

Batch Query with the Document Search

Quick Reference Guide

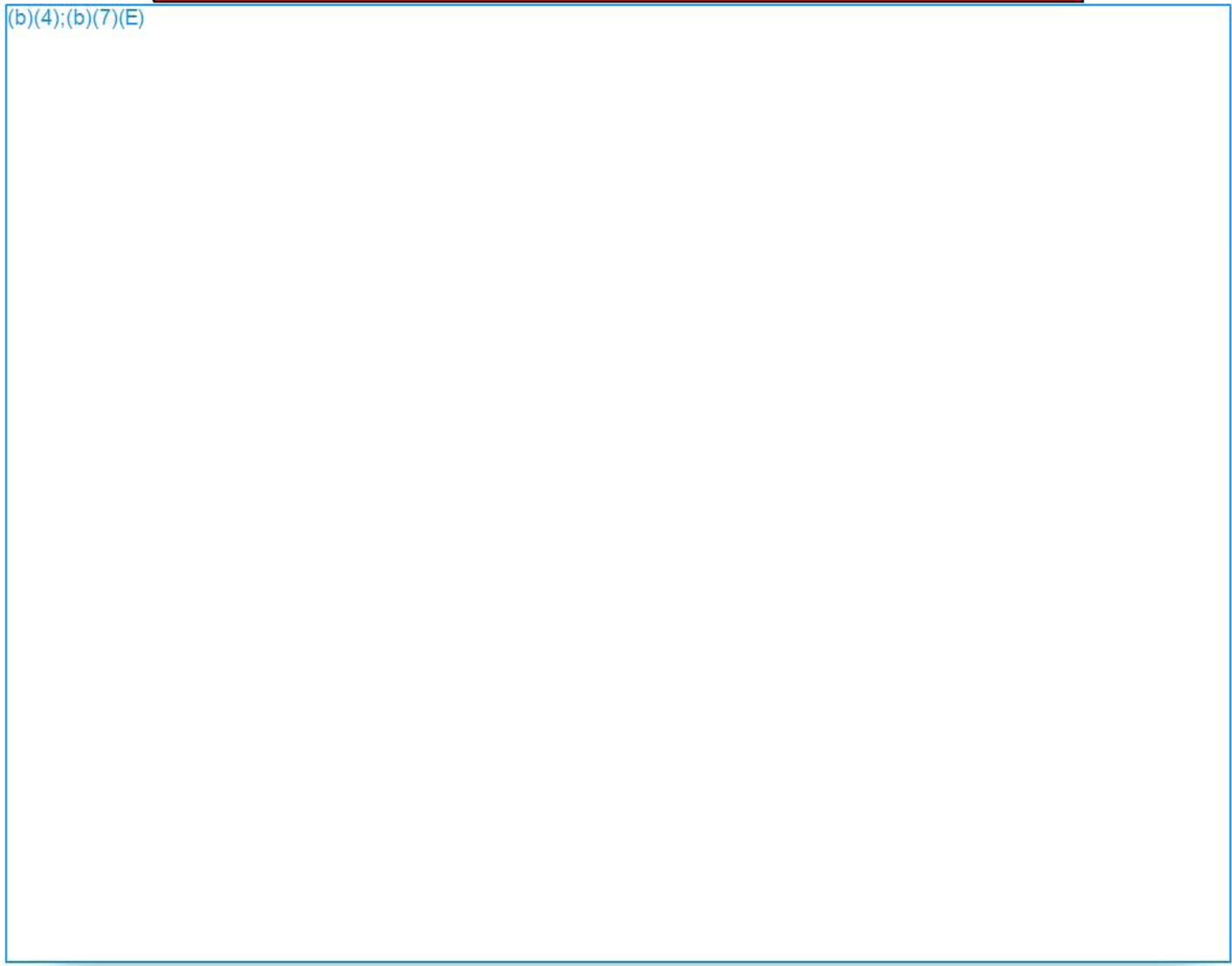


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If you have any additional questions, please contact us at the **FALCON Helpdesk**

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FALCON

Bank Secrecy Act Label Guide



U.S. Immigration
and Customs
Enforcement



Bank Secrecy Act (BSA)

Third Agency Data

- ☐ Third Agency Data originates from an agency outside of the Department of Homeland Security (DHS).
- ☐ Third Agency Data Rule stipulates that this information cannot be disseminated by another agency without the consent of the originating agency.
- ☐ Improperly disseminating another agency's data may be a violation of law, e.g. Department of State records regarding the issuance or refusal of visa applications, under 8 USC § 1202(f).
- ☐ When Third Agency data is imported into FALCON, it must be appropriately labeled.
 - The Third Agency Rule also applies to records residing in TECS such as FAA, FBI, etc.
 - In some cases, access to another agency's data is strictly controlled by a memorandum of understanding (MOU) or memorandum of agreement (MOA)
 - According to DHS policy, all DHS components are considered one agency with regard to the Third Agency Rule

BSA Third Agency Label

The Third Agency Data feature allows individual users who have manually imported data originating from another (non-DHS) agency to assign a source agency or organization to any new entity or document from within the Graph Application.

The Bank Secrecy Act property is part of the Third Agency Data label feature. The Third Agency Data feature includes a property option with a warning/property specifically for BSA financial data.

The applied label marks imported Bank Secrecy Act (BSA) financial information with a warning explaining the sensitive nature of the BSA data, alerting users that the data is from a Third Agency and cannot be disseminated to another agency without the consent of the originating agency.

Adding the BSA property to an object makes it readily identifiable as data originating from a Third Agency. The label helps users identify the sensitive nature of any BSA data used as part of their own investigations or shared through investigations of other users across ICE. The label and warning are viewed using the Histogram helper while in the Browser application.

Using the Third Agency Data feature for labeling BSA data is similar to other Third Agency data use; however, in addition to adding a BSA label, it also adds a warning statement.

Refer to the original Third Agency Data Source Feature User Guide for more details regarding the basic functionality of the Third Agency Data feature.



Using the Third Agency Data Labeling Feature

The Third Agency Data feature is located on the top menu bar within FALCON next to the Applications menu (Figure 1).

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Associating Third Agency Data

There are two methods for associating a source agency with the imported objects: (1) Selecting an agency from the menu or (2) manually adding the name of the agency. The BSA label is one option in the dropdown menu.

Note: The Third agency Data label feature is only available if there are objects selected on the graph.

When importing new data into FALCON, such as a report document or objects from an Excel file, users are automatically prompted to add new objects to the graph.

Selecting Third Agency Data Sources

- ☐ Make sure the object(s) on the Graph are selected (Figure 2)
 - The Third Agency Data label is only available when objects are selected in the graph
 - Selected items will be highlighted in yellow
- ☐ Click on the “Third Agency Data” menu on bar at the top of site (Figure 2)

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- ☐ Select Bank Secrecy Act from the drop down menu (Figure 3)

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- ☐ Selecting Bank Secrecy Act from the drop down menu brings up a notification asking if the object(s) are to be identified with the selected source agency

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- ☐ The system verifies selection and adds the BSA source to the data

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Figure 5: Data Source Confirmation



Checking Object/Document Properties

- ❑ Once items have been associated with a Third Agency, while in the Graph, users can verify the label by looking at the properties of the object or document in the Histogram located on the right side of the screen (Figure 6)

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Multiple Sources per Document/Entity

Information coming from sources such as a joint team of multiple organizations can be attributed to multiple sources or entities by repeating the previous procedures. The document source property will list all of the attributed agencies/organizations. To verify object or document properties, follow the [previous steps](#). (Refer to Figure 6)

Objects created from Sourced Third Agency Documents

It is important to note that simply applying the Third Agency Data label to a document does not automatically label objects tagged within the document. For example, a user imports a third agency document, labeling it BSA, and tags the name Jon P. Smithly. To maintain the third agency source integrity, the user also needs to apply the Third Agency Data label (BSA) to Jon P. Smithly as well.

Although the name, Jon P. Smithly, is directly linked to the document, the name does not directly inherit the document labels.

Standard Operating Procedure

Although this external sourcing capability for Third Agency Data is available, users of FALCON are still responsible for data integrity by:

- Ensuring that the Third Agency Data brought in is appropriate and allowable to be imported into FALCON
- Verifying that the newly created and sourced Third Agency Data is appropriate for publishing

Third Agency Data Dissemination

This source property allows users to review the types of information contained in each chart and make decisions concerning which information can be shared. It does not change the browser metadata source view for object properties.

FALCON Resources

For questions related to BSA or allowable Third Agency Data imports, contact the FALCON Program Management Office at (b)(7)(E)

For BSA labeling issues, please contact the FALCON Help Desk, (b)(7)(E)

For announcements, tutorials and how-to guides covering everything from data currently available in FALCON to FALCON basic navigation, structured imports and tagging, check out the FALCON launch page at (b)(7)(E) or the ISS page on HSINet at

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BSAR Object Advanced Search Around

Quick Reference Guide



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Section 1 – Organizing the Graph

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BSAR Object Advanced Search Around

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BSAR Object Advanced Search Around

Quick Reference Guide

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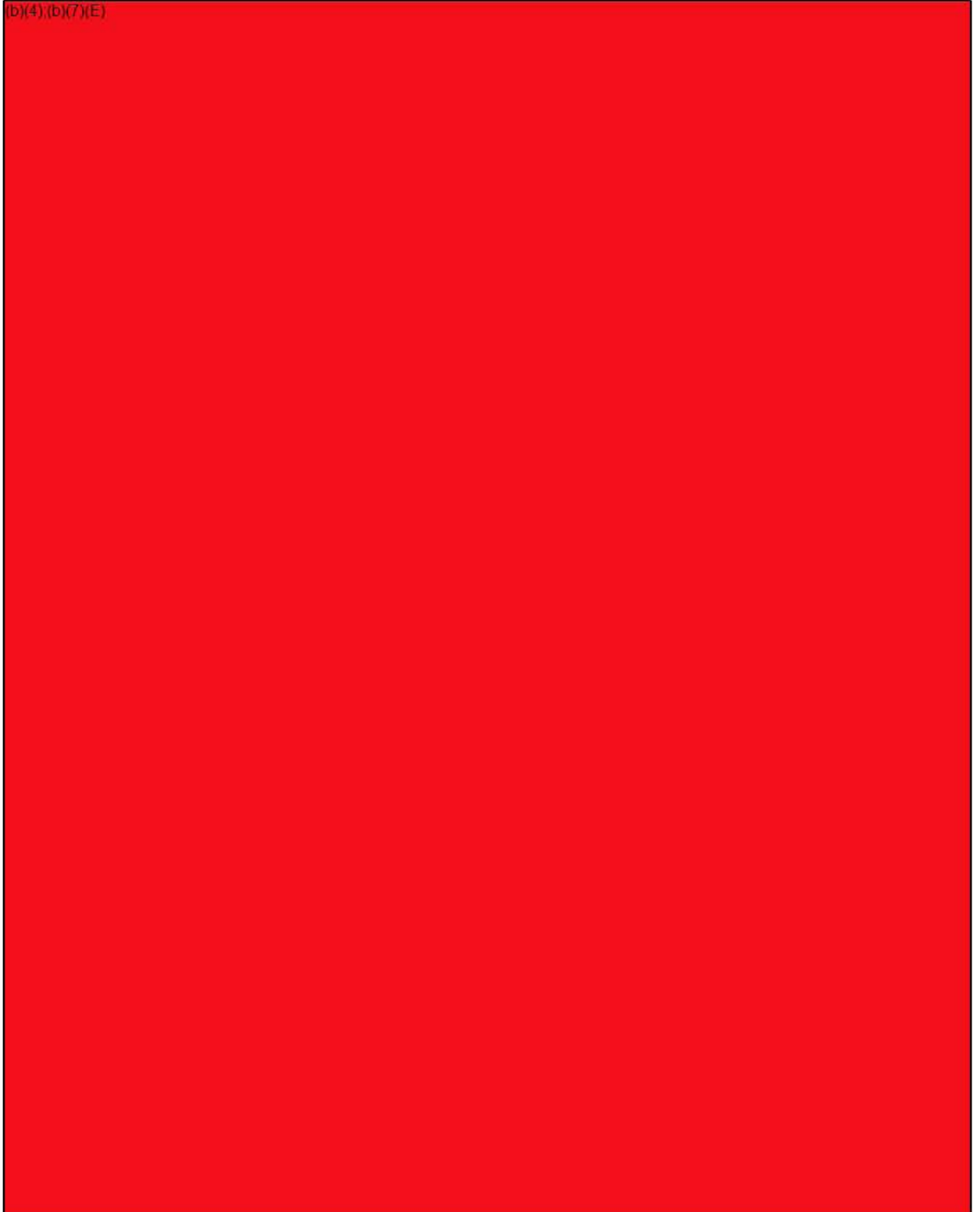


BSAR Object Advanced Search Around

Quick Reference Guide

Section 2 – Available Searches


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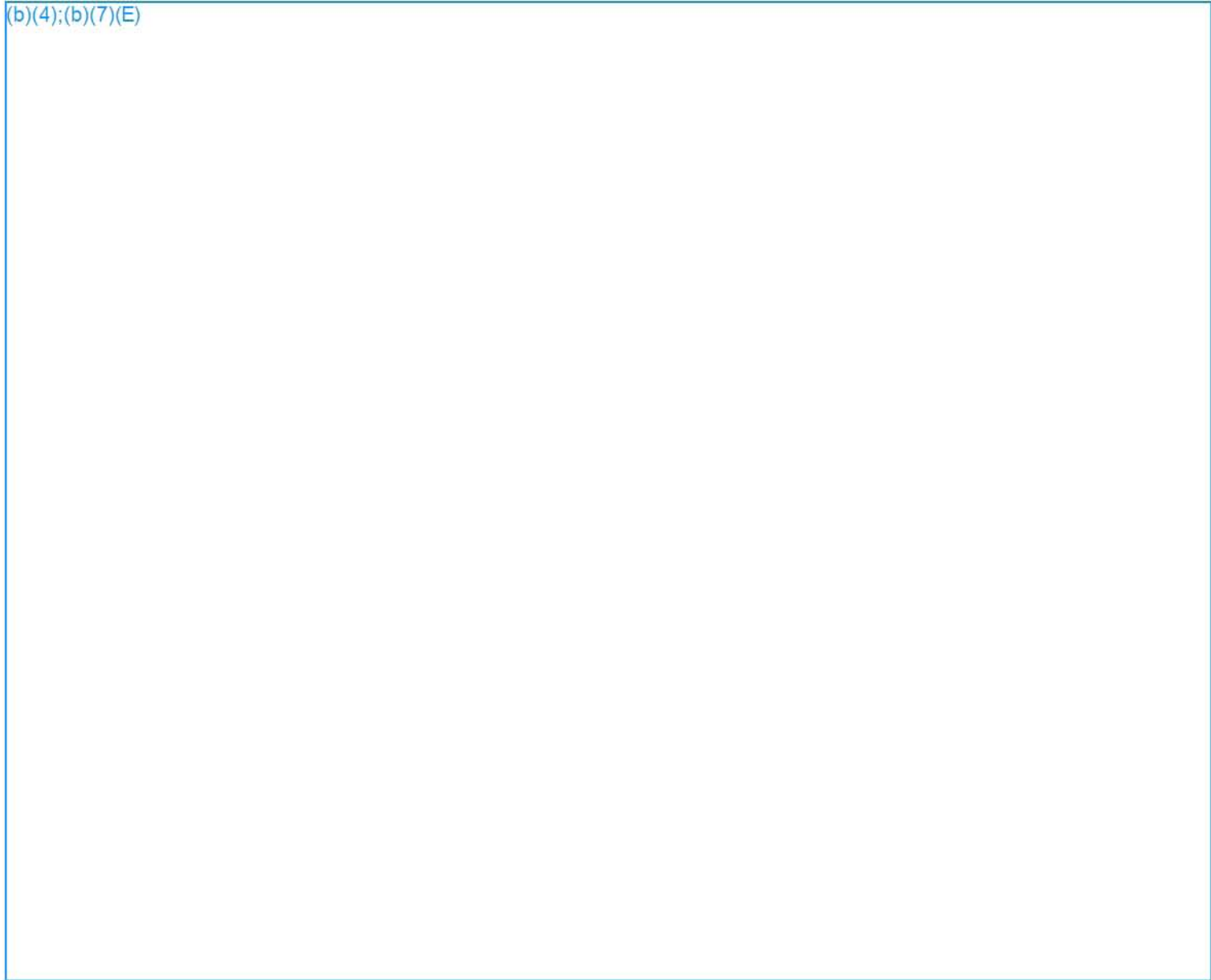
BSAR Object Advanced Search Around

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BSAR Object Advanced Search Around

Quick Reference Guide

Appendix – Search Quick Reference

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*This concludes this guide. If you have any questions or concerns, please don't hesitate to send them to the **Falcon Help Desk*** (b)(7)(E)

CDR/Phoenix App to DARTTS Job Aid

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there's an extra couple of steps to search numbers.

This document is to aid in the formatting of data to facilitate bulk searches.

For complete instructions, please reference the manual in the following link:

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The following steps are an initial best-practices to conduct a batch/bulk queries of phone numbers present on your graph.

- Select desired numbers
- Open the **Table Helper**, select “open”

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The manual suggests adding wildcard characters to ensure a good search:

For Domestic Numbers:

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The manual suggests adding wildcard characters to ensure a good search:

For Foreign Numbers... a wee bit harder:

Numbers without a full country code will not return result so a wildcard character will need to lead the phone number. Foreign number will need to be separated from domestic numbers.

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Within the DARTTS App:

- Paste the copied numbers into the “**Dialed Phone Number**” filter field
- Select “**Apply**”

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To import the results to your graph:

- Select all rows you wish to import
- Select “To Falcon”

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The results may not be linked, to do so:

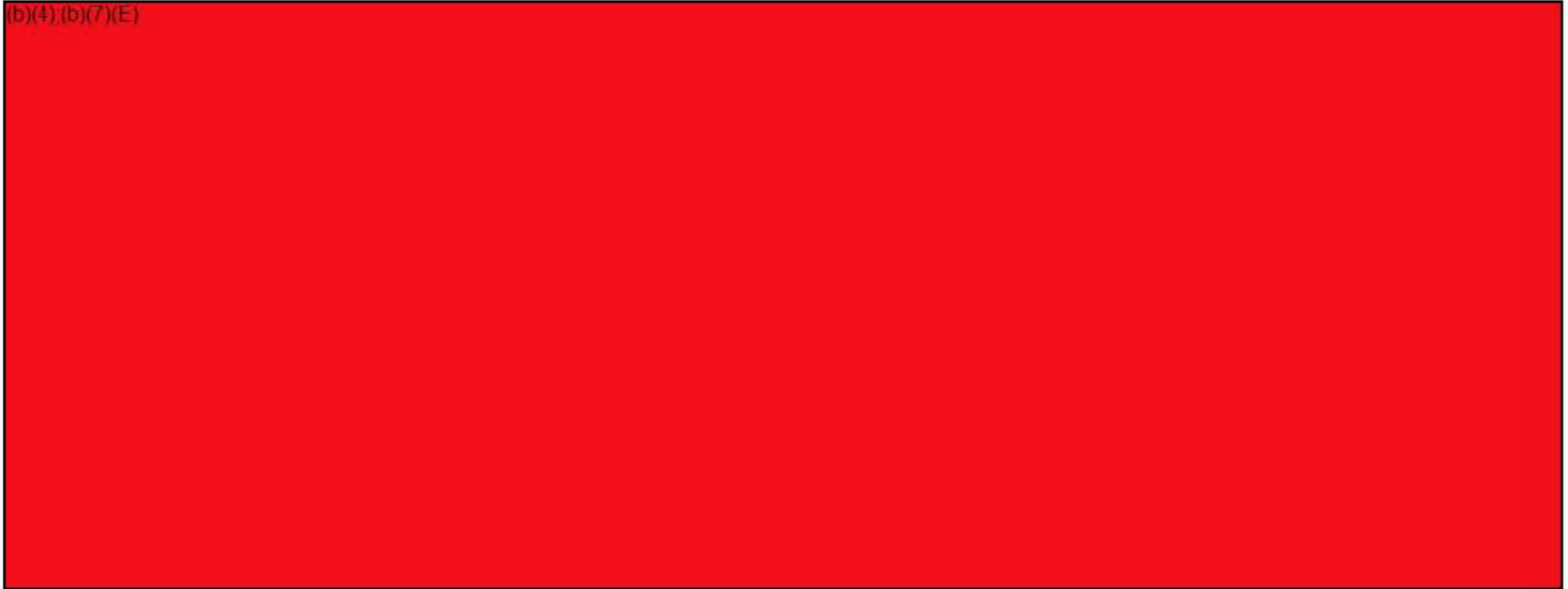
- Select only the phone call nodes*
- With only the phone calls selected, Right-click → Search Around → Linked Entities

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ICE

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Introduction

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Related reading

To learn how to query phone toll records from TLS, please refer to the CDR Quick Reference Guide, which is available on the Falcon landing page.

Part I: Before you import

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Part II: Querying Results

Quick Search

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Home Search

Home Search provides you the ability to preview your results before adding them to a folder or your workspace

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Home Search (Cont.)

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Doc Key Word Linker

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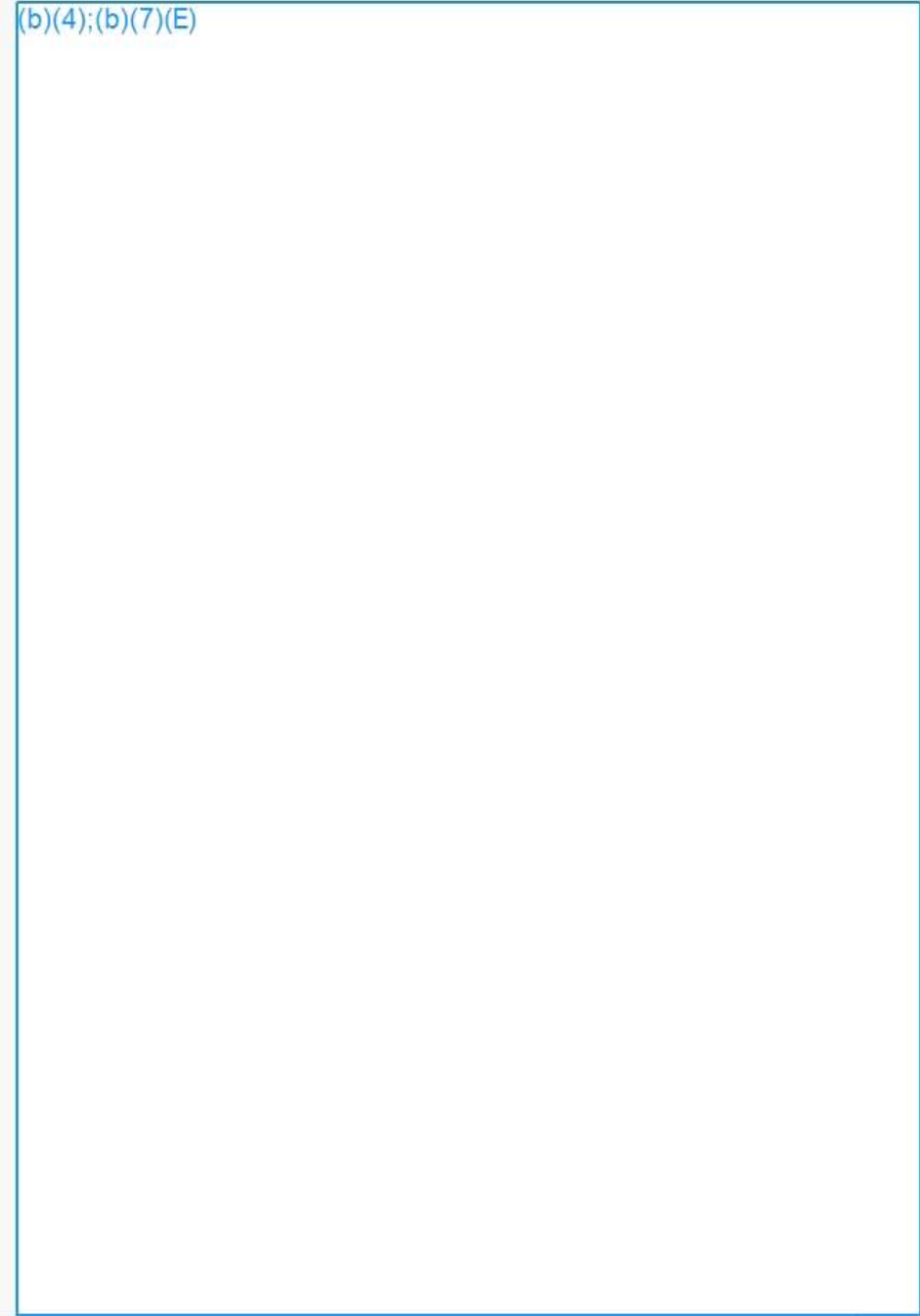
Custom Search

Custom Search allows you to select a specific group of objects, and to specify how the system will link to a specific group of target objects.

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Custom Search (Cont.)

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Custom Search (Cont.)

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Quick Reference Guide



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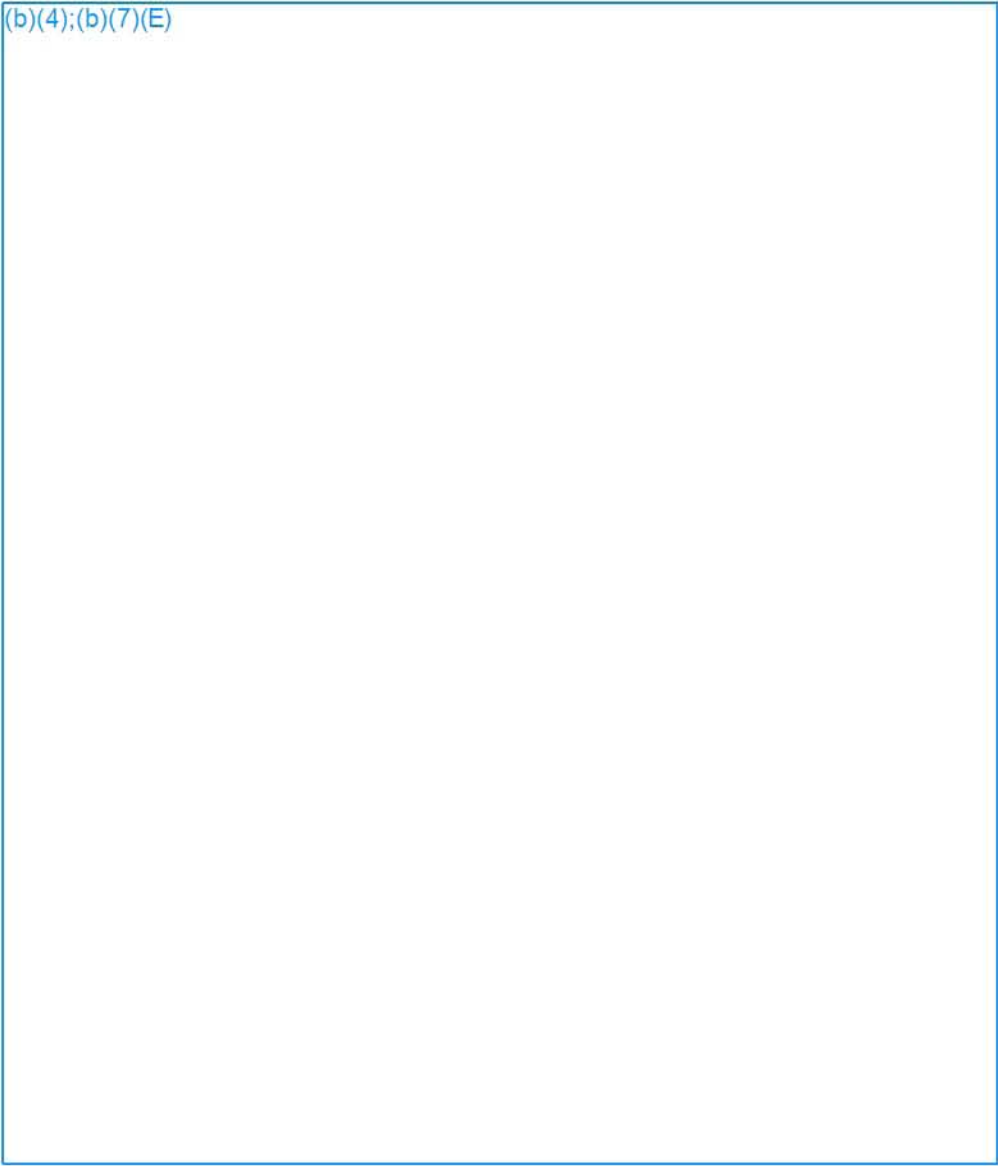
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*This concludes this guide. If you have any questions or concerns, please don't hesitate to send them to the **Falcon Help Desk** (b)(7)(E)*

Creating a Heatmap

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Creating a Heatmap

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Creating a Heatmap

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Creating a Heatmap

Quick Reference Guide

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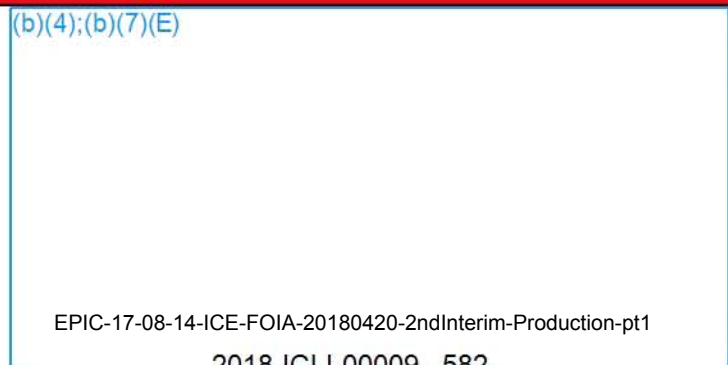
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Creating a Heatmap

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Creating a Heatmap

Quick Reference Guide

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Conclusion: This concludes this guide. If you have any questions, concerns, or ideas for future enhancements to these features, please don't hesitate to send them to the **FALCON Help Desk** (b)(7)(E)

Custom Node Icon Settings

Quick Reference Guide



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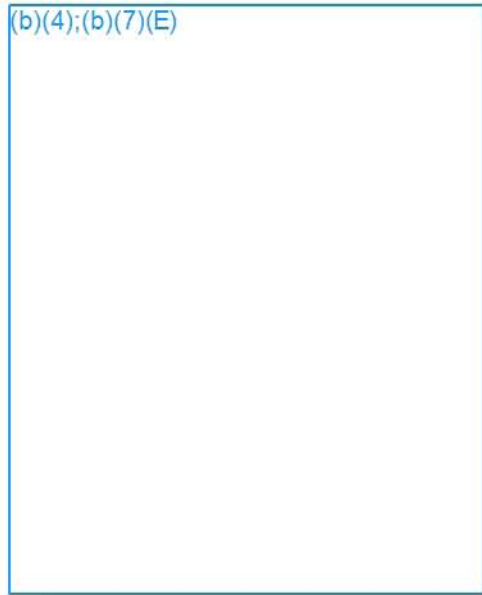
Custom Node Icon Settings

Quick Reference Guide

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**Homeland Security Investigations
Trade Transparency Unit**

FALCON DARTTS V2

Data Analysis & Research for Trade Transparency System

**HSI Domestic & Attaché Offices
User Guide February 2017**



**U.S. Immigration
and Customs
Enforcement**





**U.S. Immigration and Customs Enforcement
Homeland Security Investigations
Financial Narcotics and Special Operations Division
Trade Transparency Unit
500 12 Street, SW, (b)(7)(E)
Washington, DC 20536**

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The Trade Transparency Unit is responsible for coordinating the development and issuance of this DARTTS V2 user guide. All recommended revisions to this training manual should be submitted to the TTU.

Table of Contents

GETTING STARTED.....	5
FALCON DARTTS V2 OVERVIEW	5
LOGGING ON.....	5
APPLICATION MENU	6
HOME APPLICATION	7
BASICS OF INVESTIGATION MANAGEMENT	8
INVESTIGATION MENU	8
ANALYSIS APPLICATION.....	9
SEARCH	9
ADVANCED SEARCH.....	9
QUERY	10
VIEWING SEARCH RESULTS.....	11
READING VIEW.....	11
DATA SETS.	13
CREATING A DATA SET FROM SEARCH RESULTS.....	13
ADDING A DATA SET	14
DELETING A DATA SET	15
DUPLICATING A DATA SET	15
NAMING AND RENAMING A DATA SET.	15
FILTERS.....	16
FILTER ACTIONS.....	16
FILTER CRITERIA OPTIONS	17
EXPLORE: HISTOGRAMS	18
EXPLORE VIEW.....	18
DETAILS VIEW.....	19
COLUMNS STATS.	20
BROWSE APPLICATION.....	21
SORTING DATA.	21
CHOOSING AND CUSTOMIZING COLUMNS.....	22
SELECTING ROWS.....	23
DRILL DOWN ON ROWS	23
GROUP-BY: AGGREGATING DATA	24
TERM LISTS	27
CREATING A TERM LIST	27
UPLOADING A TERM LIST TO DARTTS V2.....	27
FILTERING AGAINST TERM LISTS	28
EXPORTING DATA.....	29
EXPORTING TO FALCON.....	30
STEPS FOR EXPORTING TO FALCON	30
ALERTS APPLICATION.....	34
CREATING AN ALERT	34
ALERT OPTIONS	34
GETTING NOTIFIED OF ALERTS	34

CHARTS APPLICATION	35
TIME CHART	35
CHART OVERVIEW	35
CONTROLS (CHART TYPES/VIEWS)	35
PLOT VALUES.....	38
GROUP-BY.....	39
ADDING MULTIPLE DATA SETS TO THE TIME CHART.....	40
EXPORTING CHARTS	40
ACCOUNT VIEW	41
CHOOSING A TARGET IN ACCOUNT VIEW	42
ACCOUNT VIEW COMPONENTS.....	42
DISCREPANCY APPLICATION	43
DISCREPANCY OPTIONS	44
HIGHLIGHT THRESHOLDS.....	45
SHOW ONLY HIGHLIGHTED ROWS.....	45
DISCREPANCY RESULTS	45
BUILDING DISCREPANCY DATA SETS FROM DISCREPANCY RESULTS	46
SAVE SELECTION AS DATA SETS.....	46
UPLOADING AND IMPORTING DATA INTO DARTTS V2	47
THE DARTTS V2 DATA IMPORTER APPLICATION	47
THE IMPORTER VIEW.....	47
CREATING AND VIEWING DATA FOLDERS	47
UPLOADING DATA INTO DARTTS V2 FOR IMPORT	49
IMPORTING AND MAPPING DATA.....	50
INITIATE THE FILE IMPORT PROCESS.....	51
SELECT A TEMPLATE	51
SELECT A PRESET (SAVED MAPPING TEMPLATE)	51
MANUAL DATA MAPPING.....	52
MODIFYING DATA DURING THE MAPPING PROCESS.....	53
ADDING A COLUMN.....	53
OTHER HELPFUL MODIFICATION FUNCTIONS.....	54
OTHER ADVANCED OPTIONS	54
ERROR NOTIFICATIONS.....	54
IMPORTING MAPPED DATA.....	55
CREATING CUSTOM PRESETS (SAVING MANUAL MAPPING)	55
IMPORT STATUS	55
VIEWING JUST THE DATA IMPORTED	55
VIEWING IMPORTED DATA AS PART OF A COLLECTION.....	56
IDENTIFYING THE SOURCE OF IMPORTED DATA.....	56
UN-IMPORTING DATA	56
HELP AND SUPPORT	57
BSA GUIDELINES FOR HANDLING OF FINCEN DATA.....	57

Getting Started

FALCON DARTTS V2 Overview

FALCON DARTTS V2 (Data Analysis and Research for Trade Transparency System) was designed to support ICE investigations of trade-based money laundering, contraband smuggling, trade fraud, financial crimes, and crimes with a financial nexus. (b)(4);(b)(7)(E)

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Logging On

Homeland Security Investigations domestic and international (Attaché) personnel can access the FALCON DARTTS V2 application utilizing the URL address (b)(7)(E)

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NOTE: The DARTTS V2 database functions (b)(4);(b)(7)(E)

Some

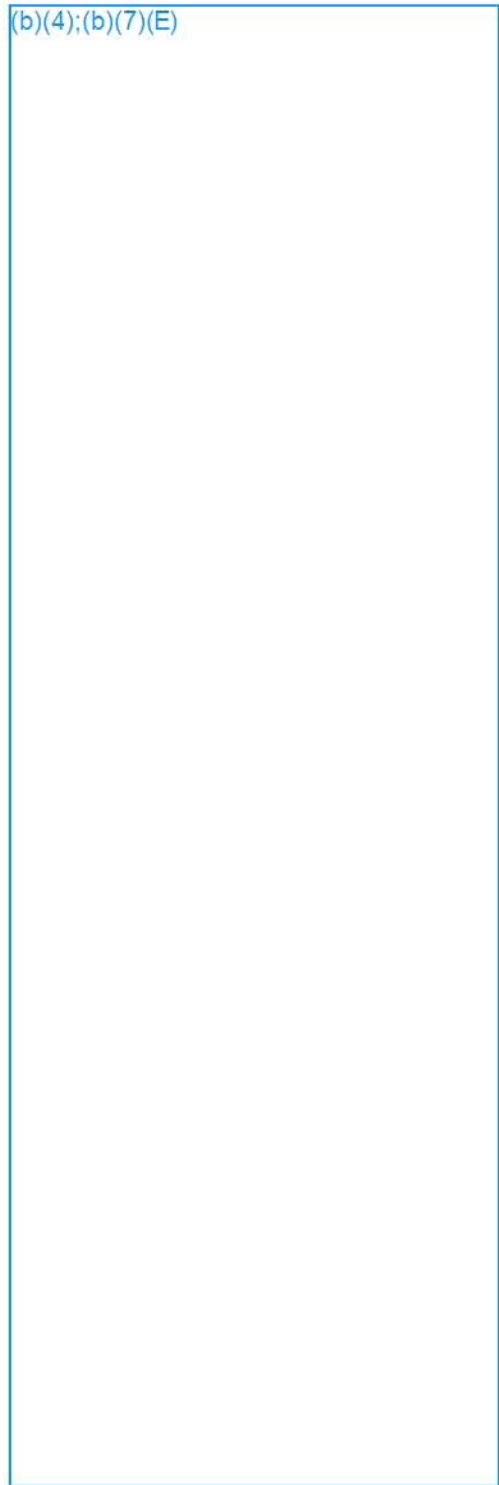
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Application Menu

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Home Application

The Home screen is FALCON DARTTS V2 starting point and contains the “Available Collections” (data sets) that are searchable by the user.

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Basics of Investigation Management

FALCON DARTTS V2 used as an investigative tool allows the user to create multiple lines of research and analysis by preserving the filters, queries, and charts created within a session. Think of each investigation as a separate project where the user wants to keep work separate for the sake of organization. For example, comparing countrywide import/export data to search for large-scale trade discrepancies looks different from data examining individual financial transactions for potential fraud. The FALCON DARTTS V2 investigative tool allows the user to create, search, analyze, and organize various data projects while saving filters and queries.

Investigation Menu

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Analysis Application

Search

The DARTTS V2 “SEARCH” application allows users to conduct searches simultaneously against all of the data sets contained within the database to find individual line items or groups of items associated with the specific query criteria entered by the user. To begin a search, the user must enter their query criteria in the “SEARCH” field.

Searches performed in the “SEARCH” tab will query ALL the “Available Collections” contained in DARTTS V2.

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Advanced Search

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Query

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Viewing Search Results

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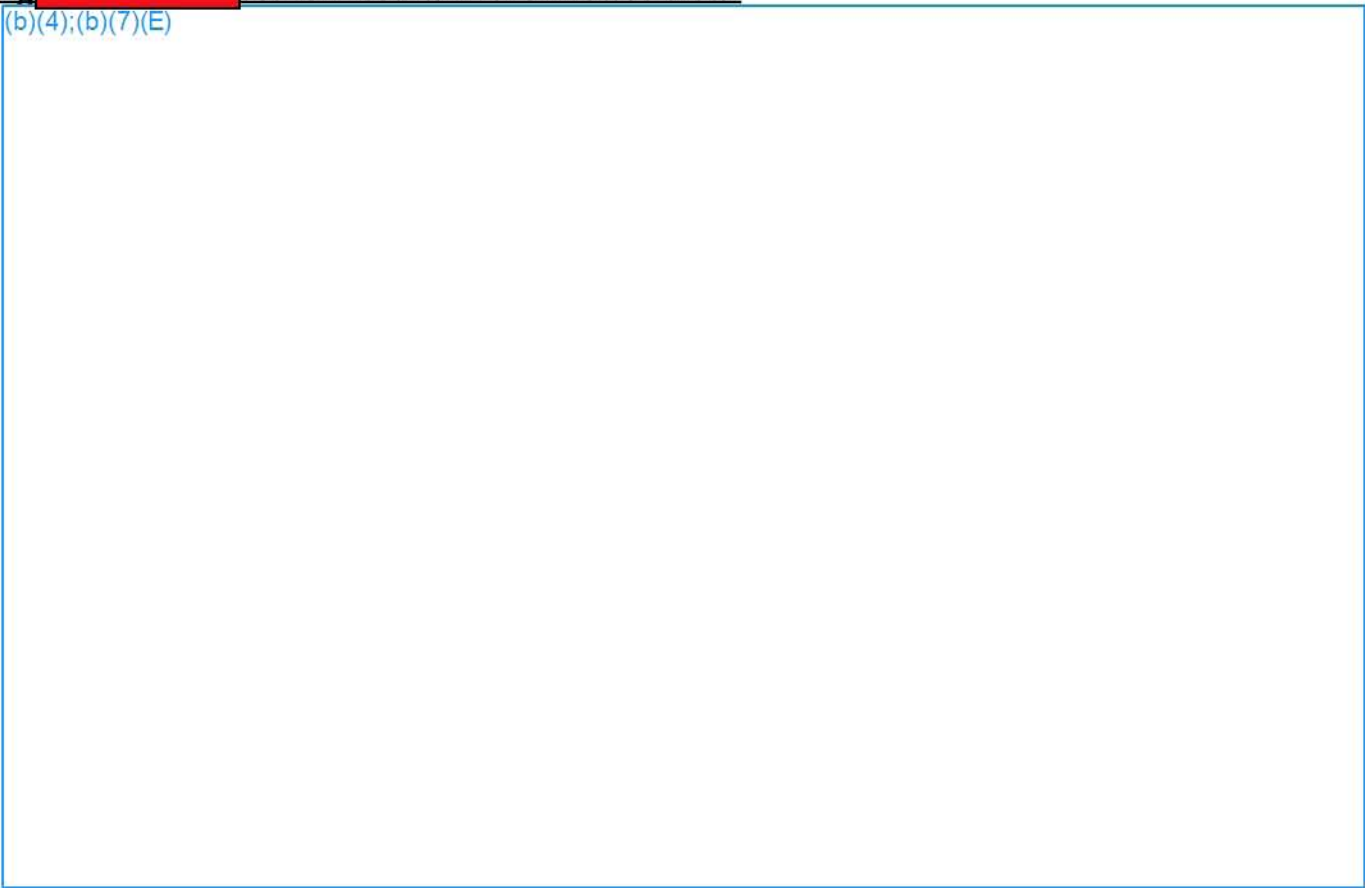


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Viewing (b)(7)(E) search results in the Browse view:

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Reading View

The “READING” view is a great way to read through search results, especially those with long text like SARs.

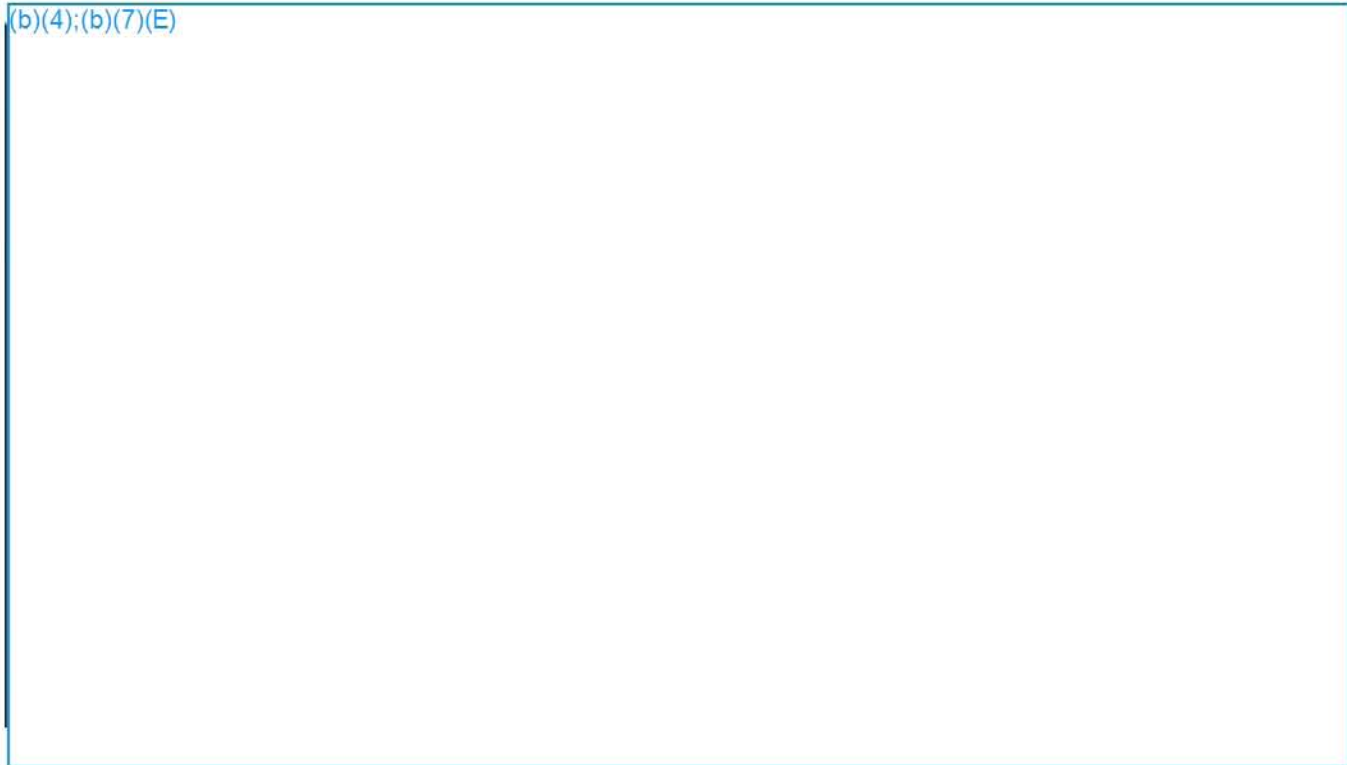
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Data Sets

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Creating a Data Set from Search Results

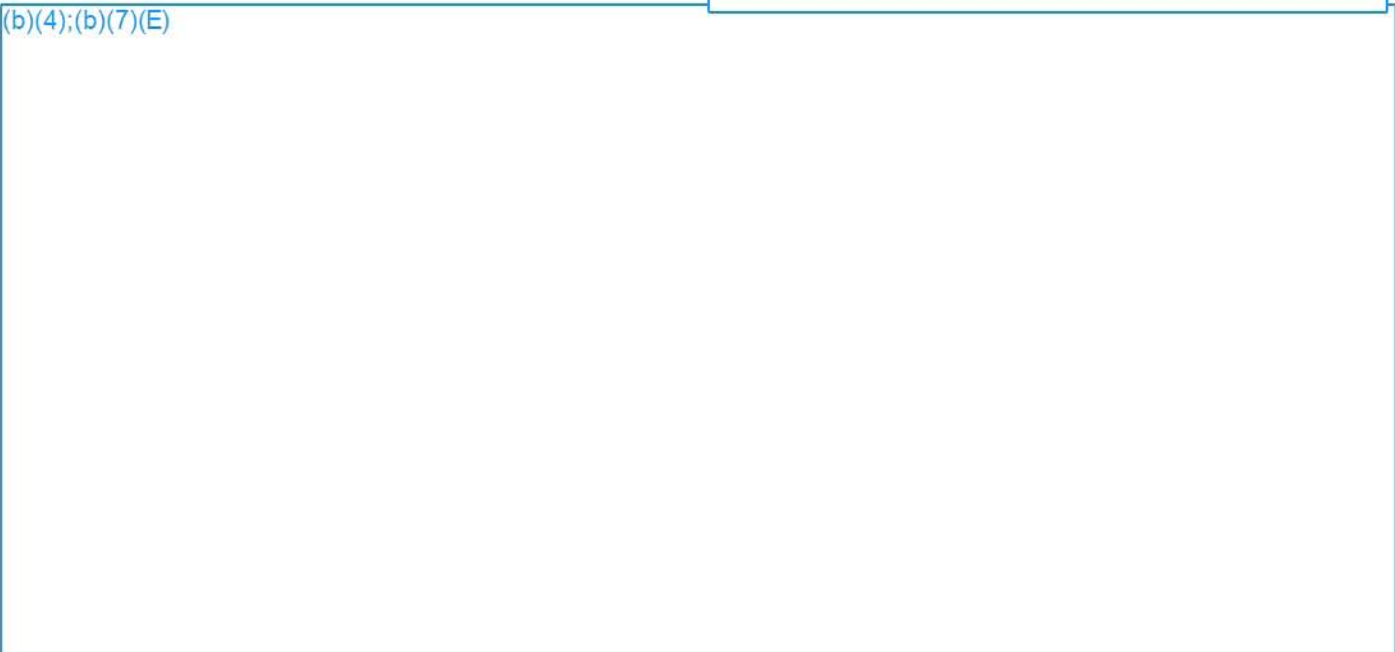
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Adding a Data Set

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To add an additional Data Set, click on “Add Data Set.”

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Deleting a Data Set

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Duplicating a Data Set

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Naming and Renaming a Data Set


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NOTE: Rename tabs to specify and organize multiple Data Sets. This is particularly helpful when having two or more of the same Data Sets open.

Filters

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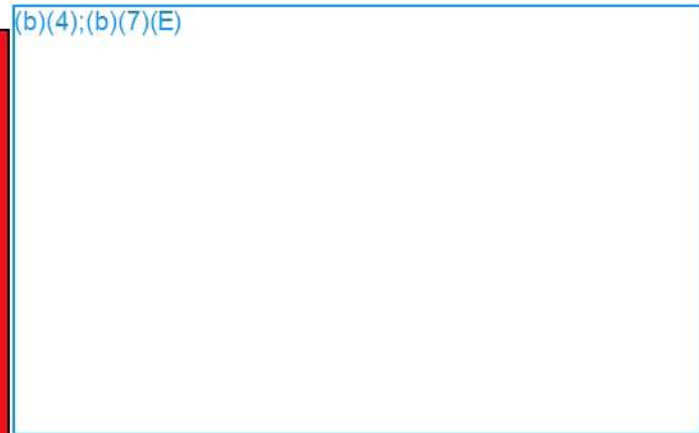
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Filter Actions

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Filter Criteria Options

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Explore Histograms

Explore View

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Click the expand/collapse icon will expand or collapse the viewing pane.

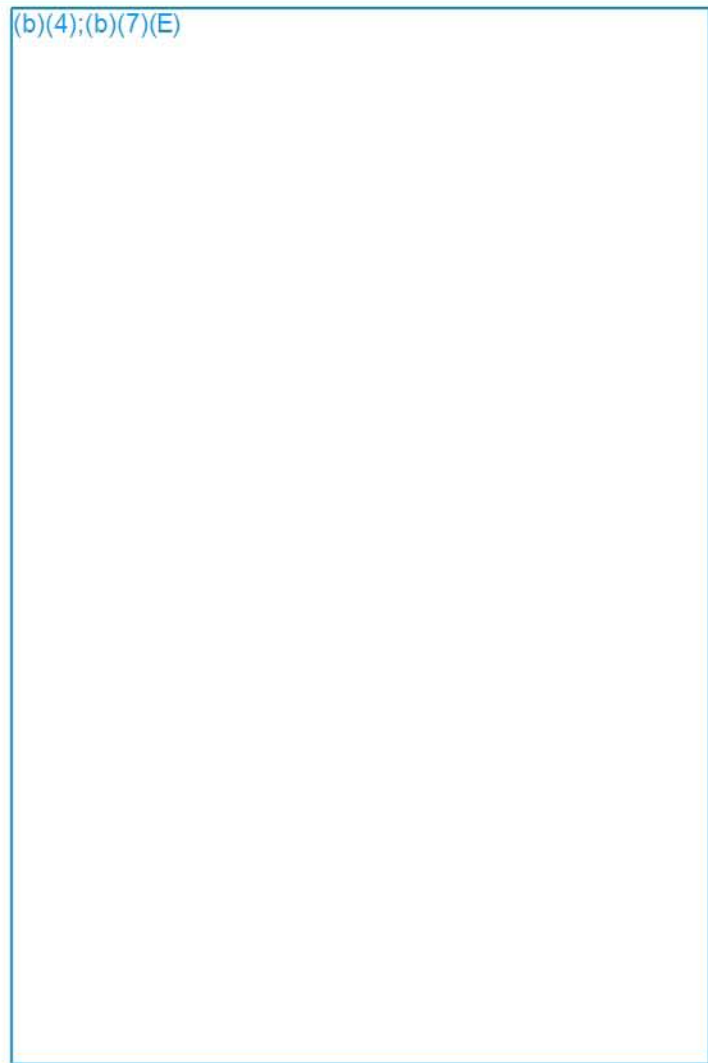
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Details View

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Column Stats

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Browse Application

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Sorting Data

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Choosing and Customizing Columns

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Selecting Rows

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Drill Down on Rows

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The above image shows the result of drilling down on the 3 rows in the previous images. Notice that the Data Set displays a new filter from the drill down.

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Group-By: Aggregating Data

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(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)

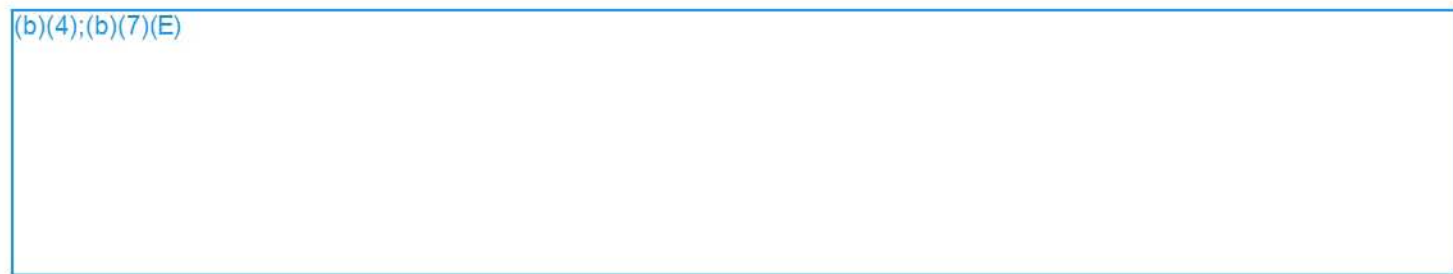


(b)(4);(b)(7)(E)



The screen would then look like the image below:


(b)(4);(b)(7)(E)




(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)

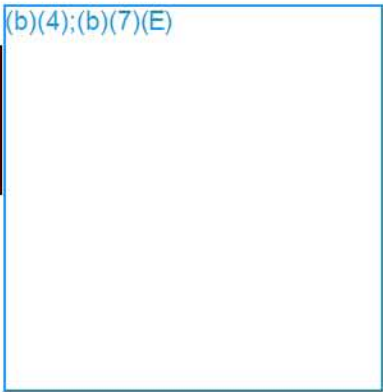


Term Lists

(b)(4),(b)(7)(E)

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(b)(4);(b)(7)(E)

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Creating a Term List

(b)(4),(b)(7)(E)

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b)(7)(E)

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Uploading a Term List to DARTTS V2

(b)(4),(b)(7)(E)

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(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Filtering Against Term Lists

(b)(4);(b)(7)(E)

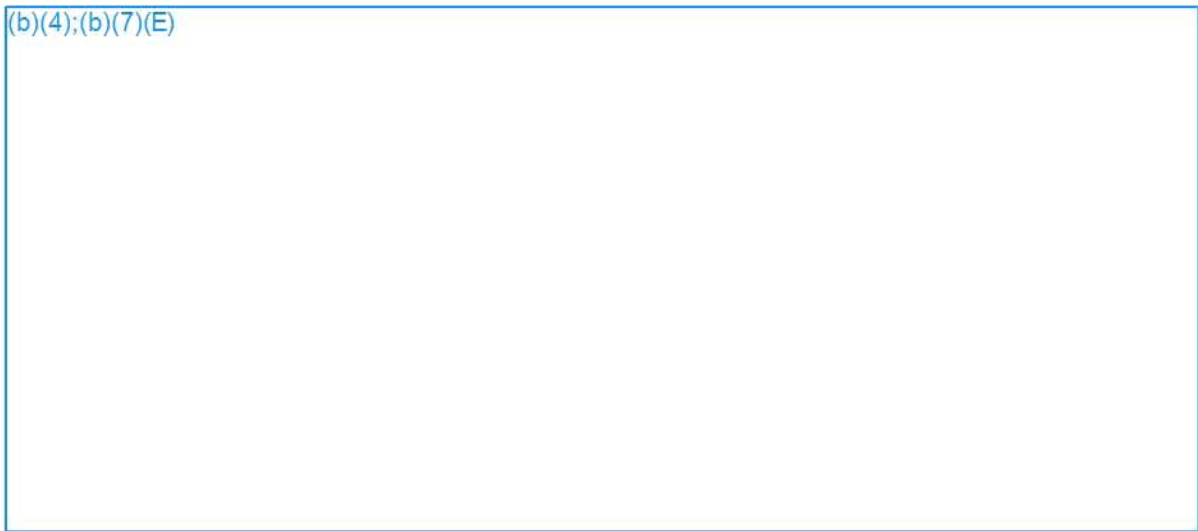
(b)(4);(b)(7)(E)

Exporting Data

(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)




(b)(4);(b)(7)(E)



Exporting to FALCON

(b)(4),(b)(7)(E)

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Steps for Exporting to FALCON

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(b)(4),(b)(7)(E)

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(b)(4);(b)(7)(E)

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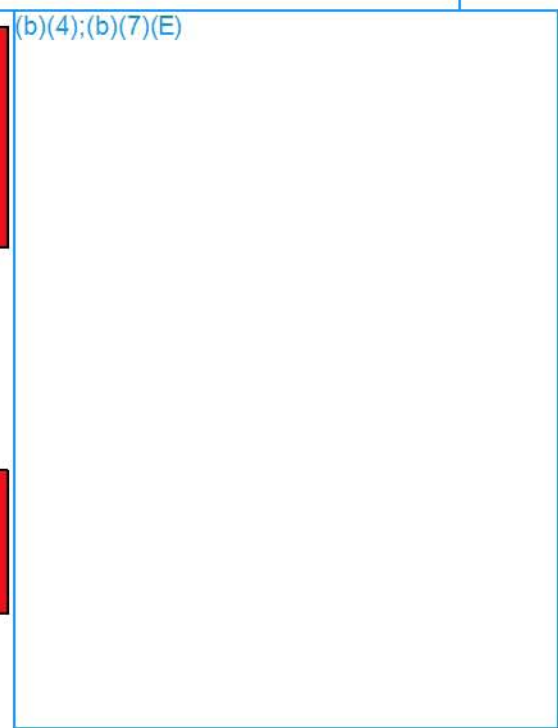
(b)(4);(b)(7)(E)

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(b)(4);(b)(7)(E)

A solid red rectangular box redacting a portion of the document.

(b)(4);(b)(7)(E)

A large rectangular area outlined in blue, indicating a redacted section of the document.


(b)(4);(b)(7)(E)

A solid red rectangular box redacting a portion of the document.

(b)(4);(b)(7)(E)




(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



Alerts Application

(b)(4),(b)(7)(E)

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Creating an Alert

(b)(4),(b)(7)(E)

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(b)(7)(E)

A large rectangular area of the document is completely redacted with a solid black fill.

Alert Options

(b)(4),(b)(7)(E)

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Getting Notified of Alerts

(b)(4),(b)(7)(E)

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Charts Application

The Charts application visually displays the data from a Data Set in the Browse view. **NOTE:** The contents of a chart will update as the Data Set is updated.

To open the Charts application, click on the “CHARTS” tab at the top of the Analysis application. If nothing appears, make sure at least one Data Set on the left is selected.

Time Chart

(b)(4);(b)(7)(E)

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(b)(4);(b)(7)(E)

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Chart Overview

(b)(4);(b)(7)(E)

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CONTROLS (Chart Types/Views)

(b)(4);(b)(7)(E)

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(b)(4);(b)(7)(E)

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- **Scatter Chart** – depicts the time series as unconnected points.

(b)(4);(b)(7)(E)



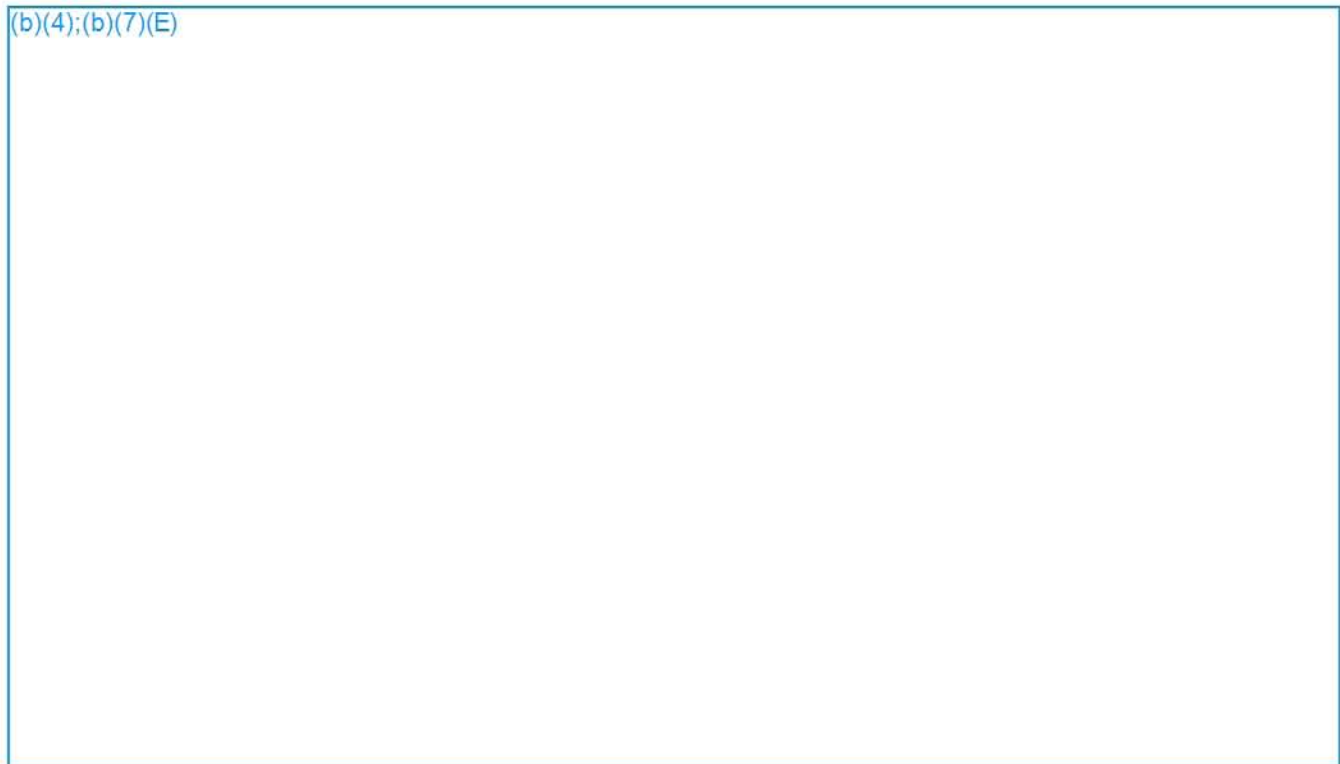
The image above depicts a Scatter Chart where the user has selected a specific universe of trade transactions (gray box) of interest to analyze further separately from the whole of transactions displayed.

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)



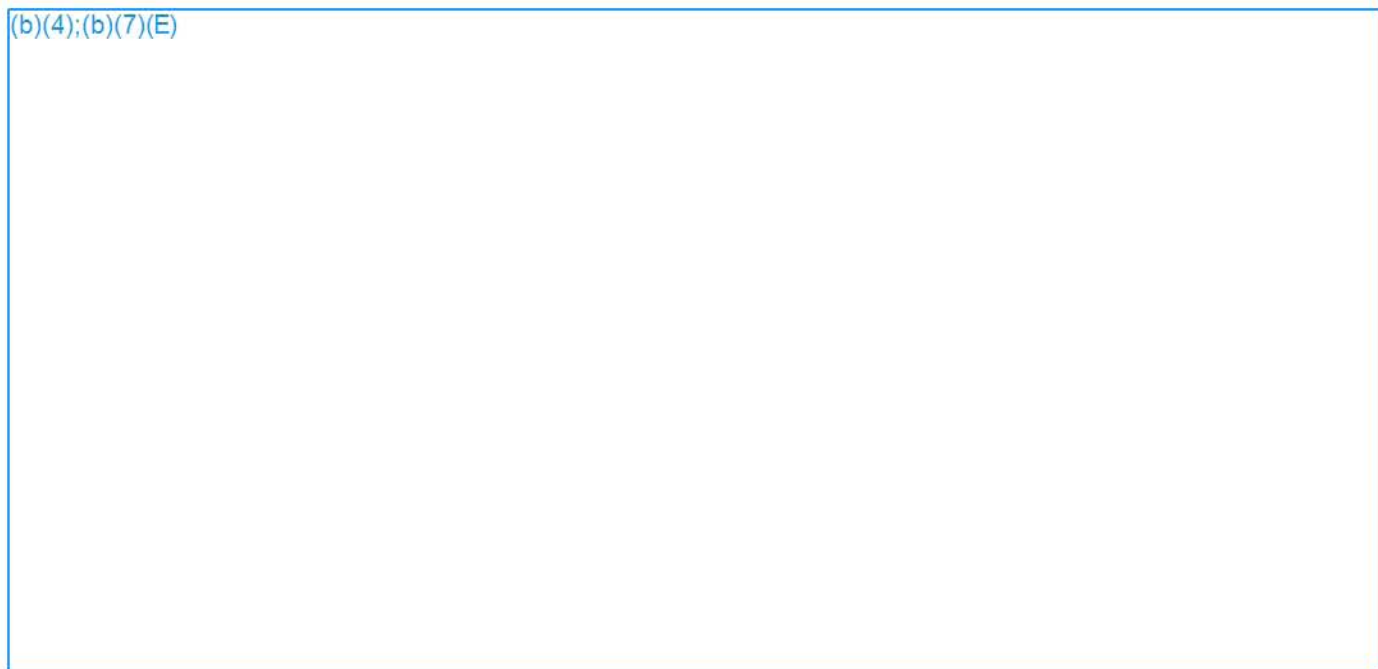
(b)(4);(b)(7)(E)



The Scatter Chart above displays the results yielded from the trade transactions selected and separated from the whole of transactions displayed in the graph on page 36.

The screenshot below depicts the database-generated error that will appear when utilizing the Scatter Chart option to analyze a Data Set that contains a large number of trade transactions.

(b)(4);(b)(7)(E)

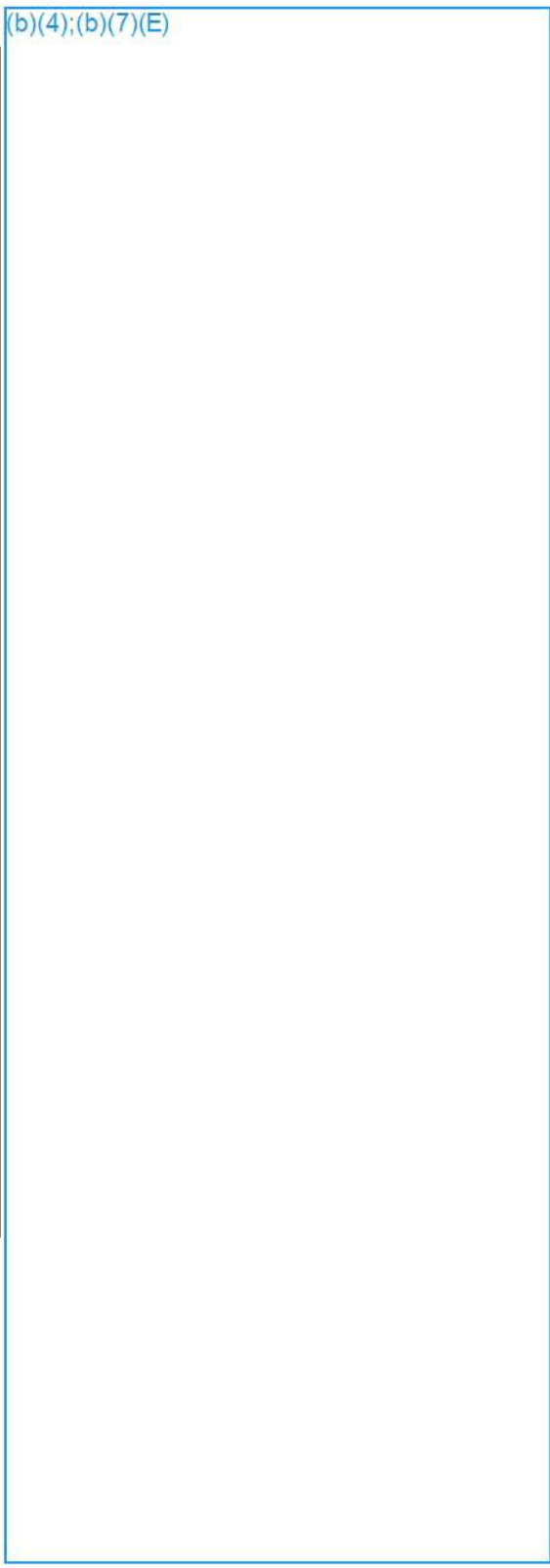


Plot Values

(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)

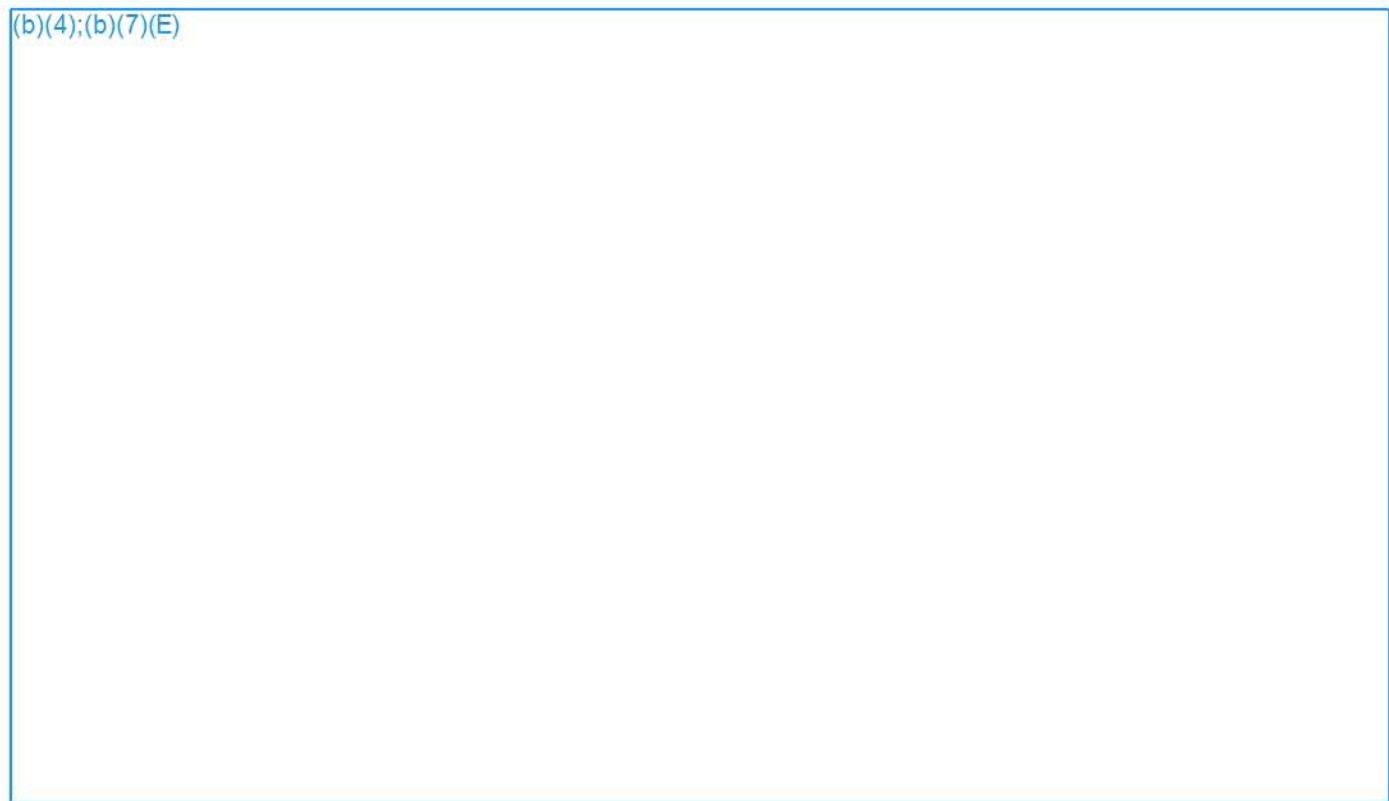


Group-By

(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



Adding Multiple Data Sets to the Time-Chart

(b)(4),(b)(7)(E)



Exporting Charts

(b)(4),(b)(7)(E)

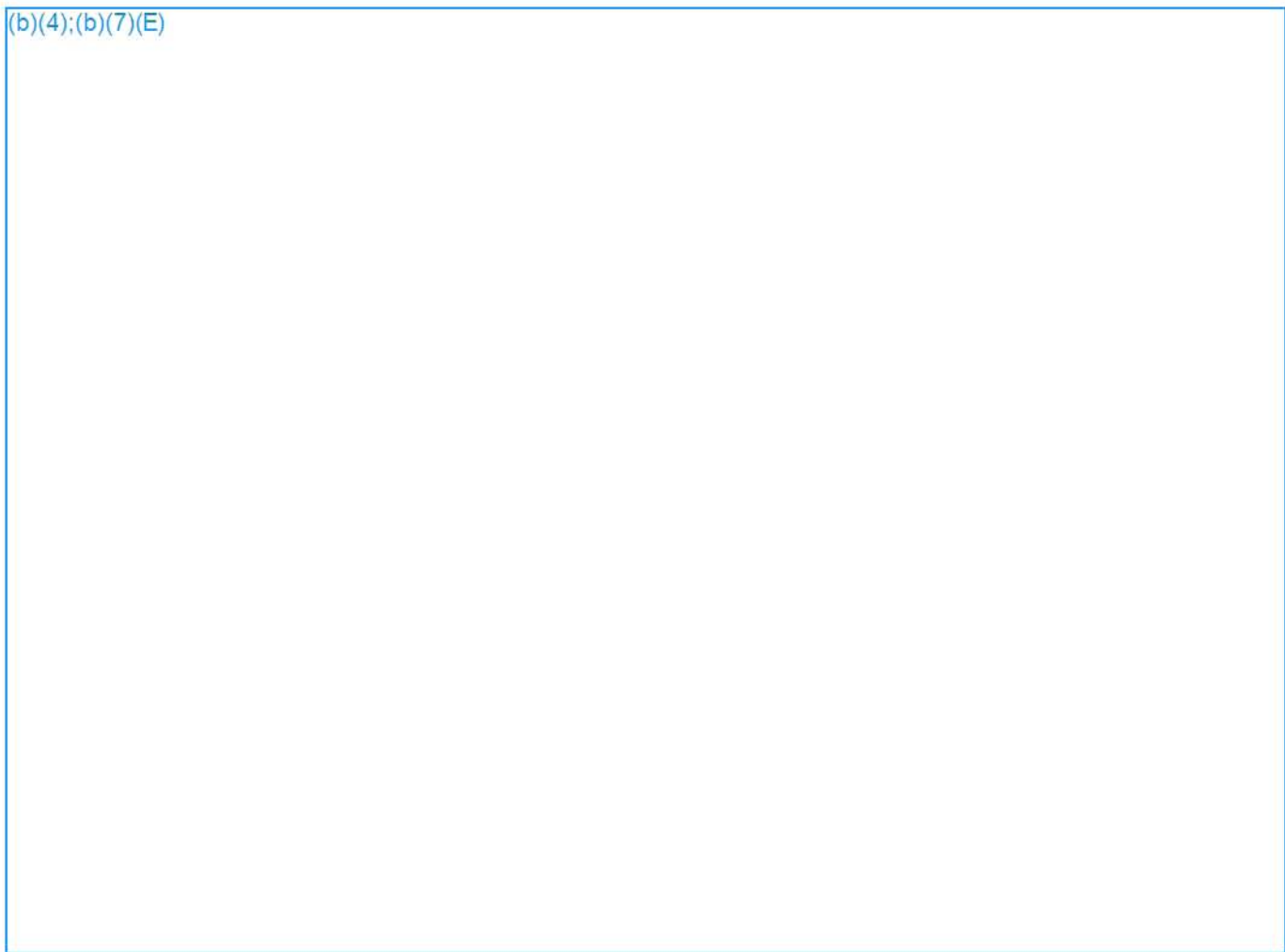


Account View

(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



Choosing a Target in Account View

To choose an entity to view in the Account View, select an entity from the drop-down list by clicking on the down arrow at the top right corner.

(b)(4);(b)(7)(E)

Account View Components

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Complete Transaction History:
Lists each transaction in reverse
chronological order.

(b)(4);(b)(7)(E)

Discrepancy Application

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Discrepancy Options

Countries (required)

The **Origin** country determines which trade export data will be analyzed, while the **Destination** country determines the trade import data. For example, if (b)(7)(E) is defined as the **Origin** country and the United States is defined as the **Destination** country, DARTTS V2 will compare the Data Sets of (b)(7)(E) Exports to US Imports.

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Date Filter

(b)(4);(b)(7)(E)

HTS Number

(b)(4);(b)(7)(E)

Highlight Thresholds

Thresholds for which results are highlighted can be defined by either difference in **Values, Quantities, Counts, Weights, or Normalized Quantities**. Thresholds can be specified on all of these categories in **total** (e.g. total value, total weight) and **percentage**. Highlighting presents a good way to quickly visually distinguish which results are **greater than** or **less than** specified thresholds.

(b)(4),(b)(7)(E)

(b)(4),(b)(7)(E)

Show Only Highlighted Rows

(b)(4),(b)(7)(E)

Discrepancy Results

(b)(4),(b)(7)(E)

Notice the highlighted rows in the results pane above. These are the HTS codes that are greater than or less than the defined threshold parameters. In the example above, any HTS 4 commodity category with an aggregate value difference greater than \$10,000,000 or 20% is highlighted.

Building Discrepancy Data Sets from Discrepancy Results

Once the results for further investigation have been identified, select the results by left clicking on them within the results pane. The row will highlight in **blue** and the “Save Selection as Data Sets” option will appear at the upper right of the screen.

(b)(4);(b)(7)(E)

Save Selection as Data Sets

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Uploading and Importing Data into DARTTS V2

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

The DARTTS V2 Data Importer Application

(b)(4);(b)(7)(E)

The Importer View

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Creating and Viewing Data Folders

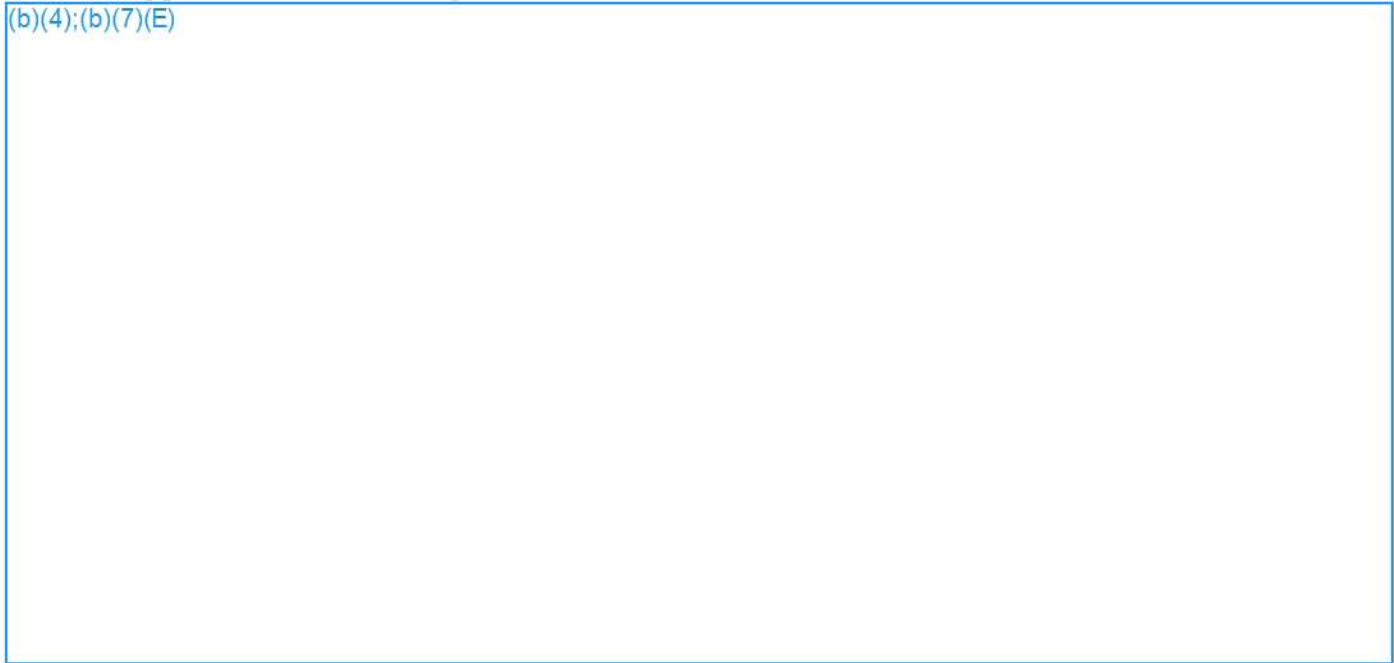
(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

The pane depicted below will appear after “Create” is selected. The new data folder name will appear in the upper left corner of the pane.

(b)(4);(b)(7)(E)



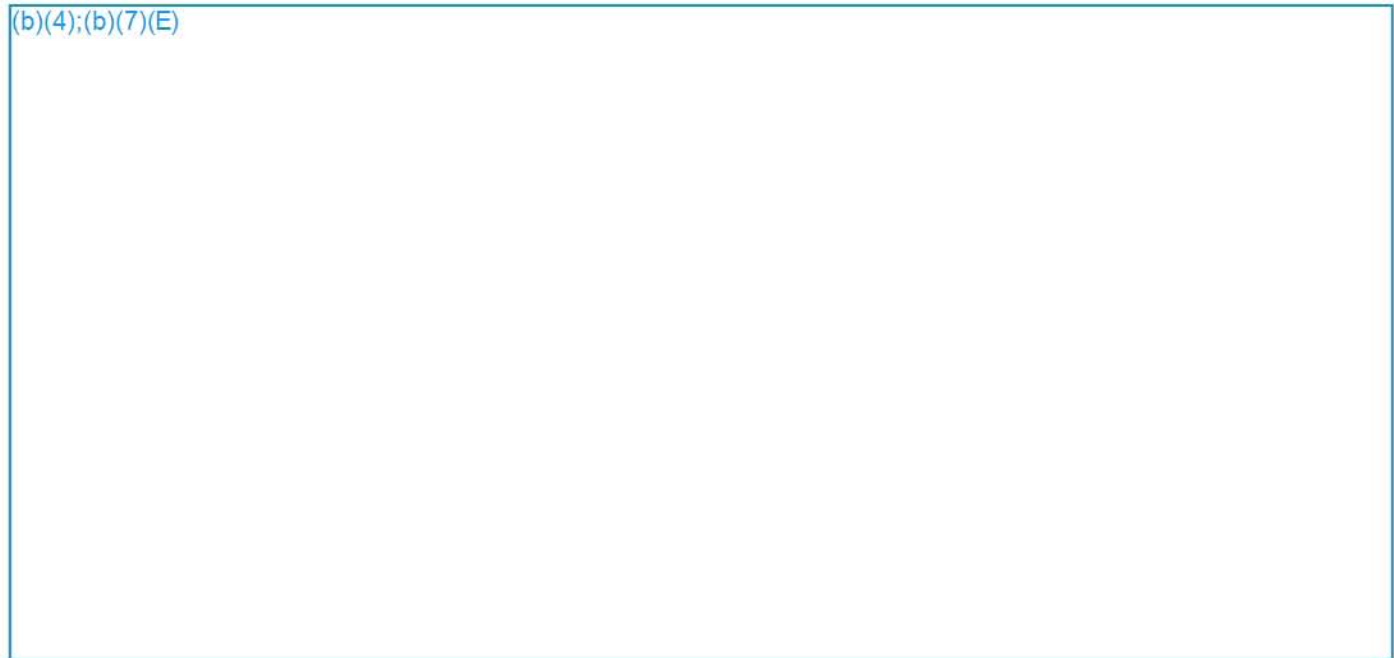
(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



Uploading Data into DARTTS V2 for Import

(b)(4);(b)(7)(E)



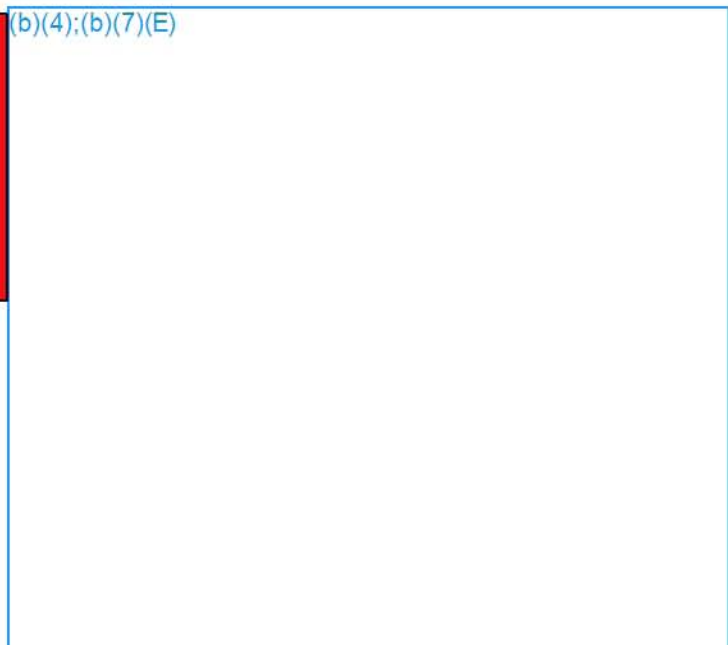
(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)

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(b)(4);(b)(7)(E)

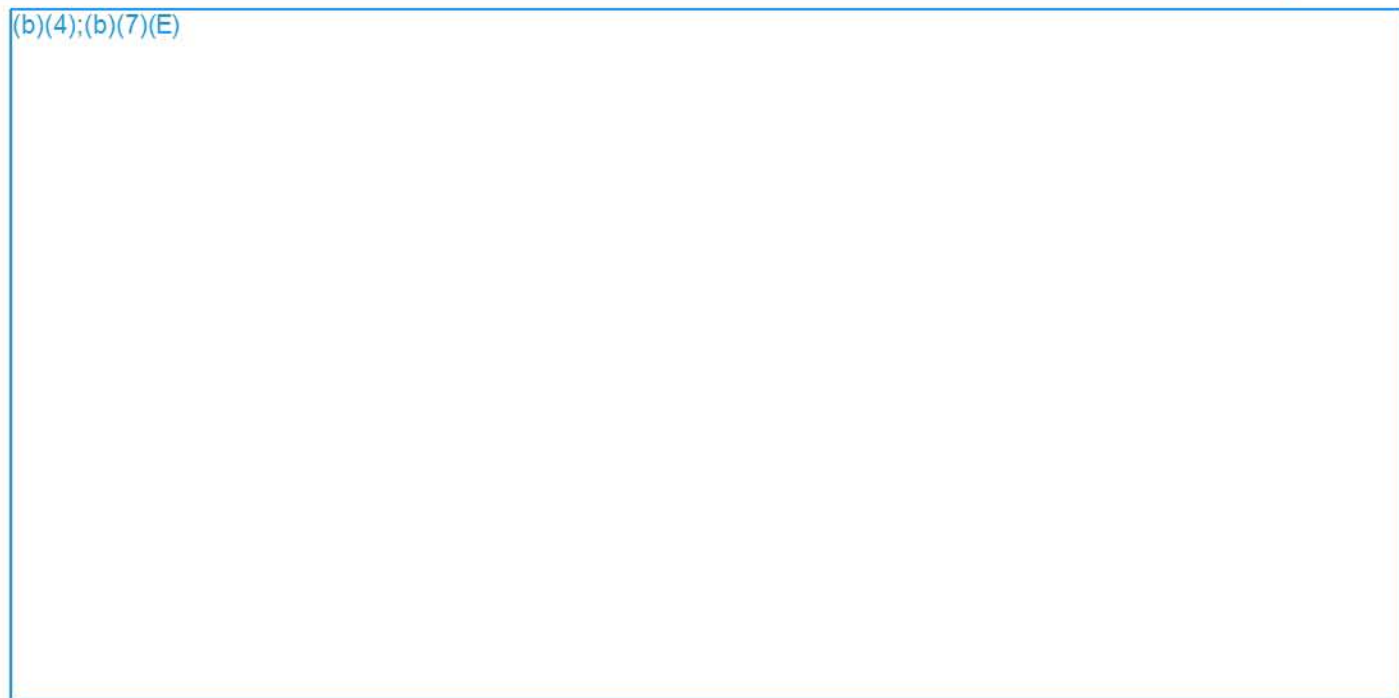
A large rectangular area outlined in blue, indicating redacted content.

Importing and Mapping Data

(b)(4);(b)(7)(E)

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(b)(4);(b)(7)(E)

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(b)(4);(b)(7)(E)

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(b)(4);(b)(7)(E)

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Initiate the File Import Process

(b)(4);(b)(7)(E)

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(b)(4);(b)(7)(E)

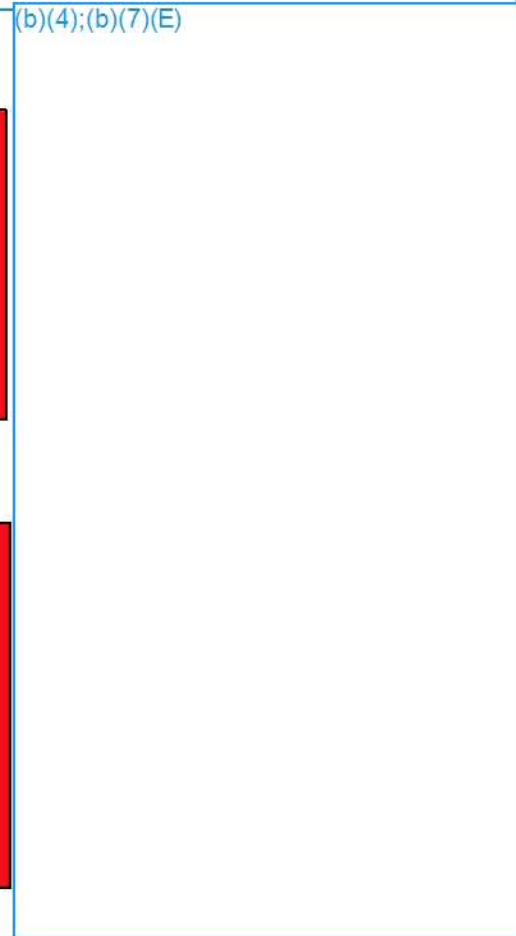
A rectangular area of the document is completely redacted with a solid black fill.

Select a Template

(b)(4);(b)(7)(E)

A large rectangular area of the document is completely redacted with a solid black fill.

(b)(4);(b)(7)(E)

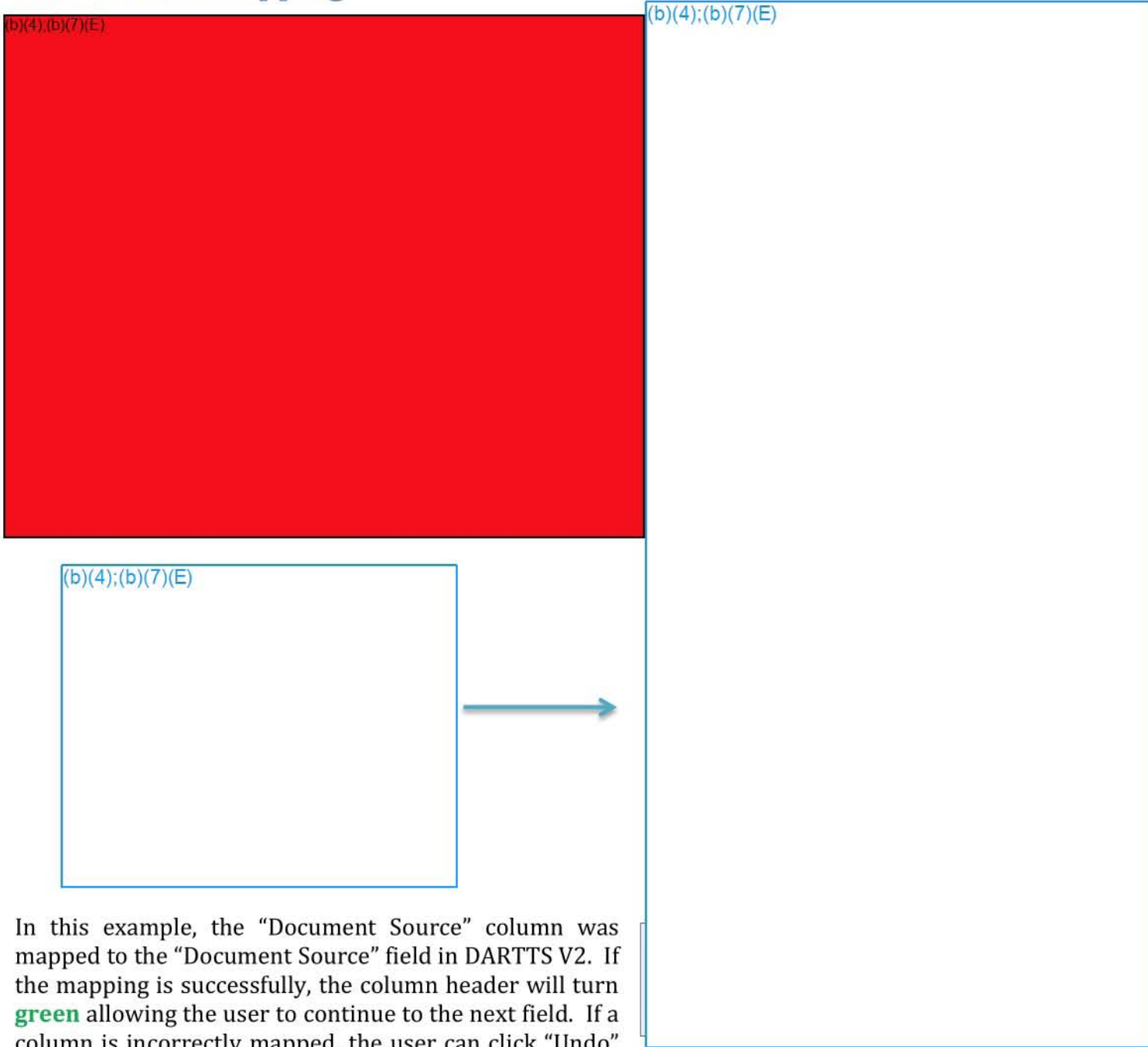
A large rectangular area of the document is completely redacted with a solid black fill.

Select a Preset (Saved Mapping Template)

(b)(4);(b)(7)(E)

A large rectangular area of the document is completely redacted with a solid black fill.

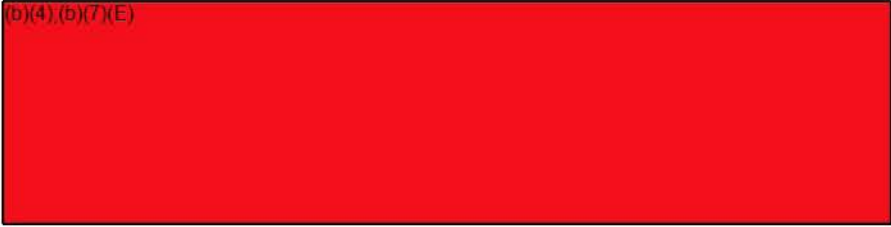
Manual Data Mapping




In this example, the “Document Source” column was mapped to the “Document Source” field in DARTTS V2. If the mapping is successfully, the column header will turn **green** allowing the user to continue to the next field. If a column is incorrectly mapped, the user can click “Undo” located at the upper left of the pane.

Modifying Data during the Mapping Process

(b)(4),(b)(7)(E)



(b)(4);(b)(7)(E)



Adding a Column (Case Number Example)

(b)(4),(b)(7)(E)



(b)(4),(b)(7)(E)



(b)(4),(b)(7)(E)



(b)(4),(b)(7)(E)



Other Helpful Modification Functions

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Other Advanced Options

(b)(4);(b)(7)(E)

Error Notifications

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Importing Mapped Data

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Creating Custom Presets (Saving Manual Mappings)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Import Status

(b)(4);(b)(7)(E)

Viewing Just the Data Imported

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

Viewing Imported Data as Part of a Collection

(b)(4);(b)(7)(E)



Identifying the Source of Imported Data

(b)(4);(b)(7)(E)



Un-Importing Data

(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



Help and Support

Users of FALCON have the ability to access help guides on topics of interest located at the bottom right corner of the FALCON main launch page. The help guides are located under “DARTTS 101” and include how to import data, adding filters, choosing columns, term lists, and several other useful guides and information.

(b)(4);(b)(7)(E)

For questions about or issues with DARTTS V2, please contact the DARTTS V2 TTU team at (b)(7)(E) or the Falcon Help Desk at (b)(7)(E)

BSA Guidelines for Handling of FinCEN Data

As part of the user agreement for access and use of the DARTTS V2 database, each user must agree to and follow the BSA guidelines that outline the appropriate handling of FinCEN data contained in the DARTTS V2. These guidelines apply to any data exported from DARTTS V2 through the “Export to Table” or “Send to Chart” functionality. Please refer to the reference document titled “BSA Re-Dissemination Guidelines of November 2007” for the complete guidelines associated with the handling and dissemination of BSA data. This document can be accessed from the FALCON main launch page along with the other available help guides located under DARTTS 101.

Draw Your Search

Quick Reference Guide



(b)(4);(b)(7)(E)

Draw Your Search

Quick Reference Guide

(b)(4),(b)(7)(E)



(b)(4),(b)(7)(E)




Draw Your Search

Quick Reference Guide

Building Complex Searches

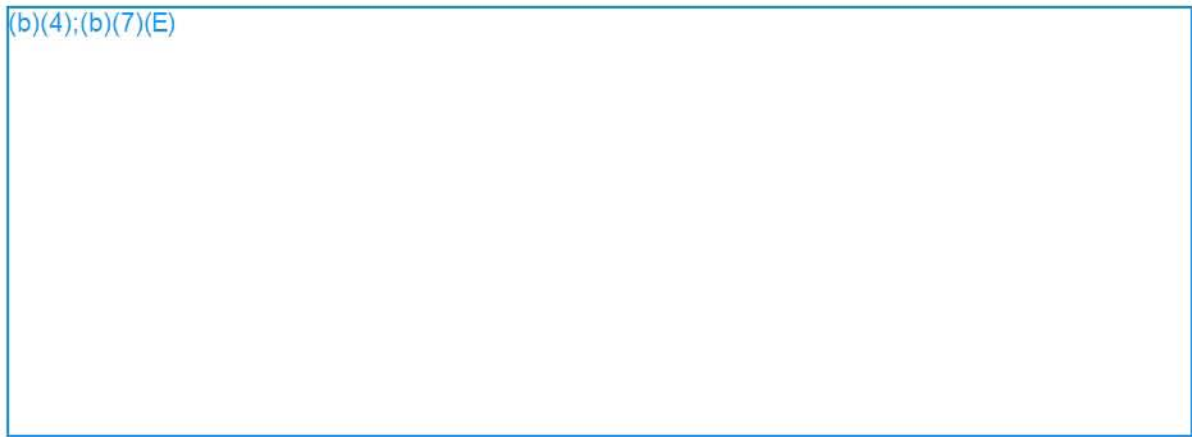
(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



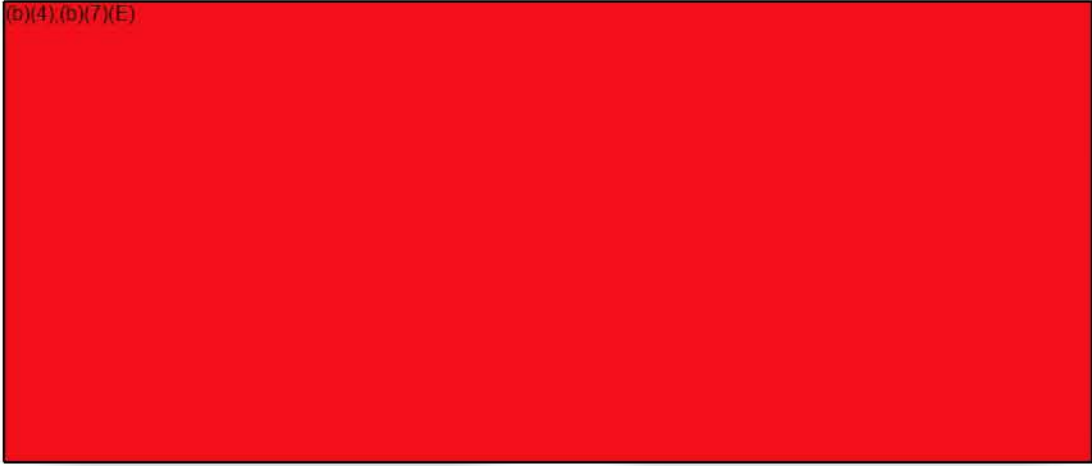
(b)(4);(b)(7)(E)



Draw Your Search

Quick Reference Guide

(b)(4),(b)(7)(E)



(b)(4),(b)(7)(E)



(b)(4),(b)(7)(E)



Draw Your Search

Quick Reference Guide

(b)(4);(b)(7)(E)



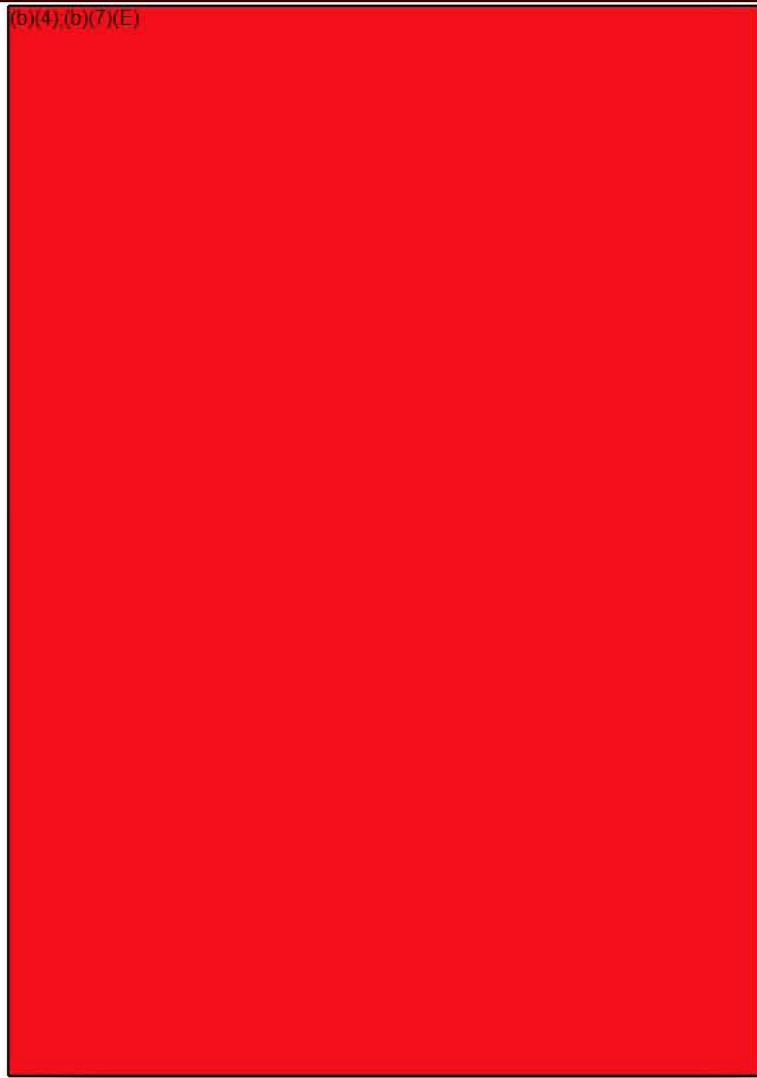
Draw Your Search

Quick Reference Guide

(b)(4),(b)(7)(E)



(b)(4),(b)(7)(E)



(b)(4),(b)(7)(E)




Draw Your Search

Quick Reference Guide

(b)(4);(b)(7)(E)



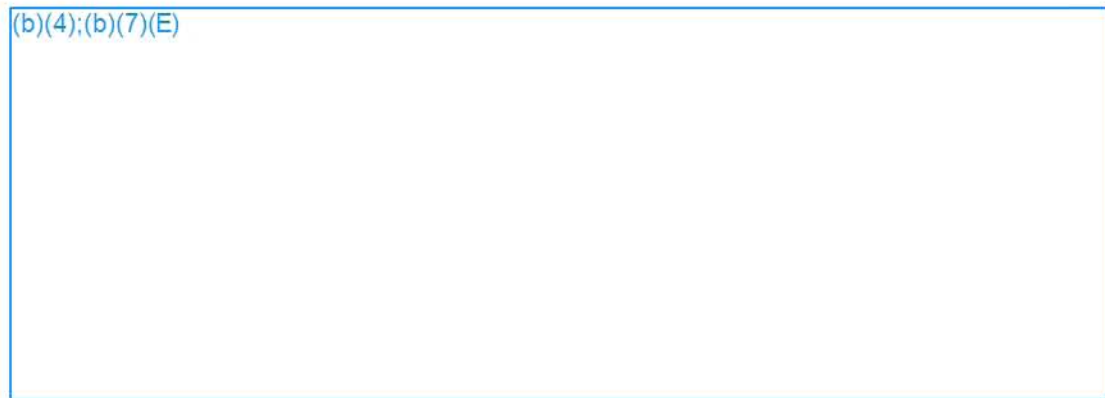
(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



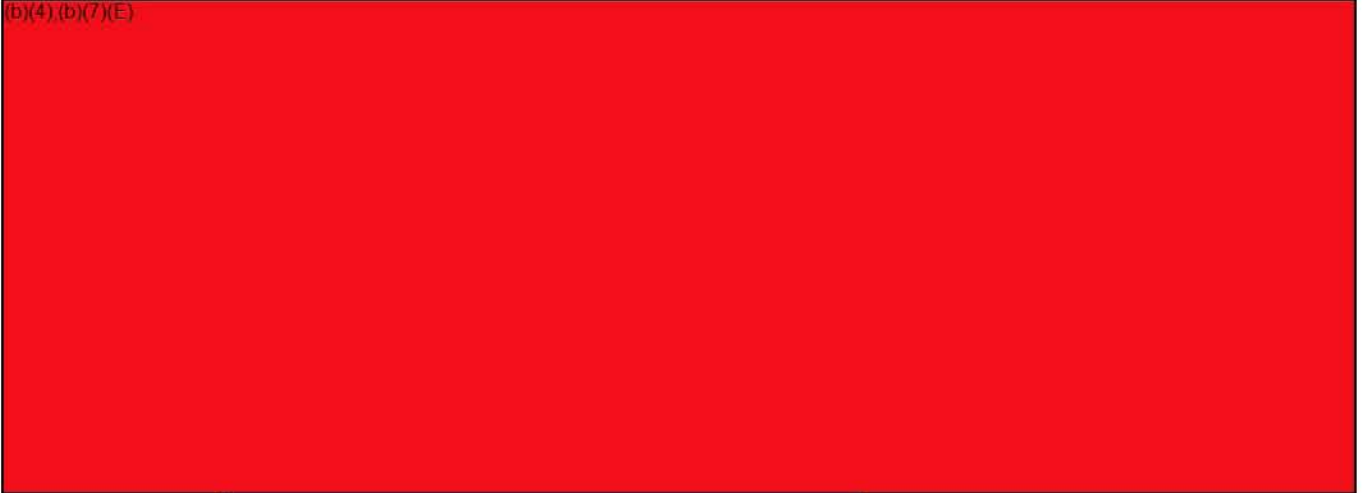
(b)(4);(b)(7)(E)



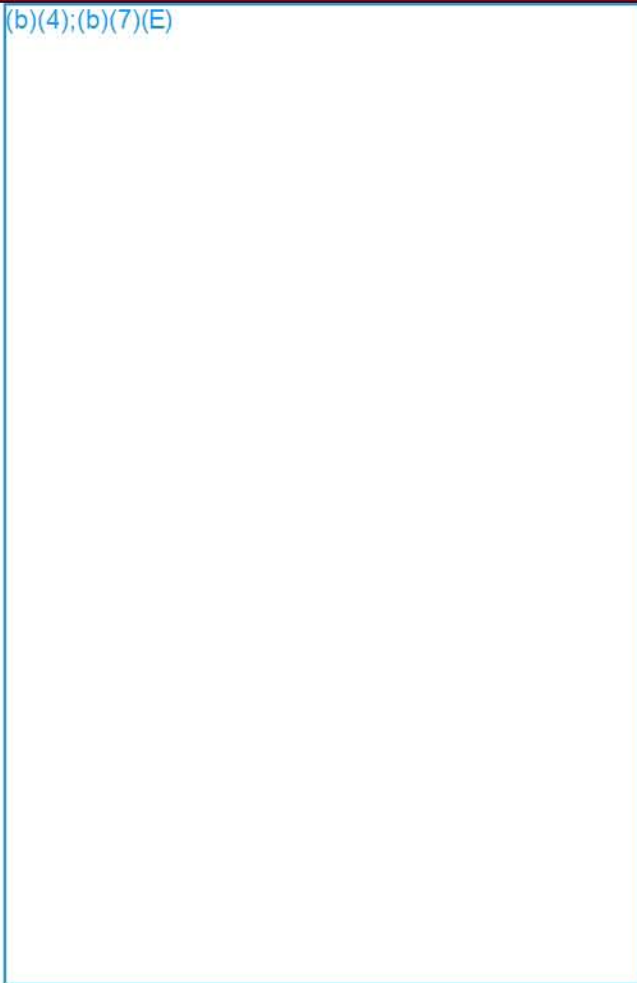
Draw Your Search

Quick Reference Guide

(b)(4);(b)(7)(E)



(b)(4);(b)(7)(E)



Conclusion: This concludes this guide. If you have any questions, concerns, or ideas for future enhancements to these features, please don't hesitate to send them to the **FALCON Help Desk** [\(b\)\(7\)\(E\)](#)

From: (b)(6);(b)(7)(C)
Sent: 14 Jun 2016 18:14:22 +0000
To: (b)(6);(b)(7)(C)
Cc: (b)(6);(b)(7)(C)
Subject: Re: FALCON Contract DD254 - FOLLOW UP

Hi (b)(6);(b)

(b)(4)

My colleague (b)(6);(b)(7)(C) (copied) is expert in these matters. If helpful, I would be pleased to organize a conference call to discuss further.

Best,
(b)(6);(b)(7)(C)

(b)(6);(b)(7)(C)
Palantir Technologies | (M) 781.248.(b)(6) | (F) 650.618.2665 | (b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)
Date: Tuesday, June 14, 2016 at 10:49 AM
To: (b)(6);(b)(7)(C)
Cc: (b)(6);(b)(7)(C)
(b)(6);(b)(7)(C)
Subject: FW: FALCON Contract DD254 - FOLLOW UP

Dear (b)(6);(b)(7)(C)

I'm not familiar with Palantir's internal organizational structure and so feel inadequate to respond to (b)(6);(b)(7)(C) questions below. Would you please explain to him and to me the issues behind the assignment of separate CAGE Codes to various components of Palantir? Thank you.

Best wishes,

(b)(6);(b)(7)(C)
Management and Program Analyst
ICE – HSI - ISIM
Mobile: 202-594-(b)(6);
(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)
Sent: Tuesday, June 14, 2016 10:39 AM
To: (b)(6);(b)(7)(C) PSU-Industrial-Security
Cc: (b)(6);(b)(7)(C)
Subject: RE: FALCON Contract DD254 - FOLLOW UP

Hello:

The contractual information I have on contract HSCETC-14-C-00002 indicates the Vendor as Palantir USG (see attached) and Palantir Technologies for HSCETC-15-C-00001 (see attached). When did the name change for HSCETC-14-C-00002 and is there a Modification which has been issued showing Palantir Technologies as the new vendor?

(b)(4)



Thanks.

If you need anything else please let me know. I can be contacted at the phone number listed below. My office hours are from 7:30 am - 4:00 pm Monday thru Friday.

Best Regards,

(b)(6);(b)(7)(C)
Security Specialist
PSU-Industrial Security
OPR Security Division
Voice: 972-455-(b)(6)
FAX: 972-455-5193
(b)(6);(b)(7)(C);(b)(7)(E)

For assistance and general questions about security clearance verification and background investigations, please contact the PSU Customer Service Desk @ 1-866-731-(b)(7)(E)

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From: (b)(6);(b)(7)(C)
Sent: Tuesday, June 14, 2016 9:22 AM
To: (b)(6);(b)(7)(C)
Cc:
Subject: RE: FALCON Contract DD254 - FOLLOW UP

Dear (b)(6);(b)(7)(C)

(b)(4)

Best wishes,

(b)(6);(b)(7)(C)
Management and Program Analyst
ICE – HSI - ISIM
Mobile: 202-594-(b)(6);
(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)
Sent: Tuesday, June 14, 2016 9:32 AM
To: (b)(6);(b)(7)(C)
Cc:
Subject: RE: FALCON Contract DD254 - FOLLOW UP
Importance: High

Hello:

The Contract indicates the Vendor for HSCETC-15-C-00001 is Palantir Technologies Inc., CAGE Code 47oF5. (b)(4)

(b)(4)

=====
=====

Hello:

I have not received the SOW/PWS to review. Once I have the SOW/PWS I can make a determination on what additional information will be required.

Thanks.

If you need anything else please let me know. I can be contacted at the phone number listed below. My office hours are from 7:30 am - 4:00 pm Monday thru Friday.

Best Regards,

(b)(6);(b)(7)(C)

Security Specialist
PSU-Industrial Security
OPR Security Division
Voice: 972-455-(b)(6)
FAX: 972-455-5193

(b)(6);(b)(7)(C);(b)(7)(E)

For assistance and general questions about security clearance verification and background investigations, please contact the PSU Customer Service Desk @ 1-866-731-(b)(7)(E)

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From: (b)(6);(b)(7)(C)

Sent: Monday, June 13, 2016 7:08 PM

To: (b)(6);(b)(7)(C)

Cc:

Subject: RE: FALCON Contract DD254

Mr. (b)(6) & Mr. (b)(6);(b)(7)(C)

Just following-up on this – what is the current status of the DD254? Do you have everything you need from us to issue the document?

Any further light you could shed on this would be greatly appreciated. Thank you very much in advance for your time & assistance.

Best Regards,

(b)(6)

(b)(6);(b)(7)(C)

Site Reliability Operations

Palantir USG

949-212-(b)(6);

(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)

Sent: Tuesday, June 07, 2016 9:56 AM

To: (b)(6);(b)(7)(C)

Cc:

Subject: RE: FALCON Contract DD254

Dear (b)(6);(b)(7)(C)

I need to send you a copy (as soon as I have it) of the modification which was very recently approved to this contract. (b)(5)

Best wishes,

(b)(6);(b)(7)(C)

Management and Program Analyst

ICE – HSI - ISIM

Mobile: 202-422-(b)(6);(

(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)

Sent: Tuesday, June 07, 2016 12:01 PM

To: (b)(6);(b)(7)(C)

Cc:

Subject: RE: FALCON Contract DD254

Hello:

Yes a DD 254 will need to be issued.

I looked at the PWS for 15-C-00001 and could not find the reference in paragraph 13.0 :

(b)(5)

Since classified information access has been determined issuance of a DD Form 254 by DHS HQ Industrial Security Program Branch must take place prior to onboarding of personnel. In order to streamline processing of the DD 254, please ensure the following information is included in the final Contract Document/Statement of Work:

(b)(5)

Once the PWS has been updated and the SCI 5Ws completed return both to (b)(7)(E)

(b)(7)(E) for review.

After everything has been reviewed and approved a modification will need to be issued indicting the changes from SBU to Classified.

Personnel onboarding may not begin until receipt of an executed DD Form 254 from DHS HQ ISPB. Additionally, once the DD254 is issued, a modification to the contract will be necessary to incorporate and outline the terms of the DD254 to the vendor. Please have the COR contact PSU Industrial Security for assistance if necessary.

Thanks.

If you need anything else please let me know. I can be contacted at the phone number listed below. My office hours are from 7:30 am - 4:00 pm Monday thru Friday.

Best Regards,

(b)(6);(b)(7)(C)

Security Specialist
PSU-Industrial Security
OPR Security Division
Voice: 972-455-(b)(6);
FAX: 972-455-5193

(b)(6);(b)(7)(C);(b)(7)(E)

For assistance and general questions about security clearance verification and background investigations, please contact the PSU Customer Service Desk @ 1-866-731-(b)(7)(E)

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From: (b)(6);(b)(7)(C)

Sent: Tuesday, June 07, 2016 10:19 AM

To: (b)(6);(b)(7)(C)

Cc:

Subject: RE: FALCON Contract DD254

(b)(6);(b)(7)(C)

It is 15-C-00001

Thank you

From: (b)(6);(b)(7)(C)

Sent: Tuesday, June 07, 2016 11:11 AM

To: (b)(6);(b)(7)(C)

Cc:

Subject: RE: FALCON Contract DD254

Hello:

Which Palantir Contract is this referring to 14-C-00002 or 15-C-00001?

Thanks.

If you need anything else please let me know. I can be contacted at the phone number listed below. My office hours are from 7:30 am - 4:00 pm Monday thru Friday.

Best Regards,

(b)(6);(b)(7)(C)

Security Specialist

PSU-Industrial Security

OPR Security Division

Voice: 972-455-(b)(6);(

FAX: 972-455-(b)(7)(C)

(b)(6);(b)(7)(E);(b)(7)(C)

For assistance and general questions about security clearance verification and background investigations, please contact the PSU Customer Service Desk @ 1-866-731-(b)(6);(b)(7)(C);(b)(7)(E)

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From: (b)(6);(b)(7)(C)

Sent: Tuesday, June 07, 2016 10:03 AM

To: (b)(6);(b)(7)(C)

Cc:

Subject: FW: FALCON Contract DD254

Dear Mr. (b)(6);

Please read the query just below. (b)(4)

(b)(4) Please instruct me how I can facilitate this. Is the DD Form 254 applicable in this case? If not, what other procedure should I initiate?

Thank you for your anticipated assistance.

Best wishes,

(b)(6);(b)(7)(C)

Management and Program Analyst

ICE – HSI - ISIM

Mobile: 202-422-(b)(6);

(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)

Sent: Tuesday, June 07, 2016 10:56 AM

To: (b)(6);(b)(7)(C)

Cc:

Subject: RE: FALCON Contract DD254

Mr. (b)(6);(

Hello, my name is (b)(6);(b)(7)(b)(4) First of all, thank you very much for your offer to help get our questions answered.

(b)(5)

Thank you very much in advance for your time and assistance.

Best Regards,

(b)(6);(b)(7)(C)

(b)(6);(b)(7)(C)

Site Reliability Operations

Palantir USG

949-212-(b)(6)

(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)

Sent: Monday, June 06, 2016 7:05 AM

To: (b)(6);(b)(7)(C)

Cc:

Subject: RE: FALCON Contract DD254

Dear (b)(6);(b)(7)(C)

I would be happy to route any questions to PSU Industrial Security; they will make the call on who is best to respond to them.

Best wishes,

(b)(6);(b)(7)(C)

Management and Program Analyst

ICE – HSI - ISIM

Mobile: 202-422-(b)(6);(b)(7)(C)

(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)

Sent: Monday, June 06, 2016 12:09 AM

To: (b)(6);(b)(7)(C)

Cc:

Subject: FALCON Contract DD254

(b)(6) and (b)(6);

(b)(6) and (b)(6)

(b)(4) Who is the best person for (b)(6) to reach out to as an ICE security counterpart?

Thanks,

(b)(6)

From: (b)(6);(b)(7)(C)
Sent: 4 May 2016 13:46:37 +0000
To: (b)(6);(b)(7)(C)
Cc: (b)(6);(b)(7)(C)
Subject: Re: Government Responses to Palantir Edits to Proposed 2016-17 FALCON Statement of Outcomes
Attachments: FALCON_PWS_OM_5-2-2016_PAS.docx

Mr. (b)(6)

Good morning. In the attached version of the PWS, I have made one additional insertion to the footer on (b)(5)
(b)(5)
(b)(5) the current versions of the PWS and SOO acceptable.

Please let me know if you have any questions or concerns.

Best,
(b)(6)

(b)(6);(b)(7)(C)
Palantir Technologies | (M) 781.248.(b)(6) | (F) 650.618.2665 | (b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)
Date: Tuesday, May 3, 2016 at 10:43 AM
To: (b)(6);(b)(7)(C)
(b)(6);(b)(7)(C)
Cc: (b)(6);(b)(7)(C)
(b)(6);(b)(7)(C)
Subject: RE: Government Responses to Palantir Edits to Proposed 2016-17 FALCON Statement of Outcomes

Dear Mr. (b)(6);(b)(7)(C)

The documents are reattached. I had some problems with my Outbox yesterday afternoon, which is why the message did not reach you until this morning.

Best wishes,

(b)(6);(b)(7)(C)
Management and Program Analyst
ICE – HSI - ISIM
Mobile: 202-422-(b)(6);(b)(7)(C)
(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)

Sent: Tuesday, May 03, 2016 10:38 AM

To: (b)(6);(b)(7)(C)

Cc:

(b)(6);(b)(7)(C)

Subject: Re: Government Responses to Palantir Edits to Proposed 2016-17 FALCON Statement of Outcomes

Importance: High

Hi Mr. (b)(6);(b)(7)(C)

Many thanks. If you would, can you kindly re-attach the documents please?

Best,

(b)(6)

(b)(6);(b)(7)(C)

Palantir Technologies | (M) 781.248 (b)(6) (F) 650.618.2665 | (b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)

Date: Tuesday, May 3, 2016 at 10:03 AM

To: (b)(6);(b)(7)(C)

(b)(6);(b)(7)(C)

Cc: (b)(6);(b)(7)(C)

(b)(6);(b)(7)(C)

Subject: RE: Government Responses to Palantir Edits to Proposed 2016-17 FALCON Statement of Outcomes

Dear Mr. (b)(6);(b)(7)(C)

Attached, please find my reviewed copies of the to-be-amended PWS and the 2016-17 Statement of

(b)(6);(b)(7)(C);(b)(5)

I look forward to hearing back from you so that we can finalize these two documents and get them over to OAQ along with the exercise of the Year 1 Option.

Best wishes,

(b)(6);(b)(7)(C)

Management and Program Analyst
ICE – HSI - ISIM

Mobile: 202-422-(b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)
Sent: Monday, May 02, 2016 2:21 PM
To: (b)(6);(b)(7)(C)
Cc:
Subject: Re: Government Responses to Palantir Edits to Proposed 2016-17 FALCON Statement of Outcomes

Hi Mr. (b)(6);(b)(7)(C)

I hope you had a great weekend. Are you able to share any updates on the status of your review?

Thanks as always,

(b)(6);(b)(7)(C)

(b)(6);(b)(7)(C)

Palantir Technologies | (M) 781.248.(b)(6) (F) 650.618.2665 | (b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)
Date: Thursday, April 28, 2016 at 8:55 AM
To: (b)(6);(b)(7)(C)
(b)(6);(b)(7)(C)
Cc: (b)(6);(b)(7)(C)
(b)(6);(b)(7)(C)
Subject: RE: Government Responses to Palantir Edits to Proposed 2016-17 FALCON Statement of Outcomes

Dear Mr. (b)(6);(b)(7)(C)

Thank you very much for your reply and return submission. I have spoken with my contracting officers

(b)(5)

I will draft up proposed language for you to consider and send it to you tomorrow, along with my review of your replies received today. I will be mostly out of pocket today, occasionally checking emails (Take Your Child to Work Day).

Best wishes,

(b)(6);(b)(7)(C)

Management and Program Analyst

DHS - ICE - HSI - IS&IM

Desk: 202-732-(b)(6);

Blackberry: 202-422-(b)(6);

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From: (b)(6);(b)(7)(C)

Sent: Wednesday, April 27, 2016 5:18 PM

To: (b)(6);(b)(7)(C)

Cc:

Subject: Re: Government Responses to Palantir Edits to Proposed 2016-17 FALCON Statement of Outcomes

Hi Mr. (b)(6) Mr. (b)(6);(b)(7)(C)

Thanks again for your time earlier this week. Consistent with our discussion, please find the revised version of the PWS and Statement of Outcomes attached. As Mr. (b)(6) recommended, we defer to OAQ to suggest the

(b)(5)

Please let me know if you have any questions or concerns prior to incorporating these documents into the effective modification.

Best,

(b)(6)

(b)(6);(b)(7)(C)

Palantir Technologies | (M) 781.248-(b)(6) | (F) 650.618.2665 | (b)(6);(b)(7)(C)

From: (b)(6);(b)(7)(C)

Date: Wednesday, April 20, 2016 at 4:20 PM

To: (b)(6);(b)(7)(C)

(b)(6);(b)(7)(C)

Cc: (b)(6);(b)(7)(C)

(b)(6);(b)(7)(C)

Subject: Government Responses to Palantir Edits to Proposed 2016-17 FALCON Statement of Outcomes

Dear (b)(6) and Mr. (b)(6);(b)(7)(C)

Please find the FALCON PMO's responses to your revisions to the proposed 2016-17 FALCON Statement of Outcomes, as well as suggested edits to the FALCON PWS. Regarding the SOO, there are two issues which still need to be addressed by (b)(6);(b)(7)(C) (they are noted in the document). Resolutions on

these two issues will be sent to you within the next several working days. In the meantime, we didn't want to slow down the negotiation process.

We look forward to reviewing your next set of responses.

Best wishes,

(b)(6);(b)(7)(C)

Management and Program Analyst

ICE – HSI - ISIM

Mobile: 202-422 (b)(6);

(b)(6);(b)(7)(C)



U.S. Immigration
and Customs
Enforcement

For Official Use Only

FALCON OPERATIONS & MAINTENANCE SUPPORT & SYSTEM ENHANCEMENT Performance Work Statement

(amended)

May ~~44~~², 201~~5~~⁶

Homeland Security Investigations (HSI)

Mission Support



Homeland
Security

Page 668

Withheld pursuant to exemption

WIF Draft;(b)(5)

of the Freedom of Information and Privacy Act

Page 669

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of the Freedom of Information and Privacy Act

Page 670

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Page 671

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Page 672

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Page 675

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(b)(4)



FALCON

Schedule of Outcomes: 2017-2018

(b)(4)

(b)(4),(b)(6),(b)(7)(C)

(b)(4)



(b)(4)

(b)(4),(b)(6),(b)(7)(C)

(b)(4)

(b)(4)

(b)(4)



[Redacted content]

ACCESS CONTROL LIST (ACL)

Special Project Introduction



(b)(4),(b)(7)(E)

If you have any questions about ACLs, or any other FALCON topic, please reach out to the **FALCON Help Desk** at [\(b\)\(7\)\(E\)](#)



(b)(4)

DSS List Import & Workflow Guide

2018-ICLI-00009 739



Formatting DSS List Before Import

(b)(4)

(b)(4),(b)(7)(E)

2018-ICLI-00009 740



Importing & Selecting Data Source

(b)(4)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

2018-ICLI-00009 741



Select DSS PIM

(b)(4)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

2018-ICLI-00009 742



(b)(4)



(b)(4)

Understanding and Organizing Imported Companies

2018-ICLI-00009 743



Understanding DSS Ontology

(b)(4)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

2018-ICLI-00009 744



Filtering, Organizing and Drilling Down

(b)(4)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

2018-ICLI-00009 745



Filtering, Organizing and Drilling Down (Cont.)

(b)(4)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

2018 ICL 00009 746



Filtering, Organizing and Drilling Down (Cont.)

(b)(4)

(b)(4);(b)(7)(E)

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(b)(4);(b)(7)(E)

2018-ICLI-00009 747



(b)(4)

Identifying Companies With Existing Entities

2018-ICLI-00009 748



Custom Search Around

(b)(4)

(b)(4);(b)(7)(E)

2018-ICLI-00009 749



Custom Search Around (Cont.)

(b)(4)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

2018-ICLI-00009 750



Custom Search Around (Cont.)

(b)(4)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

(b)(4);(b)(7)(E)

2018-ICLI-00009 751