

November 30, 2020  
Re: EPIC-2019-001

Mr. John Davisson, Counsel  
Ms. Enid Zhou, Open Government Counsel  
Electronic Privacy Information Center  
1519 New Hampshire Avenue NW  
Washington, DC 20036  
[davisson@epic.org](mailto:davisson@epic.org)  
[zhou@epic.org](mailto:zhou@epic.org)  
VIA EMAIL

Dear Mr. Davisson and Ms. Zhou:

Per your Freedom of Information Act (FOIA) request dated September 11, 2019—assigned processing number EPIC-2019-001—the National Security Commission on Artificial Intelligence (NSCAI) is providing you with the attached eleventh production.

Pursuant to our agreement via email on October 29, 2020, NSCAI began identifying and processing records responsive to your narrowed FOIA request. Specifically, you requested:

1. All financial and ethics disclosure documents submitted by (or on behalf of) members of the Commission;
2. All emails and other written communications from Commissioners Eric Schmidt, Safra Catz, Eric Horvitz, Andy Jassy, and Andrew Moore; and
3. All records concerning the drafting of the "Marshal Global AI Cooperation" (pp. 44–47) and "Considerations on Ethical and Trustworthy AI" (pp. 48–49) sections of the Commission's November 2019 Interim Report.

During the month of November, NSCAI reviewed 269 records—comprising 813 pages—that are potentially responsive to the first two subparts of your request.

6 records—comprising 6 pages—were unresponsive to your FOIA request. 177 additional records—comprising 448 pages—were determined to be either earlier in chain emails that are captured within records produced in this production or exact copies that resulted from other NSCAI staff members being copied on the message. These records were marked as duplicates and removed from processing. 7 records—comprising 55 pages—have been referred to other government agencies for consultation. Furthermore, we have identified 3 records—comprising 10 pages—containing information that NSCAI had previously sent to agencies for consultation. These records will be processed in accordance with agency guidance once that guidance is received.

For this eleventh production, NSCAI is producing today 59 records—comprising 139 pages—responsive to your narrowed FOIA request. Please see the attached Vaughn Index for information about the redactions in the records produced to you today, including 17 records—comprising 155 pages—NSCAI is withholding in full under applicable FOIA exemptions.

NSCAI will continue to process the records identified to date and other records potentially responsive to your FOIA request. If you have any questions or wish to discuss a more efficient approach to satisfying your request, you may contact me by telephone at (571) 220-2701, by email at [mjl@nscai.gov](mailto:mjl@nscai.gov), or by letter at National Security Commission on Artificial Intelligence, 2530 Crystal Drive, Box 45, Arlington, VA 22202.

Sincerely,

Michael Lueptow  
General Counsel  
NSCAI