency or institution 8 (and any officer, director, employee, agent, or 9 attorney for such agency or institution) on which the subpoena is served, to not disclose to 10 11 any person the existence or contents of the sub-12 poena or any information furnished in response 13 to the subpoena;

14 "(L) the Secretary of Agriculture, or au-15 thorized representative from the Food and Nu-16 trition Service acting on behalf of the Food and 17 Nutrition Service, for the purposes of con-18 ducting program monitoring, evaluations, and 19 performance measurements of State and local 20 educational and other agencies and institutions 21 receiving funding or providing benefits of 1 or 22 more programs authorized under the Richard 23 B. Russell National School Lunch Act (42) 24 U.S.C. 1751 et seq.) or the Child Nutrition Act 25 of 1966 (42 U.S.C. 1771 et seq.) for which the

| 1 | results will be reported in an aggregate form |
|----|--|
| 2 | that does not identify any individual, on the |
| 3 | conditions that— |
| 4 | "(i) any data collected under this sub- |
| 5 | paragraph shall be protected in a manner |
| 6 | that will not permit the personal identifica- |
| 7 | tion of students and their parents by other |
| 8 | than the authorized representatives of the |
| 9 | Secretary; |
| 10 | "(ii) any personally identifiable data |
| 11 | shall be destroyed when the data are no |
| 12 | longer needed for program monitoring, |
| 13 | evaluations, and performance measure- |
| 14 | ments; and |
| 15 | "(iii) the parents of the student have |
| 16 | been notified that the student's educational |
| 17 | records will be released for the purposes |
| 18 | described in this subparagraph; |
| 19 | "(M) an agency caseworker or other rep- |
| 20 | resentative of a State or local child welfare |
| 21 | agency, or tribal organization (as defined in |
| 22 | section 4 of the Indian Self-Determination and |
| 23 | Education Assistance Act (25 U.S.C. 450b)), |
| 24 | who has the right to access a foster youth's |
| 25 | case plan, as defined and determined by the |

1 State or tribal organization, when such agency 2 or organization is legally responsible, in accordance with State or tribal law, for the care and 3 4 protection of the student, provided that the 5 education records, or the personally identifiable 6 information contained in such records, of the 7 student will not be disclosed by such agency or 8 organization, except to an individual or entity 9 engaged in addressing the student's education 10 needs and authorized by such agency or organi-11 zation to receive such disclosure and such dis-12 closure is consistent with the State or tribal 13 laws applicable to protecting the confidentiality 14 of a student's education records (except that 15 nothing in this subparagraph shall prevent a State from further limiting the number or type 16 17 of State or local officials who will continue to 18 have access thereunder); 19 "(N) a party in compliance with paragraph 20 (11), as long as adequate parental notice is

20 (11), as long as adequate parental notice is 21 given regarding such access and the parent is 22 [given a reasonable amount of time to opt out 23 of such sharing]; or

1 "(0) an education service provider that is 2 providing services to a school official and is in 3 compliance with paragraphs (8), (11), and (12). "(6) PROHIBITION ON RELEASE OF PERSON-4 5 IDENTIFIABLE INFORMATION.—The edu-ALLY 6 cational agency or institution shall not have a policy 7 or practice of releasing, or providing access to, any 8 personally identifiable information in education 9 records other than directory information, or as is 10 permitted under paragraph (1), unless— 11 "(A) there is written consent from the stu-12 dent's parents specifying records to be released, 13 the reasons for such release, and to whom, and 14 with a copy of the records to be released to the 15 student's parents and the student if desired by 16 the parents; or 17 "(B) except as provided in subparagraphs 18 (J) and (K) of paragraph (5), such information 19 is furnished in compliance with a judicial order, 20 or pursuant to any lawfully issued subpoena, 21 upon condition that parents and the students 22 are notified of all such orders or subpoenas in 23 advance of the compliance therewith by the edu-

cational institution or agency, except when a

parent is a party to a court proceeding involv-

24

ing child abuse and neglect (as defined in section 3 of the Child Abuse Prevention and
Treatment Act (42 U.S.C. 5101 note)) or dependency matters, and the order is issued in
the context of that proceeding, additional notice
to the parent by the educational agency or institution is not required.

8 "(7) RECORDKEEPING.—The educational agen-9 cy or institution shall maintain a record, kept with 10 the education records of each student, which will in-11 dicate all individuals (other than those specified [in 12 subsection (b)), agencies, or organizations [per-13 sons? which have requested or obtained access to a 14 student's education record maintained by such edu-15 cational agency or institution, and which will indi-16 cate specifically the legitimate interest that each 17 such person, agency, or organization has in obtain-18 ing this information. Such record of access shall be 19 available only to parents, to the school official and 20 his assistants who are responsible for the custody of 21 such records, and to the Secretary or his designee as 22 a means of monitoring compliance with this section. 23 "(8) SECURITY PRACTICES.—The educational 24 agency or institution shall establish, implement, and

| 1 | enforce policies and procedures regarding informa- |
|----|---|
| 2 | tion security practices that— |
| 3 | "(A) serve to protect the education records |
| 4 | and personally identifiable information held or |
| 5 | maintained by the educational agency or insti- |
| 6 | tution; and |
| 7 | "(B) require any party that maintains or |
| 8 | stores such personally identifiable information |
| 9 | to follow the information security practices es- |
| 10 | tablished under this paragraph. |
| 11 | "(9) DUTY TO INFORM.—The educational agen- |
| 12 | cy or institution shall effectively inform the parents |
| 13 | of students, or the students (if such students are 18 |
| 14 | years of age or older, or are attending an institution |
| 15 | of higher education) of the rights accorded them by |
| 16 | this section. |
| 17 | "(10) DESIGNATED OFFICIAL.—The edu- |
| 18 | cational agency or institution shall designate an offi- |
| 19 | cial who shall be responsible for maintaining the se- |
| 20 | curity of the education records maintained by such |
| 21 | agency or institution. |
| 22 | "(11) WRITTEN AGREEMENT.—Before sharing |
| 23 | information with a party, the educational agency or |
| 24 | institution shall enter into a contract, memorandum |

| 1 | of understanding, or other means of written agree- |
|----|--|
| 2 | ment with such party, which shall include— |
| 3 | "(A) clear provisions outlining how and |
| 4 | what information shall be transferred to the |
| 5 | party; |
| 6 | "(B) a description of any subcontractor or |
| 7 | person acting for the party approved by the |
| 8 | educational agency or institution for providing |
| 9 | assistance to the party in carrying out its duties |
| 10 | under the agreement; |
| 11 | "(C) an agreement by the party to prohibit |
| 12 | any other party from having access to person- |
| 13 | ally identifiable information, except that the |
| 14 | agreement described in this subparagraph shall |
| 15 | not apply— |
| 16 | "(i) when collection of personally iden- |
| 17 | tifiable information is specifically author- |
| 18 | ized by Federal law; or |
| 19 | "(ii) with respect to a subcontractor |
| 20 | or person acting for the party described in |
| 21 | subparagraph (B); |
| 22 | "(D) guarantees that personally identifi- |
| 23 | able information will be secured by digital or |
| 24 | physical means by such party and an agreement |
| 25 | to demonstrate the digital or physical means |

| 1 | that will secure such information from unau- |
|----|--|
| 2 | thorized access; |
| 3 | "(E) the penalties for a security breach in |
| 4 | violation of the agreement; and |
| 5 | "(F) a requirement that the party notify |
| 6 | parents and students of the policies, procedures, |
| 7 | and means the party uses to protect the secu- |
| 8 | rity of personally identifiable information held |
| 9 | by the party. |
| 10 | "(12) Requirements for access.— |
| 11 | "(A) The educational agency or institution |
| 12 | shall ensure that any party with access to edu- |
| 13 | cation records with personally identifiable infor- |
| 14 | mation complies with the following: |
| 15 | "(i) Any education records that are |
| 16 | held by the party shall be handled and |
| 17 | stored in a manner that meets or surpasses |
| 18 | the privacy protection that would be pro- |
| 19 | vided if the records were held or stored by |
| 20 | an educational agency or institution in ac- |
| 21 | cordance with this section, to include pro- |
| 22 | viding parents with— |
| 23 | ["(I) the right to access, either |
| 24 | through the educational agency or in- |
| 25 | stitution providing access to the party |

| 1 | or the party directly if agreed to in |
|----|---|
| 2 | paragraph (11), the personally identi- |
| 3 | fiable information held about their |
| 4 | students by the party, to the same ex- |
| 5 | tent and in the same manner as pro- |
| 6 | vided in paragraph (1); and |
| 7 | ["(II) a process to challenge, |
| 8 | correct, or delete any inaccurate, mis- |
| 9 | leading, or otherwise inappropriate |
| 10 | data in any education records of such |
| 11 | student that are held by the party, |
| 12 | through a hearing by the agency or |
| 13 | institution providing the party with |
| 14 | access, in accordance with paragraph |
| 15 | (4).] |
| 16 | "(ii) The party shall maintain a |
| 17 | record of all individuals, agencies, or orga- |
| 18 | nizations [persons?] that have requested |
| 19 | or obtained access to the education records |
| 20 | of a student held by the party, in the same |
| 21 | manner as is required under paragraph |
| 22 | (7). |
| 23 | "(iii) The party shall have policies or |
| 24 | procedures in place regarding information |
| 25 | security practices regarding education |
| | |

| 1 | records, in accordance with paragraph (8), |
|----|--|
| 2 | and shall meet the requirements of each |
| 3 | agreement with an educational agency or |
| 4 | institution entered into pursuant to para- |
| 5 | graph (11). |
| 6 | "(B) The educational agency or institution |
| 7 | shall not transfer personally identifiable infor- |
| 8 | mation to a party or any subcontractor or per- |
| 9 | son acting for a party, unless such agency or |
| 10 | institution and the party have an agreement |
| 11 | that meets the requirements of paragraph (11), |
| 12 | and the party is in compliance with the require- |
| 13 | ments of paragraphs (8) and (12) . If a party |
| 14 | permits access to information or fails to destroy |
| 15 | information in violation of this section, the edu- |
| 16 | cational agency or institution shall be prohib- |
| 17 | ited from permitting access to information from |
| 18 | education records to that party or individuals |
| 19 | who worked for or with such party at that time |
| 20 | for a period of not less than 12 years. |
| 21 | "(13) PARENTAL NOTICE ON DISCLOSURE OF |
| 22 | INFORMATION.— |
| 23 | "(A) Any educational agency or institution |
| 24 | making public directory information shall— |

| 1 | "(i) give public notice of the cat- |
|----|---|
| 2 | egories of information which it has des- |
| 3 | ignated as such information with respect to |
| 4 | each student attending the institution or |
| 5 | agency; |
| 6 | "(ii) provide the information in such |
| 7 | public notice to the parents of each such |
| 8 | student in an easy-to-understand notice; |
| 9 | and |
| 10 | "(iii) allow a reasonable period of time |
| 11 | after such notice has been given under |
| 12 | clause (ii), but not less than 15 days, for |
| 13 | a parent to inform the institution or agen- |
| 14 | cy that any or all of the information des- |
| 15 | ignated should not be released without the |
| 16 | parent's prior consent. |
| 17 | "(B) Any educational agency or institution |
| 18 | sharing information contained in an education |
| 19 | record shall— |
| 20 | "(i) give parents notice of the sharing |
| 21 | in an easy-to-understand format; and |
| 22 | "(ii) make available the written agree- |
| 23 | ments between the agency or institution |
| 24 | and the party receiving the information. |

"(b) RESTRICTIONS ON STUDENT ACCESS.—Nothing
 in this section shall be construed to make available to stu dents in institutions of higher education the following ma terials:

5 "(1) Financial records of the parents of the6 student or any information contained therein.

"(2) Confidential letters and statements of recommendation, which were placed in the education
records prior to January 1, 1975, if such letters or
statements are not used for purposes other than
those for which they were specifically intended.

"(3) If the student has signed a waiver of the
student's right of access in accordance with subsection (c), confidential recommendations—

15 "(A) respecting admission to any edu-16 cational agency or institution;

17 "(B) respecting an application for employ-18 ment; and

19 "(C) respecting the receipt of an honor or20 honorary recognition.

"(c) WAIVER.—A student or a person applying for
admission may waive the right to access to confidential
statements described in subsection (b)(3), except that such
waiver shall apply to recommendations only if—

"(1) the student is, upon request, notified of
 the names of all persons making confidential rec ommendations; and

4 "(2) such recommendations are used solely for
5 the purposes for which they were specifically in6 tended. Such waivers may not be required as a con7 dition for admission to, receipt of financial aid from,
8 or receipt of any other services or benefits from such
9 agency or institution.

10 "(d) Access by Authorized Representatives.—

11 "(1) An authorized representative shall not re-12 lease any educational records or personally identifi-13 able information collected while serving as an au-14 thorized representative to any individual, agency, or 15 organization, [person?] other than the official under 16 whom the authorized representative is under the di-17 rect control.

18 "(2) An authorized representative may collect
19 and share personally identifiable information when—
20 "(A) there is written consent from the student's parent specifying the records to be re-

leased or the collection of personally identifiable
information is specifically authorized by Federal
law as described in paragraph (3), and

"(B) the authorized representative is in
 compliance with the requirements of paragraphs
 (8), (11), and (12) of subsection (a).

"(3) Nothing contained in this subsection shall 4 5 preclude an authorized representative from having 6 access to student or other records which may be nec-7 essary in connection with the audit and evaluation of 8 a federally supported education program, or in con-9 nection with the enforcement [, including moni-10 toring, of the Federal legal requirements which re-11 late to such program.

12 "(e) Access by State and Local Officials.— Nothing in this section shall be construed to prohibit State 13 14 and local educational officials from having access to stu-15 dent or other records which may be necessary in connection with the audit and evaluation of any federally sup-16 17 ported or State-supported education program or in connection with the enforcement [, including monitoring,] of 18 19 the Federal legal requirements which relate to any such program, subject to the conditions specified in this section. 20

21 "(f) DISCLOSURE IN DISCIPLINARY PROCEEDINGS.—

"(1) Nothing in this section shall be construed
to prohibit an institution of higher education from
disclosing, to an alleged victim of any crime of violence (as that term is defined in section 26 of title

18, United States Code), or a nonforcible sex of fense, the final results of any disciplinary proceeding
 conducted by such institution against the alleged
 perpetrator of such crime or offense with respect to
 such crime or offense.

6 "(2) Nothing in this section shall be construed 7 to prohibit an institution of postsecondary education 8 from disclosing the final results of any disciplinary 9 proceeding conducted by such institution against a 10 student who is an alleged perpetrator of any crime 11 of violence (as that term is defined in section 16 of 12 title 18, United States Code), or a nonforcible sex 13 offense, if the institution determines as a result of 14 that disciplinary proceeding that the student com-15 mitted a violation of the institution's rules or poli-16 cies with respect to such crime or offense.

17 "(3) For the purpose of this paragraph, the18 final results of any disciplinary proceeding—

"(A) shall include only the name of the
student, the violation committed, and any sanction imposed by the institution on that student;
and

23 "(B) may include the name of any other
24 student, such as a victim or witness, only with
25 the written consent of that other student.

"(g) DISCLOSURE UNDER ADAM WALSH CHILD PRO TECTION AND SAFETY ACT OF 2006.—

3 "(1) Nothing in this section may be construed
4 to prohibit an educational institution from disclosing
5 information provided to the institution under the
6 Adam Walsh Child Protection and Safety Act of
7 2006 (42 U.S.C. 16901 et seq.).

8 "(2) The Secretary shall take appropriate steps 9 to notify educational institutions that disclosure of 10 information described in paragraph (1) is permitted. 11 "(h) MARKETING AND ADVERTISING BAN.—Except 12 for an educational authority sharing information on edu-13 cation opportunities—

14 "(1) no person with access to education records 15 or student personally identifiable information contained in the education record shall market or other-16 17 wise advertise directly to students with the use of 18 the information gained through access to personally 19 identifiable information or the education record; and 20 "(2) an educational agency or institution shall 21 not contract or enter into an agreement with an edu-22 cation service provider that has a policy or practice 23 of using, releasing, or otherwise providing access to 24 personally identifiable information in the education 25 records of a student, without the written consent of

the parents of such student, to advertise or market
 a product or service or for the development of com mercial products or services, except that this prohi bition shall not include official school pictures.

5 "(i) Regulations.—

6 "(1) The Secretary, in accordance with this section and section 446, shall adopt appropriate regula-7 8 tions or procedures or identify existing regulations 9 or procedures, which protect the rights of privacy of 10 students and their families in connection with any 11 surveys or data-gathering activities conducted, as-12 sisted, or authorized by the Secretary or an adminis-13 trative head of an education agency and ensure that 14 parents are aware of their rights under those sec-15 tions.

"(2) Regulations established under this subsection shall include provisions controlling the use,
dissemination, and protection of such data.

"(j) PROHIBITION ON DATA GATHERING.—No survey
or data-gathering activities shall be conducted by the Secretary, or an administrative head of an education agency
under an applicable program, unless such activities are authorized by law.

24 "(k) CONSENT OF STUDENT.—For the purposes of25 this section, whenever a student has attained 18 years of

age, or is attending an institution of higher education, the
 permission or consent required of and the rights accorded
 to the parents of the student shall thereafter only be re quired of and accorded to the student.

5 "(1) ENFORCEMENT.—The Secretary shall take appropriate actions to enforce this section and to address 6 7 violations of this section by an educational agency or insti-8 tution or a party with which such agency or institution 9 has agreed to share information, in accordance with this Act, which may include action to terminate Federal assist-10 11 ance if there is not voluntary compliance [and fines of 12 \$2,000 per student harmed up to a maximum of 13 \$500,000**]**.

14 "(m) DISCLOSURE OF DISCIPLINARY ACTIONS.—
15 Nothing in this section shall prohibit an educational agen16 cy or institution from—

"(1) including appropriate information in the
education record of any student concerning disciplinary action taken against such student for conduct
that posed a significant risk to the safety or wellbeing of that student, other students, or other members of the school community; and

23 "(2) disclosing such information to teachers24 and school officials, including teachers and school of-

| 1 | ficials | in | other | schools, | who | have | legitimate | edu- |
|---|---------|-------|----------|------------|-------|---------|--------------|------|
| 2 | cationa | al ir | iterests | s in the b | ehavi | or of t | the student. | |

3 "(n) Compliance Office.—

4 "(1) The Secretary shall establish or designate
5 an office within the Department for the purpose of
6 monitoring compliance with this section, inves7 tigating, processing, reviewing, and adjudicating vio8 lations of this section and investigating, processing,
9 reviewing, and adjudicating complaints which may
10 be filed concerning alleged violations of this section.

"(2) Except for the conduct of hearings, none
of the functions of the Secretary under this section
shall be carried out in any of the regional offices of
such Department.

15 "(o) DISCLOSURE OF DRUG AND ALCOHOL VIOLA-16 TIONS.—

17 "(1) IN GENERAL.—Nothing in this Act or the 18 Higher Education Act of 1965 shall be construed to 19 prohibit an institution of higher education from dis-20 closing, to a parent or legal guardian of a student, 21 information regarding any violation of any Federal, 22 State, or local law, or of any rule or policy of the 23 institution, governing the use or possession of alco-24 hol or a controlled substance, regardless of whether

| 1 | that information is contained in the student's edu- |
|----|---|
| 2 | cation records— |
| 3 | "(A) the student is under the age of 21; |
| 4 | and |
| 5 | "(B) the institution determines that the |
| 6 | student has committed a disciplinary violation |
| 7 | with respect to such use or possession. |
| 8 | "(2) STATE LAW REGARDING DISCLOSURE.— |
| 9 | Nothing in subsection (n) shall be construed to su- |
| 10 | persede any provision of State law that prohibits an |
| 11 | institution of higher education from making the dis- |
| 12 | closure described in subsection $(a)(1)$. |
| 13 | "(3) PROTECTION OF EDUCATIONAL AGENCY |
| 14 | OR INSTITUTION.—An educational agency or institu- |
| 15 | tion that, in good faith, produces education records |
| 16 | in accordance with an order issued under this sub- |
| 17 | section shall not be liable to any person for that pro- |
| 18 | duction. |
| 19 | "(p) Investigation and Prosecution of Ter- |
| 20 | RORISM.— |
| 21 | "(1) IN GENERAL.—Notwithstanding sub- |
| 22 | sections (a) through (o) or any provision of State |
| 23 | law, the Attorney General (or any Federal officer or |
| 24 | employee, in a position not lower than an Assistant |
| 25 | Attorney General, designated by the Attorney Gen- |

eral) may submit a written application to a court of
 competent jurisdiction for an ex parte order requir ing an educational agency or institution to permit
 the Attorney General (or his designee) to—

5 "(A) collect education records in the pos6 session of the educational agency or institution
7 that are relevant to an authorized investigation
8 or prosecution of an offense listed in section
9 2332b(g)(5)(B) of title 18, United States Code,
10 or an act of domestic or international terrorism
11 as defined in section 2331 of that title; and

12 "(B) for official purposes related to the in-13 vestigation or prosecution of an offense de-14 scribed in paragraph (1)(A), retain, dissemi-15 nate, and use (including as evidence at trial or 16 in other administrative or judicial proceedings) 17 such records, consistent with such guidelines as 18 the Attorney General, after consultation with 19 the Secretary, shall issue to protect confiden-20 tiality.

21 "(2) Application and Approval.—

22 "(A) An application under paragraph (1)
23 shall certify that there are specific and
24 articulable facts giving reason to believe that

| 1 | the education records are likely to contain in- |
|----|---|
| 2 | formation described in paragraph (1)(A). |
| 3 | "(B) The court shall issue an order de- |
| 4 | scribed in paragraph (1) if the court finds that |
| 5 | the application for the order includes the certifi- |
| 6 | cation described in subparagraph (A). |
| 7 | "(3) Record KEEPING EXCEPTION.—Subsection |
| 8 | (a)(7) does not apply to education records subject to |
| 9 | a court order under this subsection. |
| 10 | "(q) DEFINITIONS.—For purposes of this section, the |
| 11 | following definitions shall apply: |
| 12 | "(1) AUTHORIZED REPRESENTATIVE.—The |
| 13 | term 'authorized representative' means a person |
| 14 | who— |
| 15 | ["(A) is an employee or contracted indi- |
| 16 | vidual of an organization; |
| 17 | "(B) is designated as an authorized rep- |
| 18 | resentative by— |
| 19 | "(i) the Attorney General for purposes |
| 20 | of subsection (a)(5)(C)(ii); |
| 21 | "(ii) Comptroller General of the |
| 22 | United States; |
| 23 | "(iii) the Secretary; |
| 24 | "(iv) the State or local educational |
| 25 | authorities; or |

| 23 |
|---|
| "(v) the Food and Nutrition Service |
| for purposes of subsection $(a)(5)(L)$; and |
| (°(C) is under the direct control, as a con- |
| tractor or employee, of an official described in |
| subparagraph (B). |
| "(2) Directory information.—The term 'di- |
| rectory information' [includes, with respect to a stu- |
| dent, the student's name, address, telephone listing, |
| date and place of birth, major field of study, partici- |
| pation in officially recognized activities and sports, |
| weight and height (if the student is a member of an |
| athletic team), dates of attendance, degrees and |
| awards received, and the name of the educational |
| agency or institution most recently attended by the |
| student]. |
| "(3) Educational agency or institution.— |
| The term 'educational agency or institution' means |
| any public or private agency or institution which |
| is— |
| "(A) the recipient of funds under any ap- |
| plicable program; and |
| "(B) principally engaged in the provision |
| of education to students or which directs and |
| controls public elementary schools, public sec- |
| |

| 1 | ondary schools, or institutions of higher edu- |
|----|---|
| 2 | cation. |
| 3 | "(4) Education program.—The term 'edu- |
| 4 | cation program' means any program that— |
| 5 | "(A) is principally engaged in the provision |
| 6 | of education, including early childhood edu- |
| 7 | cation, elementary and secondary education, |
| 8 | higher education, special education, employment |
| 9 | or workforce development, career and technical |
| 10 | education, and adult education; and |
| 11 | "(B) is administered by an educational |
| 12 | agency or institution. |
| 13 | "(5) Education records.— |
| 14 | "(A) Except as provided in subparagraph |
| 15 | (B), the term 'education records' means those |
| 16 | records, files, documents, and other materials |
| 17 | which contain information directly related to a |
| 18 | student and are— |
| 19 | "(i) maintained, electronically or |
| 20 | physically, by an educational agency or in- |
| 21 | stitution, or by a person acting for such |
| 22 | agency or institution; |
| 23 | "(ii) accessible, collected, used, or |
| 24 | maintained by an education service pro- |

| 1 | vider [in the course of providing services |
|----|--|
| 2 | to a school official]; or |
| 3 | "(iii) created by or for the State edu- |
| 4 | cational authority even though a student |
| 5 | who attends a school subject to this section |
| 6 | may not attend a school run by such State |
| 7 | educational authority. |
| 8 | "(B) The term 'education records' does not |
| 9 | include— |
| 10 | "(i) records of instructional, super- |
| 11 | visory, and administrative personnel and |
| 12 | educational personnel ancillary thereto |
| 13 | which are in the sole possession of the |
| 14 | maker thereof and which are not accessible |
| 15 | or revealed to any other person; |
| 16 | "(ii) records maintained by a law en- |
| 17 | forcement unit of the educational agency |
| 18 | or institution that were created by that law |
| 19 | enforcement unit for the purpose of law |
| 20 | enforcement; |
| 21 | "(iii) in the case of persons who are |
| 22 | employed by an educational agency or in- |
| 23 | stitution but who are not in attendance at |
| 24 | such agency or institution, records made |
| 25 | and maintained in the normal course of |

1 business which relate exclusively to such 2 person in that person's capacity as an em-3 ployee and are not available for use for any 4 other purpose; or "(iv) records on a student who is 18 5 6 years of age or older, or is attending an in-7 stitution of postsecondary education, which 8 are made or maintained by a physician, 9 psychiatrist, psychologist, or other recognized professional or paraprofessional act-10 11 ing in his professional or paraprofessional

12 capacity, or assisting in that capacity, and 13 which are made, maintained, or used only 14 in connection with the provision of treat-15 ment to the student, and are not available 16 to anyone other than the student or per-17 sons providing such treatment, except that 18 such records can be personally reviewed by 19 a physician or other appropriate profes-20 sional of the student's choice.

21 "(6) EDUCATION SERVICE PROVIDER.—The 22 term 'education service provider' means any pro-23 vider, other than a school official or employee, of 24 services developed and targeted to students for an 25 educational purpose, whether specifically marketed

| 1 | to schools, institutions of higher education, edu- |
|----|---|
| 2 | cational agency or institution employees or officers, |
| 3 | or other individuals primarily engaged in the provi- |
| 4 | sion of education services. |
| 5 | "(7) INSTITUTION OF HIGHER EDUCATION.— |
| 6 | The term 'institution of higher education' has the |
| 7 | meaning given the term in section 102 of the Higher |
| 8 | Education Act of 1965 (20 U.S.C. 1002). |
| 9 | "(8) PARTY.— |
| 10 | "(A) Except as provided in subparagraph |
| 11 | (B), the term 'party' means any person in pos- |
| 12 | session of education records or personally iden- |
| 13 | tifiable information contained in an education |
| 14 | record. |
| 15 | "(B) The term 'party' does not include— |
| 16 | "(i) the student to whom an education |
| 17 | record pertains or a parent of such stu- |
| 18 | dent; |
| 19 | "(ii) an educational agency or institu- |
| 20 | tion that maintains the education record or |
| 21 | personally identifiable information, an em- |
| 22 | ployee or officer of such educational agency |
| 23 | or institution, or an individual, agency, or |
| 24 | organization [person?] acting for such |
| 25 | educational agency or institution; |
| | |

| | 01 |
|----|---|
| 1 | "(iii) an authorized representative; or |
| 2 | "(iv) an official indicated in subpara- |
| 3 | graphs (A) through (M) and (O) of sub- |
| 4 | section $(a)(5)$. |
| 5 | "(9) Personally identifiable informa- |
| 6 | TION.—The term 'personally identifiable informa- |
| 7 | tion' means— |
| 8 | "(A) any information (such as the stu- |
| 9 | dent's name, Social Security number, email ad- |
| 10 | dress, or parent's name), or compilation of in- |
| 11 | formation, in electronic, digital, or paper form |
| 12 | that, alone or in combination, is linked or |
| 13 | linkable to a specific student that would allow |
| 14 | a reasonable person in the school community, |
| 15 | who does not have personal knowledge of the |
| 16 | relevant circumstances, to identify the student |
| 17 | with reasonable certainty; |
| 18 | "(B) biometric information, including any |
| 19 | record of one or more measurable biological or |
| 20 | behavioral characteristics that may be used for |
| 21 | automated recognition of a student, such as fin- |
| 22 | gerprints, retina and iris patterns, voiceprints, |
| 23 | DNA sequence, facial characteristics, and hand- |
| 24 | writing; or |
| | |

"(C) information in an education record
 requested by a person who an educational agen cy or institution reasonably believes knows the
 identity of the student to whom the education
 record relates.

6 "(10) STUDENT.—The term 'student' includes 7 any person with respect to whom an educational 8 agency or institution maintains education records or 9 personally identifiable information, but does not in-10 clude a person who has not been in attendance at 11 such agency or institution.".

12 SEC. 3. CONFORMING AMENDMENT.

13 Section 446(a) of the General Education Provisions
14 Act (20 U.S.C. 1232i(a)) is amended by striking
15 "444(b)(1)(D)" and inserting "444(a)(5)(D)".

16 SEC. 4. FERPA REGULATIONS.

(a) REPEAL.—The definition of the term "early childhood education program" in section 99.3 of title 34, Code
of Federal Regulations, is repealed and shall have no legal
effect.

(b) CERTAIN REGULATION PROHIBITED.—The Secretary of Education shall not promulgate or enforce any
regulation or rule that defines "early childhood education
program" for any purpose under section 444 of the General Education Provisions Act (20 U.S.C. 1232g) (com-

- 1 monly known as the "Family Educational Rights and Pri-
- $2\;$ vacy Act of 1974") on or after the date of enactment of
- 3 this Act.