



U.S. Immigration
and Customs
Enforcement

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FALCON Concept of Operations (CONOPS)

November 2011

Office of the Chief Information Officer (OCIO)



Homeland
Security

FALCON Concept of Operations

Immigration and Customs Enforcement (ICE) Authorization		
Submitted By:	(b)(6);(b)(7)(C)	11/23/11
	DHS/ICE/HSI	
Submitted By:	(b)(6);(b)(7)(C)	11/23/11
	Supporting ITPM OCIO/SDD	
	[Name], [Title], Sponsor (Headquarters Activity)	Date
Endorsed By:		
		[Name], [Title] HSI Executive Board (EB) Chair or IT Portfolio Working Group (ITPWG) Chair
Approved By:		
		[Name], [Title] ICE Component Acquisition Executive (CAE)

Revision History

No.	Date	Reference: Page, Table, Figure, Paragraph	A = Add M = Mod D = Del	Change Description
1.	11/23/2011			Initial Draft Version

CONTENTS

1.0	INTRODUCTION.....	1
2.0	DOCUMENT REFERENCES.....	1
3.0	ASSUMPTIONS AND CONSTRAINTS.....	1
3.1	Assumptions.....	1
3.2	Constraints.....	2
3.3	Dependencies.....	2
4.0	CURRENT SYSTEM.....	2
4.1	Background.....	2
	4.1.1 Federal Rules of Civil Procedure for E-Discovery	Error! Bookmark not defined.
4.2	Description of the Current System.....	3
	4.2.1 Current Systems and Gaps.....	3
5.0	JUSTIFICATION FOR THE FALCON PROGRAM.....	4
6.0	NEEDED CHANGES OR NEW BUSINESS PROCESS.....	4
6.1	Summary of Changes.....	Error! Bookmark not defined.
6.2	Impact of Changes.....	4
7.0	CONCEPTS FOR THE PROPOSED SYSTEM.....	4
7.1	System Overview.....	5
	7.1.1 Document Review.....	Error! Bookmark not defined.
7.2	Business Requirements.....	5
7.3	Project Sponsors and Other Organizational Entities.....	5
7.4	Operational Policies and Constraints.....	5
7.5	Description of the Proposed System.....	5
	7.5.1 Major System Components and Interconnections.....	5
	7.5.2 Capabilities and Functions.....	Error! Bookmark not defined.
	7.5.3 Interfaces to External Systems.....	7
	7.5.4 Data Sent or Received.....	7
7.6	Attributes of the Proposed System or Functionality.....	7
	7.6.1 Performance Characteristics.....	7
	7.6.2 Availability and Reliability.....	7
	7.6.3 Interoperability.....	8
	7.6.4 System Portability.....	8
	7.6.5 Provisions for Security, Privacy, Integrity, and Contingency.....	8
7.7	User Classes.....	9
7.8	Support and Maintenance Environment.....	9
8.0	SCOPE.....	10
9.0	OPERATIONAL SCENARIOS.....	10
9.1	Operational Scenario.....	10
10.0	SUMMARY OF IMPACTS.....	ERROR! BOOKMARK NOT DEFINED.
10.1	Operational Impacts.....	12
10.2	Organizational Impacts.....	12
10.3	Impacts during Development.....	12
11.0	ANALYSIS OF THE PROPOSED SYSTEM OR FUNCTIONALITY.....	12
11.1	Summary of Advantages.....	12
11.2	Summary of Disadvantages or Limitations.....	13

11.3 Alternatives and Trade-offs Considered..... 13

APPENDIX A: ACRONYMS AND ABBREVIATIONS 15

Exhibit: 1 System Diagram.....5

Exhibit: 2 User Class Profiles.....9

1.0 Introduction

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5.0 JUSTIFICATION FOR THE FALCON PROGRAM

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Exhibit: 1 System Diagram

Notional Product Design Diagram

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10.0 SUMMARY OF IMPACTS

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11.0 ANALYSIS OF THE PROPOSED SYSTEM OR FUNCTIONALITY

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11.2 Summary of Disadvantages or Limitations

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Appendix A: Acronyms and Abbreviations

ABBREVIATION	DEFINITION
BI	Business Intelligence
ConOps	Concept of Operations
DHS	U.S. Department of Homeland Security
EDSS	Electronic Discovery Software System
ELMS	Enterprise Library Management System
HSI	Homeland Security Investigations
HSTC	Human Smuggling and Trafficking Center
IAD	Information Assurance Division
ICE	U.S. Immigration and Customs Enforcement
ICEPIC	ICE Pattern Analysis and Information Collection System
IFS	Intelligence Fusion System
LE	Law Enforcement
MOU	Memoranda of Understanding
OCIO	Office of the Chief Information Officer
PIA	Privacy Impact Assessment
PII	Personally Identifiable Information
SSO	single sign-on
TECS	



FALCON Deliverables



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HSI Tipline Falcon Workflow Application Summary

Primary Workflow Steps:

- 0) Tips come in one of three ways;
 - a. Webform filled out by civilian tipster (primary input);
 - b. Call from civilian tipster; or
 - c. Email referred from another agencyEach of these entry methods will have its own process for creating the appropriate new records in Falcon.

- 1a) Tips arriving by webform come through as both spreadsheets and HTML documents (one spreadsheet per tip).
 - a. HSI Tipline Specialists will enter information from these HTML documents and spreadsheets into data entry forms in HSI Tipline's Falcon specific workflow application.

- 1b) Calls from civilian tipsters get entered directly into Falcon through data entry forms in the Workflow app.
 - b. HSI Tipline Specialists field the call directly, gathering the caller's information and the substance of the tip. This information will be captured through data entry forms in HSI Tipline's Falcon specific workflow application.

- 1c) Emails referred from other agencies currently go to a shared inbox account. The pertinent information in these emails can be captured by Falcon through one of two methods initially:
 - a. Emails can be dragged individually into Falcon and then manually tagged to extract the relevant information.
 - b. Information can be manually typed into the Falcon HSI Tipline workflow app.

- 2) Data from all three sources will become workflow 'tickets' in Falcon, which HSI Tipline Specialists can use to track the status of their current work, automatically create records in Falcon for further analysis, and eventually forward on as leads to agents and analysts in the field. Initially, these 'tickets' will be generated in Falcon by the HSI Tipline user manually; he or she will fill out the appropriate fields in the workflow app based on the source of the tip (steps 1a, 1b, and 1c above).

- 3) HSI Tipline Specialists can combine their checks of their legacy ACRIME data, TECS and other data sources into one series of searches within Falcon. At launch, the 'data integrated by Falcon' will include (b)(7)(E) but additional data sources will be added later.

Search features already built into Falcon can be used simultaneously against all data sources already fully integrated by Falcon, via the following methods:

- i. Search Around 'Properties' – Finds any other record in Falcon that matches on a variety of key, representative common values. Examples of searched fields include name, address, SSN, FINS#.
- ii. Custom Search Around – HSI Tipline Specialists can create and save a search for records which match an initial set of records by any criteria they want. Saved searches can be run with only two clicks against any number of records at once.
- iii. Filters Search – HSI Tipline Specialists can specify exact sets of properties to find a broad range of records that might match on several criteria, like height, hair color and gang affiliation.
- iv. Geo Search – For tips or persons located in a specific area, HSI Tipline Specialists can use Falcon's map application to search specific areas for people, buildings and events matching specific descriptions, or within given date ranges.

These search features can be used in place of both the duplicate searches and some of the separate system checks currently performed by HSI Tipline Specialists.

An additional benefit for HSI Tipline Specialists will be that when they have completed duplicate checks, system checks, and additional research in Falcon (using the same graph application and search tools used by agents and analysts in the field), they will have the ability to share the results of their research by 'sharing their graph' with other users directly through Falcon. This will allow HSI Tipline Specialists to contribute more directly to the development of the case, and possibly receive better feedback on what happens after the lead is advanced.

- 4) In addition to searches against fully integrated data, HSI Tipline Specialists can import data from various sources to aid their research:
- i. Falcon already has a specific CLEAR Importer feature allowing users to export the results of a CLEAR person search to XML, then import that data as structured Falcon records.
 - ii. Documents and spreadsheets from other data sources can be imported to create Falcon records structured the same way as HSI Tipline records on leads, sources, and subjects are created. Analysts in the field are currently using this functionality to analyze several data sets not yet fully integrated into Falcon. HSI Tipline Specialists will be free to bring in data from any of the other systems they typically check in vetting their tips.

- 5) Once HSI Tipline Specialists have completed their research and analysis, they make their determination as to whether the tip is a true lead, then forward it to the appropriate contact.

Leads being advanced fall in several categories, which will be handled differently:

- a. Most tips advanced to agents and analysts in the field today are emailed either directly to the agent/analyst, or to a POC in the corresponding SAC/RAC office. Emails are currently fairly ad hoc, and are not approved by supervisors before being sent. The workflow for advancing these tips to the field from Falcon will follow a process of the tip being exported to an agreed upon format outside of Falcon and then emailed.

If a POC can be identified for each SAC and RAC office, then HSI Tipline's Falcon specific workflow application can be set up to give users a drop-down box of field offices to forward leads which are completely internal to Falcon. This will, however, require additional training for each of these Tipline recipient POCs in the field, in order to get them on the Falcon system. Additionally, leads advanced in this way will not be tracked in TECS.

- b. A smaller volume of the 'most valuable tips' get formally written up as "Investigative Referrals" (IRs). These formal IR documents get reviewed by the HSI Tipline Specialist's supervisor and, when approved, are entered into TECS and formally assigned directly to the case agent or analyst who will be assigned the IR. Because Falcon does not write to TECS currently, the process for entering these IRs in TECS and the following dissemination will remain unchanged.
- c. Leads with any value that pertain to issues handled by other agencies are forwarded to their own Tipline equivalents. Sometimes these are sent to previously identified points of contact, and sometimes they require 'human intervention' of an HSI Tipline Specialist to identify the correct recipient. Similar to (a), these types of tips will be exported to a standard format external to Falcon and forwarded on by the user.

Alternate Workflows and Steps:

Metrics and Reporting Workflow

- Separate from conversations held to date regarding the typical tip intake, vetting, and advancement processes, the HSI Tipline SRD mentioned a need for metrics and reporting capabilities which were described as giving a limited number of analysts or managers the ability to track all tips generated at a high level.

Falcon's Object Explorer application can provide users the ability to dynamically monitor and search against all records in Falcon, as well as all records created by HSI Tipline Specialists, then ask questions based on properties of these records. For example, a user could view any of the following:

- All records created by HSI Tipline Specialists (legacy ACRIME data, as well as records created through the data entry forms in the Falcon workflow application);
- Narrow their Object Explorer view to see just those records which are linked to TECS cases;
- Narrow the view further to just those records which are linked to TECS cases that are currently active; or
- Narrow the view further to just those records which are linked to TECS cases that originated from a specific RAC or SAC office.
- Having refined their view of Falcon's records to a very specific set, users would have a several options; they could:
 - Use Object Explorer to obtain counts for the number of cases in a given area which were generated from HSI Tipline leads;
 - Move the TECS cases created as a result of HSI Tipline leads to Falcon's graph application for further analysis; or
 - Plot all the HSI Tipline created records or linked TECS cases and their records to Falcon's map application to create a visualization of what areas have been impacted by HSI Tipline's successful leads.

This workflow is just an example of how Object Explorer could be used to generate the high level metrics and search capabilities requested in the SRD. The real value of the Object Explorer application is that none of these searches are pre-determined, so users are free to view all records at once, then drill down on specific sets of records based on whichever criteria are relevant to them at a given time.

**FALCON Project
Palantir Contract
IGCE**

FALCON Project - Palantir Contract IGCE	Contract Extension (9/14/12 - 3/13/13)			TOTAL			
	Explanation	Cores	Unit Cost	Total Cost	Cores	Unit Cost	Total Cost
Palantir Annual Support & Maintenance	PT-PG-100001 6 Mos. Proration	56	\$ 28,203.08	\$789,686.24	56	\$ 28,203.08	\$789,686.24
Enterprise Operations and Maintenance	Included			\$0			\$0
User Support & Training Delivery	Included			\$0			\$0
Technical Support	Included			\$0			\$0
		56	\$ 28,203.08	\$ 789,686.24	56	\$ 28,203.08	\$ 789,686.24

Current Funding Strings Budgeted for Palantir Government

Prog: 88-00
Fund: BA
Proj: INTEXEC
Task: 6QF
Org: 16-10-000
OCC: GE-25-00-00

AND

OIDIV06-6QA BA 10-55-00-000 15-05-0400-05-00-00-00

Update on FALCON (Palantir Gotham)

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EID

Enforcement Integrated Database (EID) is an ICE-owned shared common database repository for several DHS law enforcement and homeland security applications. The extension of FALCON to include EID will have benefits for HSI agents and analysts. This increased information sharing will significantly enlarge the universe of data available to analysts and agents working investigations and operations. EID captures and maintains information related to the investigation, arrest, booking, detention, and removal of persons encountered during immigration and criminal law enforcement investigations and operations conducted by ICE and U.S. Customs and Border Protection (CBP), increasing the overall effectiveness of HSI's operations and investigations.

UNDERSTANDING CORES

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REQUIREMENTS for EID

:

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FALCON OPERATIONS & MAINTENANCE SUPPORT & SYSTEM ENHANCEMENT

Statement of Outcomes

5/28/2016 – 5/27/2017

(Performance Work Statement Appendix B-1)

May 2, 2016

Homeland Security Investigations (HSI)

Mission Support



Homeland
Security

**Statement of Outcomes – FALCON OPERATIONS & MAINTENANCE
SUPPORT & SYSTEM ENHANCEMENT Contract**

(Appendix B-1)

Period of Performance - 5/28/2016-5/27/2017

1.0 BACKGROUND

Appendix B-1 shall be considered an addendum to **Section 5.8 of the Performance Work Statement: Additional Work to Be Performed During the Initial POP, Option Years 1-2, and the Optional Six-Month Extension.**

During the twelve-month period 5/28/2016 to 5/27/2017, or longer if mutually agreed to by the parties, the Contractor shall perform configuration, integration, and training services for the following projects, which are not presented in priority order. The parties shall mutually agree to the list priorities and project timelines.

2.0 PROJECT PLANS AND SCHEDULES

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3.0 PROJECT MANAGEMENT

As part of the Draft Project Plan for each planned outcome-based project, Contractor shall identify a project lead, who will (a) coordinate all Contractor work on that particular outcome-based project; (b) manage the Project Plan and Project Schedule; and (c) report on progress and achievement of project milestones at weekly meetings with the FALCON PMO Team and to inquiries made by the FALCON Program Manager or other HSI authorities. At the Contractor's discretion, a particular employee may be assigned as project lead for more than one outcome-based project.

In addition to weekly progress meetings, the Contractor shall provide (a) quarterly briefings to which all HSI Unit Chiefs are invited to participate; and (b) twice yearly briefings to the Executive Steering Committee (ESC), coordinated with the October '16 and April '17 ESC meetings, on progress and achievement of project milestones across all outcomes.

The FALCON Program Manager shall identify a governmental project lead for each planned outcome-based project. This governmental project lead will (a) identify governmental Subject Matter Experts (SMEs) as necessary for requirements gathering, user feedback, and user testing; (b) facilitate meetings between governmental SMEs and Contractor staff; (c) coordinate agreements between the FALCON PMO and other bodies within ICE or other governmental agencies required for exchanges of data necessary for the accomplishment of the outcome-based project; (d) review/approve all changes to the Project Plan and/or Project Schedule proposed by the Contractor; and (d) alert the FALCON Program Manager and the FALCON COR/ACOR whenever schedule breeches are anticipated to occur or other problems arise which may adversely impact either project quality or the achievement of project deadlines.

All training activities conducted in support of these outcomes must be coordinated, in advance, with the FALCON Program Management Office (PMO).

During the first week of February, Contractor's project leads will meet with the FALCON PMO and other key stakeholders identified by the government to present status updates regarding any Outcome work which the Contractor estimates will not be completed by the end of the current

Period of Performance. If the delay in Outcome work is due to Government or Contractor delay—delayed access to data or delayed Palantir Cloud authorization, for example—Contractor shall present draft action plans reflecting the decreased remaining time to complete the project in the Period of Performance, which shall be finalized through consultations and mutually agreed upon by the Contractor and the FALCON PMO.

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5.0 ESCALATION

At the beginning of each year of contract performance, the AD and DAD over the FALCON program, with the input of the ESC and of the Contractor will agree upon the addition of up to five outcomes to be completed during the upcoming year (the number of outcomes may be higher if both parties agree). If ICE and the Contractor are unable to agree upon the scope of a given outcome or set of outcomes, the Contractor will provide a detailed technical rationale as to why the outcome falls outside the scope of PWS. This written rationale shall

include the level of effort and why this level of effort is not attainable and shall be presented to the ICE FALCON Program Manager and COR/ACOR within five (5) business days of the Contractor's initial announcement of lack of agreement on the Statement of Outcomes. In this scenario, HSI management and the Contractor's management will use this information to reach a final agreement on the Statement of Outcomes. Contractor will provide the implementation support for all tasks listed in an annual outcomes statement to which both HSI and the Contractor agree.

Should the provision by the Contractor of a technical rationale for the non-feasibility of an outcome fail to result in agreement between HSI management and the Contractor's management on the contents of the Statement of Outcomes, either party may request adjudication from the assigned ICE Contracting Officer (CO), who shall make a determination within five (5) business days of receipt of the adjudication request as to whether or not the disputed outcome(s) shall be included in the Statement of Outcomes. In the event that HSI's priorities change during the period of time covered by a Statement of Outcomes and HSI requests that the Statement of Outcomes be amended, and the Contractor determines that this new request for work does not clearly fall within the scope of the existing Statement of Outcomes, the Contractor may present the change request to the CO, who shall review the request to determine whether HSI's request falls within the scope of that document. Such determinations must be made within five (5) business days of the escalation request. The Contractor will not be obligated to take any action on the new request for work unless and until the CO, in coordination with the Contractor, approves the request and determines that such request falls within the scope of an existing Statement of Outcomes or otherwise amends such document to include the new request for work. In the event the CO and Contractor are unable to reach an agreement, the matter will be referred to ICE's Head of Contracting Authority (HCA) for final adjudication. For any priority tasks outside the scope of the existing Statement of Outcomes, HSI may request a level of effort from Contractor; Contractor shall not be obligated to perform such tasks unless (i) the task consists of high priority case work and is specifically requested by the Executive Assistant Director of HSI (or his/her designee); and (ii) a required task of a comparable level of effort is explicitly postponed or eliminated.

Changes to the annual Statement of Outcomes shall be incorporated into the contract through bilateral modification.



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FALCON OPERATIONS & MAINTENANCE SUPPORT & SYSTEM ENHANCEMENT

Statement of Outcomes

5/28/2017 – 5/27/2018

(Performance Work Statement Appendix B-2)

May 11, 2017, amended November 1, 2017

Homeland Security Investigations (HSI)
Mission Support



Homeland
Security

Page 1821

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of the Freedom of Information and Privacy Act

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of the Freedom of Information and Privacy Act

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of the Freedom of Information and Privacy Act

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of the Freedom of Information and Privacy Act

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of the Freedom of Information and Privacy Act



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FALCON OPERATIONS & MAINTENANCE SUPPORT & SYSTEM ENHANCEMENT

Statement of Outcomes

5/28/2017 – 5/27/2018

(Performance Work Statement Appendix B-2)

May 11, 2017

Homeland Security Investigations (HSI)
Mission Support



Homeland
Security

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of the Freedom of Information and Privacy Act

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of the Freedom of Information and Privacy Act

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WIF Draft;(b)(5)

of the Freedom of Information and Privacy Act

FALCON Cloud Migration Justification

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U.S. Immigration
and Customs
Enforcement

FALCON OPERATIONS & MAINTENANCE SUPPORT

ACQUISITION PLAN Revision 1

August 1, 2014

Homeland Security Investigations (HSI)

FALCON OPERATIONS & MAINTENANCE SUPPORT

**(TO INCLUDE UNLIMITED GOTHAM LICENSES FOR A FIRM FIXED
PRICE)**

**HSCETC-13-F-00030 Modification
ACQUISITION PLAN Revision 1**

AP # HSICE-13-26

Submitted by:

(b)(6);(b)(7)(C)

9/3/14
Date

Contracting Officer Representative (COR)

REVIEW:

(b)(6);(b)(7)(C)

9-3-2014
Date

Contracting Officer

REVIEW:

(b)(6);(b)(7)(C)

9-4-2014
Date

Deputy Assistant Director

REVIEW:

(b)(6);(b)(7)(C)

9/4/2014
Date

Small Business Advisor

REVIEW:

(b)(6);(b)(7)(C)

9-17-14
Date

Competition Advocate

(b)(6);(b)(7)(C)

22 SEPT 2014
Date

EXECUTIVE SUMMARY

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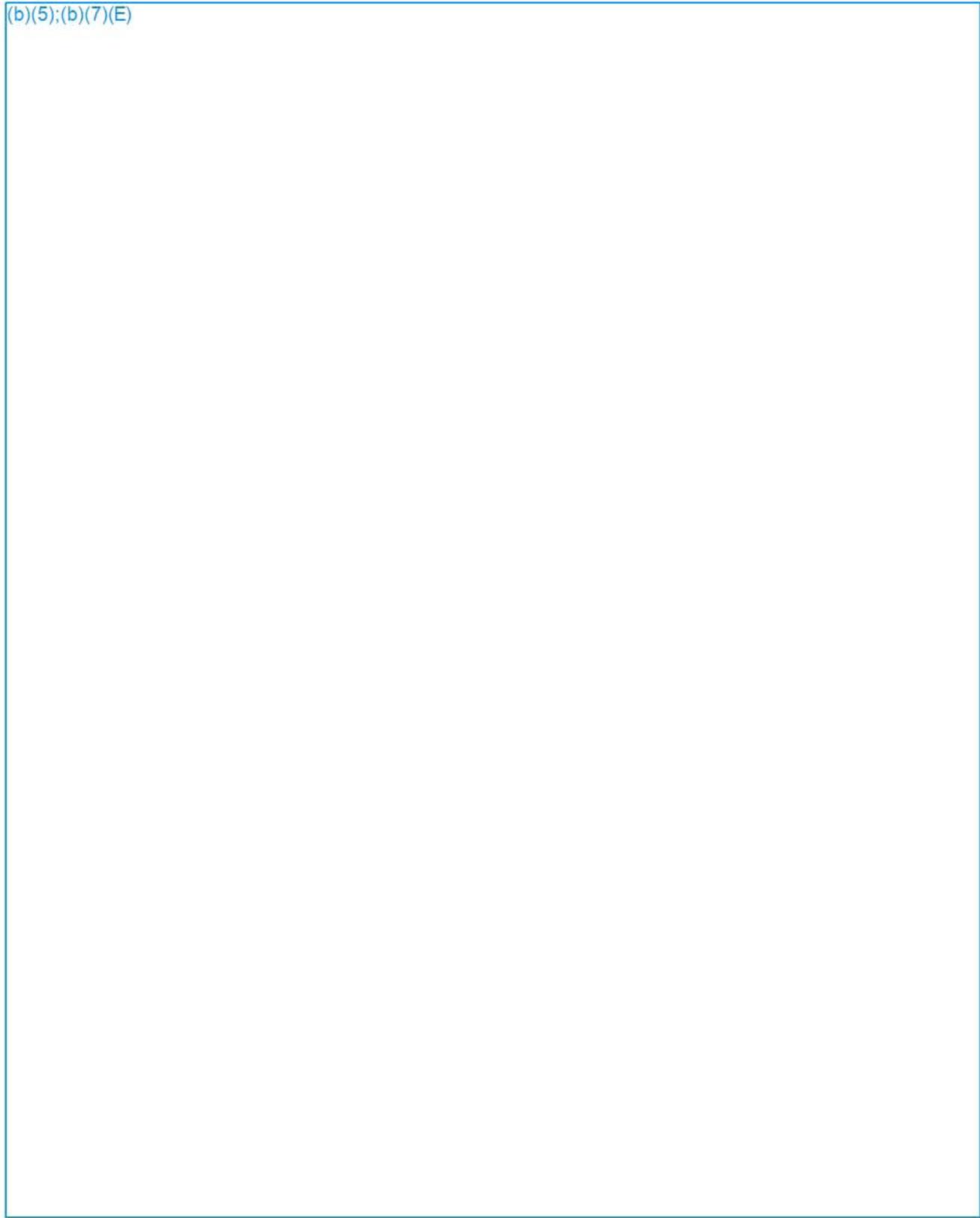
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SECTION A. ACQUISITION BACKGROUND AND OBJECTIVE

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A8. ACQUISITION STREAMLINING

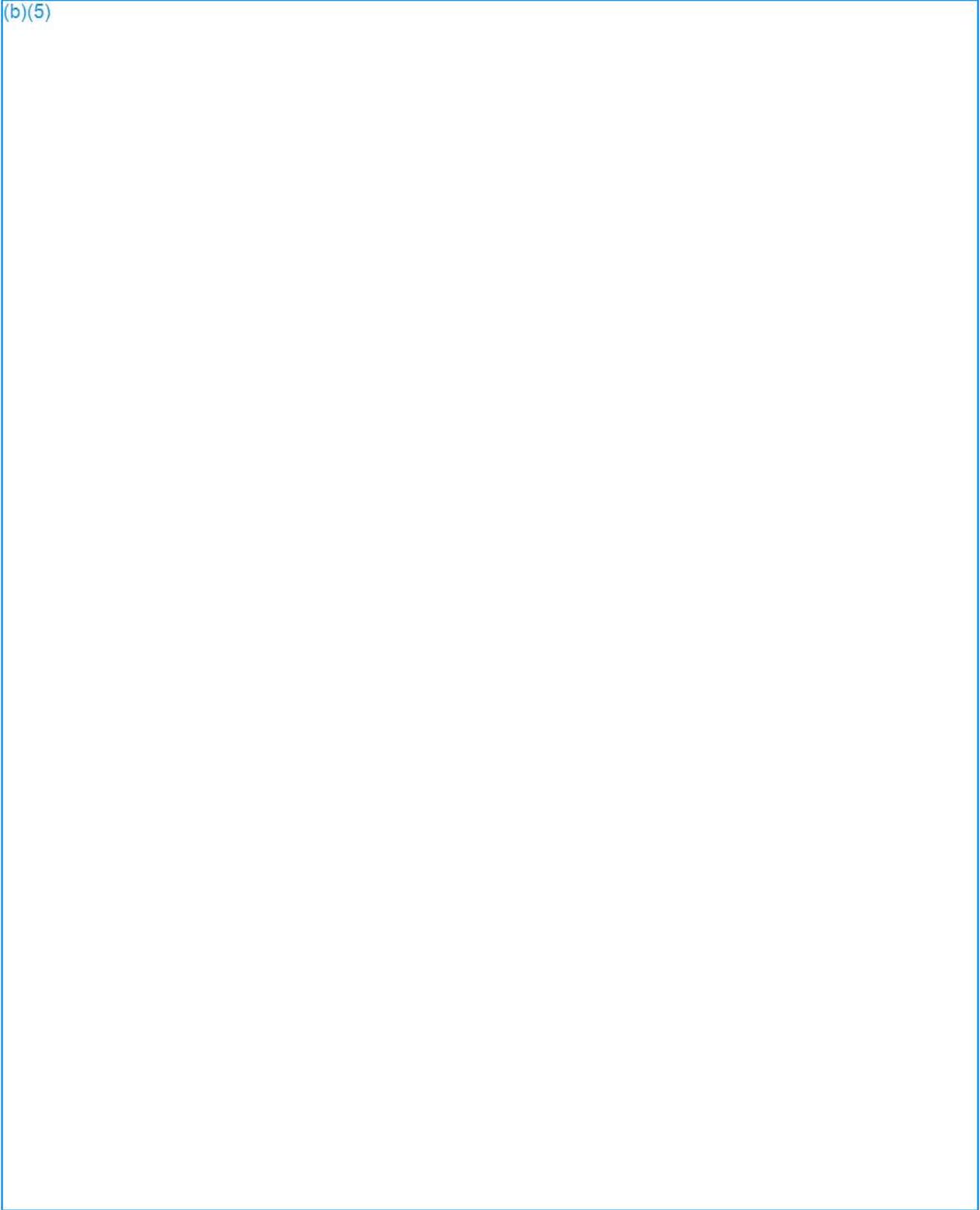
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SECTION B. PLAN OF ACTION

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B1. SOURCES

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B6. BUDGETING AND FUNDING

A. Budget Estimates

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B7. PRODUCT OR SERVICE DESCRIPTIONS

ICE requires that all applications be supported and maintained in an operational and efficient manner to ensure the highest possible level of support to the user community. In general, FALCON system maintenance support services will be for the purpose of: performing preventative, adaptive and corrective maintenance; performing operational and system

administration tasks, applying product releases and updates; enhancing system functionality and usability; delivering user training; affecting any requisite updates to the system documentation as requested by the government and generally supporting the FALCON user base.

The following tasks will need to be performed as part of this services acquisition:

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B8. PRIORITIES, ALLOCATIONS, AND ALLOTMENTS

DPAS rating is not applicable to this program.

B9. CONTRACTOR VERSUS GOVERNMENT PERFORMANCE

An assessment of contractor support services was completed and was determined that none of the functions to be performed under the PWS are inherently governmental.

B10. INHERENTLY GOVERNMENTAL FUNCTIONS

The base task order and the modification does not include contractor support for Inherently Governmental functions, as defined in FAR Part 7.5. A determination by the program office was

made that a Balance Workforce Strategy (BWS) was not required for this procurement based on the Product Service Code (PSC) D319 - IT & Telecommunications - Annual software maintenance service plans. A determination assessment revealed that enhanced oversight is not needed.

B11. MANAGEMENT INFORMATION REQUIREMENTS

Program management and technical oversight of the task order will be performed by the Contracting Officer's Representative (COR) and an Assistant COR, to include inspection and acceptance. The contracts will be administered and managed with frequent interaction among Program Manager, Contracting Officer, COR, ACOR, and Contractor personnel. The COR will report Contractor performance on the Contractor Performance Assessment Reporting System (CPARS).

Each line item will be accepted after successful review by the COR based on successful completion of the associated deliverables. Invoices will be reviewed by the COR or ACOR to ensure that the contractor has met all of the acceptance criteria prior to approval and payment of each invoice.

B12. MAKE OR BUY

The Make or Buy considerations required by FAR 7.105(b)(12) was satisfied during the acquisition process and award of the original base contract that acquired the proprietary software licenses.

B13. TEST AND EVALUATION (T&E)

The Contractor shall ensure that all IT products and services meet DHS/ICE standards and are accordance with the Performance Work Statement.

The Contractor shall additionally provide best practices, technologies, tools, and support to quality and operational assessments, integration testing, and system test and evaluation, including security certification and accreditation (C&A), for IT systems. The Contractor shall also provide independent verification and validation through project monitoring and evaluation activities including, but not limited to, assessments, process and procedure audits, project and performance management, and systems analysis and design.

The tests and evaluations performed for the contract must meet the contractual requirements and standards included in the relevant ICE SLM Process documents.

The government Program Manager and/or Project Manager will participate in each test. In addition, an independent test team may, as determined by the testing scope, conduct one or more of the following types of testing:

- Security Testing – Validates implementation of security requirements and controls in the system and identifies potential intrusion or sensitive data exposure vulnerabilities.

- Interoperability Testing – Assesses the compatibility and potential impact of multiple software components coexisting on the infrastructure.
- Performance Testing – Detects any performance and capacity limitations by generating a system load that emulates the behavior of users conducting business transactions, determines when performance begins to degrade, and identifies bottlenecks across the system application and infrastructure.
- Disaster Recovery Testing – System redundancy will be tested to ensure resumption processing on disaster recovery hardware during failover conditions.
- Facilitated User Acceptance Testing – Provides a controlled environment for actual users to test a system before the system becomes operational.

B14. LOGISTICS CONSIDERATIONS

A. Assumptions

The Contractor shall maintain their facility. Additionally, contractor's representatives shall be co-located within Government facilities in order to implement the solution. The Contractor help desk support is a component of the ongoing maintenance support costs.

- No products will be deployed to individual desktops, either within ICE or to the public
- All access to the system will be through the internet or over the existing ICE infrastructure
- Logistics considerations will be limited to providing badges, building access, and Government Furnished Equipment (GFE) (laptops, air cards, etc.) to contractor personnel
- Limited logistical considerations may arise in relation to the DHS data center and acquiring development environments or specific Government Furnished Information

B. Reliability, Maintainability, and Quality assurance, etc.

The Contractor's commercial quality assurance protocols are determined to be adequate for proper support in connection with this requirement. The use of the solution on Operation Fallen Hero has also given the Government an intensive demonstration of the solution's reliability, maintainability and overall quality.

C. Contractor Data

FALCON is based upon Palantir Government, software which is open architecture but closed source technology and is subject to proprietary rights on the part of the vendor. The Government has purchased perpetual licenses, giving it sole control over the configuration of the product purchased. Palantir product maintenance is only available from the vendor, while associated engineering, operations and training services are offered by a number of commercial enterprises.

D. Standardization

Standardization does not apply.

B15. GOVERNMENT FURNISHED PROPERTY (GFP)

The Government may provide the Contractor with basic government furnished equipment (GFE) and government furnished property (GFP) (e.g., laptops, desktops, VPN tokens, aircards, and blackberries) on an as-needed basis. The Contractor shall use GFE to access the DHS network and data center. ICE expressly reserves the right to add, delete, or modify at its exclusive discretion any hardware or software at any time during contract performance, based upon what in ICE's judgment is necessary to most effectively and efficiently perform the mission. All GFE/GFP provided to the Contractor to perform work under this task order shall be returned to the Government at the end of the applicable period of performance. The Contractor shall keep an inventory of GFE/GFP provided for their use will be secured and will manage, maintain, and control all GFE/GFP in support of this task order in accordance with the property clause at FAR 52.245-1.

The following Government property is readily available and will be furnished to the contractor upon award of the task order:

Description of Government Property - Include the level of detail required at FAR 45.201(a)	Date/Event Indicate when the GFP will be furnished	Date/Event Indicate when the GFP will be returned
Laptops	Initially on contract award; thereafter, as needed	Upon contract's end
VPN tokens	Initially on contract award; thereafter, as needed	Upon contract's end
Aircards	Initially on contract award; thereafter, as needed	Upon contract's end
iPhones or other mobile communication devices	Initially on contract award; thereafter, as needed	Upon contract's end

B16. GOVERNMENT FURNISHED INFORMATION (GFI)

The Government may provide information such as technical data, applicable documents, plans, regulations, specifications, etc. in support of this task order.

The following Government information is readily available and will be furnished to the contractor upon award of the task order:

Description of Government – Furnished Information	Date/ Event Indicate when the GFI will be furnished	Date/Event Indicate when the GFI returned
Schematics of existing FALCON build	Initially on contract award; thereafter, as needed	Upon contract's end
Access to governmental data sources (TECS, SEACATS, TLS, etc.) currently ingested into or accessed by FALCON	Initially on contract award; thereafter, as needed	Upon contract's end
Access to commercial data sources currently ingested into or accessed by FALCON	Initially on contract award; thereafter, as needed	Upon contract's end

B17. ENVIRONMENTAL AND ENERGY CONSERVATION OBJECTIVES

A. Overview Environmental Policy

The fundamental environmental policy of the Department of Homeland Security is to protect our natural resources, prevent pollution, reduce waste and strive to exceed the environmental performance goals, objectives and targets established for Federal agencies.

B. FAR Part 23 Considerations - Environmental Objectives

There are no additional specific environmental or energy conservation objectives associated with the proposed acquisition. This acquisition does not involve products containing recovered materials, environmentally preferable products and services; energy-efficient products and services; products and services that utilize renewable energy technologies; or products containing energy-efficient standby power devices.

B18. SECURITY CONSIDERATIONS

A. Classified Information

The FALCON system does not include classified information.

B. Sensitive Information

Contractor employees (to include applicants, temporary, part-time and replacement employees) under this contract, needing access to For Official Use Only (FOUO) or SBU information, shall undergo a position sensitivity analysis based on the duties each individual will perform on the task order. The results of the position sensitivity analysis shall identify the appropriate

background investigation to be conducted. All background investigations will be processed through ICE's Security Office.

C. Contractor Clearance and Access

The Contractor will require regular, long-term physical and logical access to DHS facilities and Network. Therefore, an appropriate DHS suitability clearance level will be required. The COR will be responsible for coordinating with the ICE Personnel Security Unit (PSU) and the Contractor's Information System Security Officer (ISSO) to manage this process. Contractors who fail to comply with DHS/ICE security policies are subject to having their access to DHS/ICE IT systems and facilities terminated, whether or not the failure results in criminal prosecution. The appropriate security language will be incorporated in the contract.

D. Non U.S. Suppliers.

Only U.S. suppliers will be permitted to compete for this acquisition.

E. Place of Performance

All work hereunder at any tier is required to be performed within the United States and its territories.

B19. CONTRACT ADMINISTRATION

A. Contract Administration

1. The contract will be administered by the Office of Acquisition Management (OAQ) Information Technology Division Contracting Officer (CO). The day-to-day oversight of operations will be performed by the COR and ACOR. The COR is responsible for preparing the Quality Assurance Surveillance Plan (QASP), approving the Contractor's Quality Assurance Plan (QAP), and reviewing and approving all QASP-related reports.

2. The COR will review the progress reports, status reports, program reviews and the deliverables schedule. The COR or ACOR will accept or reject deliverables within 10 business days after delivery. If rejected, the Contractor will make revisions/corrections as specified and resubmit for review and approval. The Contractor shall inform the Government within 5 business days of the rejection to identify what corrective measures have been taken. The CO will be available to address any concerns regarding compliance with the task order award process and review contractor complaints.

3. An Independent Verification and Validation (IV&V) contractor is not required for this task order.

4. This is not a cost-type or Time & Material (T&M) contract. It is a Firm Fixed Price task order.

5. An Integrated Baseline Review (IBR) is not required for this task order.

6. An Earned Value Management System (EVMS) is not a requirement of this firm-fixed price task order. The COR will monitor cost, schedule and performance during the period of performance of the contract through the use of the QASP to ensure timely receipt and acceptance of services under the PWS.

B. Contracting Officer's Representative

1. The COR for this acquisition will be (b)(6);(b)(7)(C) He has been appointed as the COR for this task order by the CO.

2. (b)(6);(b)(7) is certified as a Level II COR and a Level III DHS Program Manager. He has served as the COR for FALCON system-related contracts since January 2012 and was a member of the FALCON Program Management Office (PMO) for a year prior to his appointment as a COR.

3. The ACOR for this acquisition will be (b)(6);(b)(7)(C) She has been appointed as the ACOR for this task order by the CO.

B20. OTHER CONSIDERATIONS

A. Transition Planning

This contract vehicle will not contain a line item for "transition-in" and or a line item for "transition-out".

B. Section 508

This Task Order has procured electronic and information technology. Section 508, Electronic and Information Technology Accessibility Standards was exempted from this requirement based on FAR 39.204(e) - Would impose an undue burden on the agency.

C. Standardization

This contract vehicle will not be procured as part of the Federal Standardization Manual FSPM-0001.

D. Strategic Sourcing

1. This task order is not a new strategic sourcing effort.

2. Neither this modification nor future contract vehicles are covered by an existing strategically sourced solution; however, the original Task Order and earlier modifications were covered by GSA Schedule GS-35F-0086U.

E. Department-Wide Contracts

This contract vehicle will not be procured under a Department-wide contract.

F. Occupational Safety and Health Considerations

The contract vehicle will not be procured in accordance with the Occupational Safety and Health Act. There are no occupational safety or health considerations since this is for the procurement of proprietary software licenses.

G. Support Anti-terrorism by Fostering Effective Technologies Act of 2002 (SAFETY Act).

(b)(7)(E)

H. Foreign Sales Implications

DHS textile restrictions do not apply to the subject acquisition(s) pursuant to HSAR 3025.7002.

I. Construction Projects

This acquisition does not apply to a construction project.

J. Disaster or Emergency Relief Activities

The resulting contract does not involve disaster or emergency relief activities.

B21. MILESTONES OR THE ACQUISITION CYCLE

(b)(5)

(b)(5)

B22. PARTICIPANTS IN THE PREPARATION OF THE ACQUISITION PLAN

The following individuals participated in the preparation of this acquisition plan:

Name	Title	Office	Telephone	Email Address
(b)(6);(b)(7)(C)	Program Official	HSI IS&I M	202-732- (b)(6);(b)(7)(C)	(b)(6);(b)(7)(C)
	Contracting Officer Representative	HSI IS&I M	202-732- (b)(6);(
	Deputy Assistant Director	OAQ	202-732- (b)(6);(
	Contracting Officer	OAQ	202-732- (b)(6);(b)(7)(C)	
	Acquisition Policy	AP	202-732- (b)(6);(b	
	Competition Advocate	CA	202-732- (b)(6);(
	Head of Contracting Activity	HCA	202-732- (b)(6);(b)(