FINAL FBI APPROVAL / DETERMINATIO?	(S / CONDITIONS:	
PIA is required by the E-Government Ac	- 194194 1413 (m. 1)41	ky ok
Is PIA to be published on FBI,GOV (after any	RMD FOIA reductions)? & Yes No:	
Information in the system relates to	r disseminate PII. le before 4/17/2003; no later changes posing sign internal government operations. If under an evaluation similar to a PIA.	iilicant privacy risks).
Applicable SORN(s): \(\J_{\lambda}\lambda\la		
Vhhuranie zowalek 70000 vz. V	(-,,	
http://home/DO/OGC/LTB/PCLU/PrivacyCiv	il%20Liberties%20Library/form_for_miog190-2	k-3_ec.wpd
Prepare/revise/add Privacy Act (e)(3) statement	s for related forms? X No Yes:	
eschares expectation estimated and estimates	2 108 12101CH 1021UN	
RECORDS. The program should consult with R The system may contain Federal records whether schedule approved by the National Archives and as well as on compliance with requirements for E	or not it contains Privacy Act requests and, in as Records Administration is necessary. RMD can	ny event, a records provide advice on this
Other:		
Unit Chief	Signature:	
Privacy and Civil Liberties Unit	Date Signed	3/3//2
James J. Landon, Deputy General Counsel	Signature: // /-/	
VIXI Privacy and Civil I Bearing Officer	Data Signado "> / X., /	

Unclassified/For Official Use Only

b6 b7C

I. INFORMATION ABOUT THE SYSTEM / PROJECT

1. Provide a general description of the system or project that includes: (a) name of the system/project, including associated acronyms; (b) structure of the system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.

The Global Operations Section (GOS) Global Initiatives Unit (GIU) of the Criminal Justice Information Services (CJIS) Division manages the mobile Biometric Identification Tools Program (B-iD) biometric collection devices. These devices, either Quick Capture Platforms (QCP) or Flyaway kits, are state-of-the-art biometric devices that allow investigators to collect fingerprint data, other identifying information, and available biographic data to validate a subject's identity through rapid identification services. The QCP and Flyaway kits enable instant access to federal fingerprint databases—the Federal Bureau of Investigation's Integrated Automated Fingerprint Identification System (IAFIS), the Department of Defense's Automated Biometric Identification System (ABIS).

Cr and riyaway devices consist of a faptop, lingerprint scanner, camera, military	30 30
fferent laptops and the software is configured for different mission sets.	\exists

Authorized FBI personnel are the only users permitted to access or operate the QCP and Flyaway devices. QCP devices are deployed both within and outside of the United States, on the Southwest border, and in maritime situations. They frequently are deployed to combat theatres such as Iraq and Afghanistan, other hostile environments, and remote areas where access to CJIS services would otherwise be impossible. The devices have assisted frontline FBI investigators with identifying terrorists and transnational criminals. They are also used by various FBI task forces, such as Crimes against Children, Safe Streets, and Violent Crimes.

Flyaway devices also are deployed both within and outside of the United States. Domestically, the devices support national special security events, humanitarian rescue and recovery, and mass arrest scenarios. In addition, the Flyaway or QCP devices may be used domestically by FBI agents during investigatory detentions, incident to arrests, and when the subjects provide consent. A February 2011 opinion from the FBI's Office

Unclassified//For Official Use Only

b7E

collection devi	*****		- 10 mg			390 90 6 6 6 90 90	H _a se <u>H_a H_as</u>	e <u>e e e e e e e e e e e e e e e e e e </u>
		50 T Section 50				4 4	R _a	w
. Does the sy:	stem/proj	ect colle	ect, mair	itain, or di	sseminate	any inf	ormation	about
idividuals (i.e	., a huma	m being	or natur	al person,	regardies:	of nati	onality)?	
XVX								
		e ₀ = 000 e ₀						
X_YES								
1 ₀ .								
. Please indic ystem about it	idividual:	s: Bear						
nkable to an i	.lui Viuudi							
		100 to 100 to				88		
X The inf	ormation	directly	(identifi	es specific	: individu	als.		
X The inf	ormation	directly	(identifi	es specific	individu			

Unclassified/For Official Use Only

Unclassified/For Official4	The Only
The information is intended to be used, in conjute to indirectly identify specific individuals.	nction with other data elements
X The information can be used to distinguish or training is linked or linkable to specific individuals).	ace an individual's identity (i.e.
If you marked any of the above, proceed to Question	
None of the above. If none of the above, describ identify specific individuals either directly or indirectly.	and the contract of the contra
4. Does the system/project pertain only to government consultants?	employees, contractors, or
XNOYES	
Is information about United States citizens or lawful aliens retrieved from the system/project by name or other	
XYES. [If yes, proceed to the next questi	on.)
6. Does the system/project collect any information dire subject of the information?	ofly from the person who is the
NO [If no, proceed to question 7.]	
X YES	
a. Does the system/project support criminal, CT,	
a. Does me system project support criminal, C.1, assessments?	an i Ci in i Carkaniana ni
NO	
X_YES [If yes, proceed to question 7.]	
b. Are subjects of information from whom the in provided a written Privacy Act (e)(3) statement (either of acceptance in No.	
separate notice)?	

Unclassified/For Official Use Only

NO [The program will need to work with PCLU to develop/implement the necessary form(s).]



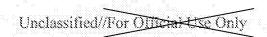
YES Identify any forms, paper or electronic, used to request such information from the information subject:

em/	durilance:	3 W.X. W		•				33.300.03.000.00	W H H
	recogniz However	e SSN r, an au	as a requir thorized u	ed field n ser may p	heck all th or does it l lace a SSN ks field bu	iave an op i in the Re	tional fie marks fie	ld for th ld. The	e SSN. softwa
* }	**********				ish/confirm secement of			***	ctims.
	\$8	SNs an	necessary	to identi	fy FBI per:	sonnel in t	his intern	al admii	nistrati
	system.	1101 110001000				teeninni inteenine wee niie			
8 0	SS	Ns are	important	for other	rcasons. I	escribe:			
					pecial prot	de Santan er u ntandenden santan i		رون ادر دوگویید	
É	encrypted		ın from all		a look-up				
				. 285.2.500.033					e e e e e
		is not)	easible for	the syste	m/project	ta proviđe	special p	mtectio	
	it -38Ns E	is not) v plain :	easible for			to provide	special p	rotectio	
I	it -38Ns E	is not l xplain: tem op	feasible for			to provide	special p	mtectio	
i z z	it \$SNs. Ex s the systYes. considerect contains s collects, n mposed a	is not l	feasible for erated by a nation syst icy Act sys d contract on ns or disse tter of Dep neral Coun	ems oper tems of r clauses th minates f		ntractors f e Federal included itional req olicy. Co	or the FB Acquisiti in the eve uirements usultation	I may be on Regu nt the sy s may be is with t	: :lation :stem :
	it \$8Ns. Exist the system X No Yes. considered contains secontains sec	is not i cplain: cm op i Infor d Privs tandar naintai is a ma the Ge r the F	feasible for erated by a cy Act sys d contract on ns or disse tter of Dep neral Coun BI.	ems oper tems of r clauses th minates f sartment o sel may l	or? ated by con ecords. The at must be 'II and add of Justice p	ntractors f e Federal included itional req olicy. Co if a contr	or the FB Acquisiti in the eve uirements usultation scior is of	I may be on Regu nt the sy s may be is with t wrating	: lation /stem : he the
	it \$8Ns. Exist the system X No Yes. considered contains secontains sec	is not to plain: tem op tem op tandar naintai is a ma the Ge r the F ystem to	feasible for erated by a cy Act sys d contract on ns or disse tter of Dep neral Coun BI. undergone n (SecD)?	ems oper tems of r clauses th minates f sartment o sel may l	or? ated by con ecords. The at must be 'II and add of Justice p se required	ntractors f e Federal included itional req olicy. Co if a contr	or the FB Acquisiti in the eve uirements usultation scior is of	I may be on Regu nt the sy s may be s with t serating y the FB	lation view inc the

		_			
			201		
4.20-2					
1 Same	and the ord		1 3434	and the same	Only
3.334.3	assified	33 I. O.	Contract of the second	2000	V31134

	Unciassi	near for One	ie (mily	
accesse	completion da ed by the devices (IAFI:	te: Estimated Dece S, ABIS, IDENT) h	· · · · · · · · · · · · · · · · · · ·	
(2011) 	YES If yes, please i	ndicate the followin	ıg, if known:	
	Provide date o	f last C&A certific	ation/re-certific	ation:
	Confidentialit	y:LowModera	te_High_Un	defined
	Integrity:	_Low_Moder:	iteHighUn	ıdefined
	Availability:	LowModer:	iteHighUr	ıdefined
	Not applicable – this sy	stem is only paper-l	vased	
Implem	e system conduct data r enting Recommendatio ified at 42 USC 2000ee NO	ns of the 9/11 Comr		
·	TES If yes, please d	escribe the data m	ining function:	
11. Is this a n	ational security system	(as determined by the	ne SecD)?	
<u> </u>	NO	YES		
12. Status of :	System/ Project:			
* * * * * * * * * * * * * * * * * * *	This is a new system/ p	roject in developms	 ,	
II. EXISTING	SYSTEMS / PROJE	CTS		
1. When was t	he system/project devel	oped? 2007		
2. Has the syst	tem/project undergone :	my significant chan	ges since April I	7, 2003?
X NO (III	no, proceed to next que	estion (II,3).]		
	yes, indicate which of t that apply, and provi			
**************************************	A conversion fr	om paper-based reco	ords to an electro	nic system.

Unclassified//For Olboiet Use Only



	A change from information in a format that is anonymous or non- identifiable to a format that is identifiable to particular individuals.
	A new use of an IT system/project, including application of a new technology, that changes how information in identifiable form is managed. (For example, a change that would create a more open environment and/or avenue for exposure of data that previously did not exist.)
	A change that results in information in identifiable form being merged, centralized, or matched with other databases.
	A new method of authenticating the use of and access to information in identifiable form by members of the public.
	A systematic incorporation of databases of information in identifiable form purchased or obtained from commercial or public sources
	A new interagency use or shared agency function that results in new uses or exchanges of information in identifiable form.
	A change that results in a new use or disclosure of information in identifiable form.
	A change that results in new items of information in identifiable form being added into the system/project.
	Changes do not involve a change in the type of records maintained, the individuals on whom records are maintained, or the use or dissemination of information from the system/project.
	Other [Provide brief explanation]:
3. Does	a PIA for this system/project already exist?
X	_NOXES
yes:	
a. Pr	ovide date/title of the PIA;
	is the system/project undergone any significant changes since the PIA?
NO	YES
e 1900 1900	

Unclassified//For Official Use Only

If yes:

(OGC/PCLU (Rev. 08/16/2010)

FBI PRIVACY THRESHOLD ANALYSIS (PTA)

NAME OF SYSTEM / E BIKR FBI Unique Asset		6 23		ь7 е
SYSTEM/PROJECT POC Name:	pport Unit ology Services	ALTERNATE SYSTEM/PROJECT POC Name: Program Office: Information Systems Security Unit Division: Security Division Phone: Room Number: SPYB F-601	FBI OGC/PCLU POC Name: OGC/Privacy and Civil Liberties Unit Phone: Room Number: JEH, Rm 7350	b6 b7C
FBI DIVISION INTER	Program Mani	PROVALS Spec (or other appropriate Pivision determines)	Division Privacy Officer	
Program Division: Enclave Support Unit	Signature: Date signed: Name:		Signature: Date signed: Name: Title:	Ь6 Ь7С
FBIHQ Division: Network Support Section	Signature: 🎺 Dute signed: Name: Name:	Steve Shelton Chief, Network Support	Signature: Date signed: 5-/3-// Name: Title: ITB Division Privacy Off	

FINAL FBI APPROVAL / DETERMINATIO	ONS / CONDITIONS:	
PIA is required by the E-Government /	let.	
PIA is to be completed as a matter of F	BI/DOI discretion.	
Is PIA to be published on FBI.GOV (after at	y RMD FOIA redactions)?Yes.	No
X Information in the system relates	or disseminate PII. nce before 4/17/2003; no later changes p to internal government operations. sed under an evaluation similar to a PIA.	
Applicable SORN(s): <u>DOJ-002_DOJ-Com</u> <u>Records System</u> Notify FBI RMD/RIDS per MIOG 190.2.3? http://home/DO/OGC/LTB/PCLU/PrivacyC SORN/SORN revision(s) required? <u>X</u> N	_X_NoYesSee sample EC_o ivil%20Liberties%20Library/form_for_t	n PCLU intranet website here:
Prepare/revise/add Privacy Act (e) (3) statem	ents for related forms? X No	Yes
RECORDS. The program should consult with The system may contain Federal records wheth schedule approved by the National Archives an as well as on compliance with requirements for Other:	er or not it contains Privacy Act requests d Records Administration is necessary.	and, in any event, a records RMD can provide advice on this
Unit Chief	Signature:	,,,,,
Privacy and Civil Liberties Unit	Date Signed:	J _{V/5} / //
James J. Landon, Deputy General Counsel FBI Privacy and Civil Liberties Officer	Signature: 7/4//	

UNCLASSIFIED

b6 b7С

I. INFORMATION ABOUT THE SYSTEM / PROJECT

1. Provide a general description of the system or project that includes: (a) name of the system/project, including associated acronyms; (b) structure of the system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.

UNCLASSIFIED

Does the system/project collect, maintain, or disseminate any information about lividuals (i.e., a human being or natural person, regardless of nationality)?
X YES
Please indicate if any of the following characteristics apply to the information in the stem about individuals: Bear in mind that log-on information may identify or be kable to an individual.
heck all that apply.)
The information directly identifies specific individuals.
The information is intended to be used, in conjunction with other data elements, to indirectly identify specific individuals.
The information can be used to distinguish or trace an individual's identity (i.e., is linked or linkable to specific individuals).
you marked any of the above, proceed to Question 4.
None of the above. If none of the above, describe why the information does not nulfy specific individuals either directly or indirectly.
Does the system/project pertain only to government employees, contractors, or isultants?
NOXYES
Is information about United States citizens or lawfully admitted permanent resident ms retrieved from the system/project by name or other personal identifier?
_X_NO. [If no, skip to question 7.]

UNCLASSIFIED

b7E

	YES. [If yes, proceed to the next question.]
	e system/project collect any information directly from the person who is the he information?
	NO [If no, proceed to question 7.]
	YVS
a D	oes the system/project support criminal, CT, or FCI investigations or \$?
	YES [If yes, proceed to question 7.]
	re subjects of information from whom the information is directly collected written Privacy Act (e) (3) statement (either on the collection form or via a tice)?
	NO [The program will need to work with PCLU to develop/implement the necessary form(s).]
	YES Identify any forms, paper or electronic, used to request such information from the information subject:
	ial Security Numbers (SSNs) collected, maintained or disseminated from the ect? Full SSNs should only be used as identifiers in limited instances.
	NOYES If yes, check all that apply:
	SSNs are necessary to establish/confirm the identity of subjects, victims, esses or sources in this law enforcement or intelligence activity.
Syste	SSNs are necessary to identify FBI personnel in this internal administrative m.
	SSNs are important for other reasons. Describe:

	The system/project provides special protection to SSNs (e.g., SSNs are encrypted, hidden from all users via a look-up table, or only available to certain users). Describe:
	It is not feasible for the system/project to provide special protection to SSNs. Explain:
8 .	Is the system operated by a contractor?
	_XNo.
	Yes, Information systems operated by contractors for the FBI may be considered Privacy Act systems of records. The Federal Acquisition Regulation contains standard contract clauses that must be included in the event the system collects, maintains or disseminates PII and additional requirements may be imposed as a matter of Department of Justice policy. Consultations with the Office of the General Counsel may be required if a contractor is operating the system for the FBI.
)	Has the system undergone Certification & Accreditation (C&A) by the FBI Security Division (SecD)?
	NO If no, indicate reason; if C&A is pending, provide anticipated completion date:
	X YES If yes, please indicate the following, if known:
	Provide date of last C&A certification/re-certification: November 20, 2008.
	Confidentiality: Low X Moderate High Undefined
	Integrity: Low Moderate X High Undefined
	Availability: Low Moderate X High Undefined
	Not applicable – this system is only paper-based.

10	Does the system conduct data mining as defined in Section 804 of the Implementing Recommendations of the 9/11 Commission Act of 2007, P.L. 110-53 (codified at 42 USC 2000ce-3)? X NO	
	YES If yes, please describe the data mining function:	
11	Is this a national security system (as determined by the SecD)?	
	X NO YES	
12. :	Status of System/ Project:	
	This is a new system/ project in development.	
9 s		
II. K.	XISTING SYSTEMS / PROJECTS	
1. W	hen was the system/project developed?	b7E
2. Hi	as the system/project undergone any significant changes since April 17, 2003?	
X_	NO [If no, proceed to next question (II.3).]	
	YES If yes, indicate which of the following changes were involved (mark all changes that apply, and provide brief explanation for each marked change):	
	A conversion from paper-based records to an electronic system.	
	A change from information in a format that is anonymous or non-identifiable to a format that is identifiable to particular individuals.	
	A new use of an IT system/project, including application of a new technology, that changes how information in identifiable form is managed. (For example, a change that would create a more open environment and/or avenue for exposure of data that previously did not exist.)	
	A change that results in information in identifiable form being merged, centralized, or matched with other databases.	
	A new method of authenticating the use of and access to information in identifiable form by members of the public.	

	A systematic incorporation of databases of information in identifiable form purchased or obtained from commercial or public sources
	A new interagency use or shared agency function that results in new uses or exchanges of information in identifiable form.
	A change that results in a new use or disclosure of information in identifiable form.
	A change that results in new items of information in identifiable form being added into the system/project.
	Changes do not involve a change in the type of records maintained, the individuals on whom records are maintained, or the use or dissemination of information from the system/project.
	Other [Provide brief explanation]:
3.	Does a PIA for this system/project already exist?
	_X_NOYES
f yes	
	a. Provide date/title of the PIA:
	b. Has the system/project undergone any significant changes since the PIA?
_ N	OYES

```
FEDERAL BUREAU OF INVESTIGATION FOI/PA

DELETED PAGE INFORMATION SHEET FOI/PA# 1272295-0

Total Deleted Page(s) = 9
Page 4 ~ b7E;
Page 5 ~ b7E;
Page 6 ~ b7E;
Page 7 ~ b7E;
Page 8 ~ b7E;
Page 9 ~ b7E;
Page 10 ~ b7E;
Page 11 ~ b7E;
Page 11 ~ b7E;
Page 12 ~ b7E;
```


FBI PRIVACY THRESHOLD ANALYSIS (PTA)

NAME OF SYSTEM / PROJECT: Bureau Personnel Management System (BPMS)

BIKR FBI Unique Asset ID: SYS0000008

Derived From: Classified By: Reason: Declassify On:	SYSTEM/PROJECT POC Name Program Office: HRASU Division: ITSD Phone: Room Number: 1907	FBI OGC/PCLU POC Name: Phone Room Number: JEH 7350	b'
FBI DIVISI	ON INTERMEDIATE APPROVALS Program Manager (or other appropriate executive as Division determines)	Division Privacy Officer	_
Program Division: IT Services Division	Signature Date signed: 7/26/2012 Name: Title: Supervisory IT Specialist (Unit Chief)	Signature: Date signed: Name: Title:	b6 b7
FBIHQ Division: Human Resources Division	Signature: Date signed: Name: David G. Bennett Title: Assistant Director	Signature: Date signed: Name: Title: Division Privacy Officer for ITB	

FINAL FBI APPROVAL / DETERMINATIONS / CONDITIONS X PIA is required by the E-Government Act. PIA is to be completed as a matter of FBI/DOJ discretion. Is PIA to be published on FBI.GOV (after any RMD FOIA redactions)? X Yes. No (indicate reason): PIA is not required for the following reason(s): System does not collect, maintain, or disseminate PII. System is grandfathered (in existence before 4/17/2003; no later changes posing significant privacy risks). Information in the system relates to internal government operations. System has been previously assessed under an evaluation similar to a PIA. No significant privacy issues (or privacy issues are unchanged). Other (describe): Applicable SORN(s): Justice/FBI-008, Bureau Personnel Management System (BPMS), 58 Fed. Reg. 51,875 (Oct. 5, 1993), as amended 66 Fed. Reg. 8425 (Jan. 31, 2001) and 72 Fed. Reg. 3410 (Jan. 25, 2007). Notify FBI RMD/RIDS per MIOG 190.2.3? X No Yes--See sample EC on PCLU intranet website here: http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form for miog190-2-3 ec.wpd SORN/SORN revision(s) required? No X Yes (indicate revisions needed): Revised system of records needed in order to accurately reflect increase in collection of information and the manner in which the information is stored and used. Prepare/revise/add Privacy Act (e)(3) statements for related forms? No X Yes (indicate forms affected): HRD should continue to work with OGC's PCLU to review new and existing forms to ensure that the requirements of 5 U.S.C.\(552a(e)(3) are met. RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic records issues. The system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a records

Unit Chief

Privacy and Civil Liberties Unit

James J. Landon, Deputy General Counsel
FBI Privacy and Civil Liberties Officer

Date Signature:
Date Signature:
Date Signature:
Date Signature:

schedule approved by the National Archives and Records Administration is necessary. RMD can provide advice on this as well as on compliance with requirements for Electronic Recordkeeping Certification and any necessary updates.

b6 b7C

Other:

I. INFORMATION ABOUT THE SYSTEM / PROJECT

1. Provide a general description of the system or project that includes: (a) name of the system/project, including associated acronyms; (b) structure of the system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.

The Bureau Personnel Management System (BPMS) is an FBI system that

System Overview:

supports integrated human resource and payroll functions.
The principal sponsor of BPMS is
the Human Resources Division (HRD). Secondary sponsors include the Finance Division
(FD), Director's Office (DO), and Security Division (SD).
BPMS is made up of many applications that support the management of personnel
resources, applicant, security matters and the payroll system of the FBI. The scope of the
System Security Plan (SSP) covers the following BPMS components and the
accreditation boundary consists of the following applications:
•
3

b7E

-	
	e system/project collect, maintain, or disseminate any information about (i.e., a human being or natural person, regardless of nationality)?
	[If no, STOP. The PTA is now complete and after division approval(s) ubmitted to FBI OGC/PCLU for final FBI approval. Unless you are otherwise PIA is required.]
X YE	S [If yes, please continue.]
system abo	ndicate if any of the following characteristics apply to the information in the out individuals: Bear in mind that log-on information may identify or be an individual. that apply.)
_X The	e information directly identifies specific individuals.
	e information is intended to be used, in conjunction with other data elements, indirectly identify specific individuals.
	e information can be used to distinguish or trace an individual's identity (i.e., it inked or linkable to specific individuals).
If you mark	ked any of the above, proceed to Question 4

None of the above. If none of the above, describe why the information does not identify specific individuals either directly or indirectly. [If you checked this item, STOP here after providing the requested description.]
4. Does the system/project pertain only to government employees, contractors, or consultants?
_X_NOYES
5. Is information about United States citizens or lawfully admitted permanent resident aliens retrieved from the system/project by name or other personal identifier?
NO. [If no, skip to question 7.]
X YES. [If yes, proceed to the next question.]
6. Does the system/project collect any information directly from the person who is the subject of the information?
NO [If no, proceed to question 7.]
X YES
a. Does the system/project support criminal, CT, or FCI investigations or assessments?
_XNO
YES [If yes, proceed to question 7.]
b. Are subjects of information from whom the information is directly collected provided a written Privacy Act (e)(3) statement (either on the collection form or via a separate notice)?
NO [The program will need to work with PCLU to develop/implement the necessary form(s).]
X YES Identify any forms, paper or electronic, used to request such

information from the information subject: Multiple forms exist.

			ty Numbers (SSNs) collected, maintained or disseminated from the SSNs should only be used as identifiers in limited instances.
		NO .	_X_YES If yes, check all that apply:
	witnes		are necessary to establish/confirm the identity of subjects, victims, ources in this law enforcement or intelligence activity.
		_	are necessary to identify FBI personnel in this internal system.
mattei			are important for other reasons. Describe: Payroll and insurance investigations and reinvestigations
	encryp users).	ted, hid	estem/project provides special protection to SSNs (e.g., SSNs are den from all users via a look-up table, or only available to certain ibe: SSNs are encrypted during transmission and are available only s.
	SSNs.	It is no Expla i	t feasible for the system/project to provide special protection to n:
8.	Is the s	system (perated by a contractor?
	X_l	No.	,
,	consider contains collect impose Office	ered Pri ns stand s, main ed as a r	ormation systems operated by contractors for the FBI may be vacy Act systems of records. The Federal Acquisition Regulation and contract clauses that must be included in the event the system ains or disseminates PII and additional requirements may be natter of Department of Justice policy. Consultations with the General Counsel may be required if a contractor is operating the FBI.
9.		•	n undergone Certification & Accreditation (C&A) by the FBI ion (SecD)?
		NO	If no, indicate reason; if C&A is pending, provide anticipated completion date:
	x	_ YES	If yes, please indicate the following, if known:

Provide date of last C&A certification/re-certification:

12/04/2008	
Confidentialit	y:LowModerate_X_HighUndefined
Integrity:	LowModerate X_HighUndefined
Availability:	LowModerate _X_HighUndefined
Not applicable – this sy	stem is only paper-based.

10.	Does the system conduct data mining as defined in Section 804 of the Implementing Recommendations of the 9/11 Commission Act of 2007, P.L. 110-53 (codified at 42 USC 2000ee-3)? _X_NO
	YES If yes, please describe the data mining function:
11.	Is this a national security system (as determined by the SecD)?
	_x_NOYES
12.	Status of System/ Project:
	This is a new system/ project in development. [If you checked this block STOP. The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval and determination if PIA and/or other actions are required.]
II.	EXISTING SYSTEMS / PROJECTS
1. \	When was the system/project developed? June 1989
2. I	Has the system/project undergone any significant changes since April 17, 2003?
	NO [If no, proceed to next question (II.3).]
_X	YES If yes, indicate which of the following changes were involved (mark all changes that apply, and provide brief explanation for each marked change):
,	A conversion from paper-based records to an electronic system.
	A change from information in a format that is anonymous or non-identifiable to a format that is identifiable to particular individuals.
	A new use of an IT system/project, including application of a new technology, that changes how information in identifiable form is managed. (For example, a change that would create a more open environment and/or avenue for exposure of data that previously did not exist.)
	X A change that results in information in identifiable form being merged, centralized, or matched with other databases.

	A new method of authenticating the use of and access to information in identifiable form by members of the public.
	A systematic incorporation of databases of information in identifiable form purchased or obtained from commercial or public sources.
	A new interagency use or shared agency function that results in new uses or exchanges of information in identifiable form.
	X A change that results in a new use or disclosure of information in identifiable form.
	A change that results in new items of information in identifiable form being added into the system/project.
	Changes do not involve a change in the type of records maintained, the individuals on whom records are maintained, or the use or dissemination of information from the system/project.
	X Other [Provide brief explanation]:
3. Does	s a PIA for this system/project already exist?
	NO YES (A PIA was published in 2006 for the Bureau Personnel ment System Security Clearance Subsystem; however, the PIA does not ely define the system under current DOJ and FBI standards.)
If yes:	e de la companya de l
a. Pı	rovide date/title of the PIA:
b. H	as the system/project undergone any significant changes since the PIA?
_NO	_YES
FBI OGC/I	s now complete and after division approval(s) should be submitted to PCLU for final FBI approval and determination if PIA and/or other required.]

18

b7E

BIKR FBI Unique Asset ID: __APP-0000240

(OGC/PCLU (Rev. 04/01/2011)

FBI PRIVACY THRESHOLD ANALYSIS (PTA)

NAME OF SYSTEM / PROJECT: Bureau IT Knowledge Repository (BIKR)

Derived From: Classified By: Reason: Declassify On:	SYSTEM/PROJECT POON Name: Program Office: Capital Pla Division: IT Management I ITB Phone: Room Number: Crystal Ci	nning Unit Division /	FBI OGC/PCLU POC Name: Phone: Room Number:	ъ6 ъ70
FBI DIVISION INTER!	AEDIATE APPROVALS Program Manager (or other appropriate executive as Division determines)	Division	Privacy Officer	
Program Division: IT Management Division	Signature;	Signature Date sign Name: [Title: -	2000000 PORTOR PROPERTY NAME OF THE PROPERTY NAME O	b6 b7C
FBIHQ Division: IT Management Division	Signature: Date signed: 4/7///2012 Name: Title: ASST, SEC. CHIEF	Signature Date sign Name: Title:	:	

After all division approvals, forward signed hard copy plus electronic copy to FBI OGC/PCLU (JEH 7350). (The FBI Privacy and Civil Liberties Officer's determinations, conditions, and/or final approval will be recorded on the following page.)

epic.org

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

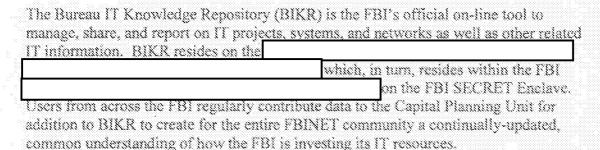
FINAL FBI APPROVAL / DETERMINATIO	DNS / CONDITIONS:	
PIA is required by the E-Government /	Not.	
PIA is to be completed as a matter of F	BI/DOI discretion.	
Is PIA to be published on FBI.GOV (after ar	ny RMD FOIA redactions)? Yes.	X_No (indicate reason):
X PIA is not required for the following respectively. System does not collect, maintain. System is grandfathered (in existe X Information in the system relate System has been previously assess. No significant privacy issues (or proceedings).	, or disseminate PII. nce before 4/17/2003; no later change s to internal government operations. sed under an evaluation similar to a Pl	
Applicable SORN(s): N/A; the only Pil in I information about systems, not a means for retunction of the systems	ieving information by name or persor NoYesSee sample EC	al identifier. on PCLU intranct website here:
http://home/DO/OGC/LTB/PCLU/PrivacyC SORN/SORN revision(s) required?No		
Prepare/revise/add Privacy Act (e)(3) stateme	ents for related forms? No	Yes (indicate forms affected):
RECORDS. The program should consult with The system may contain Federal records wheth schedule approved by the National Archives an as well as on compliance with requirements for	er or not it contains Privacy Act reque d Records Administration is necessar,	ists and, in any event, a records y. RMD can provide advice on this
Unit Chief	Signature:	4/27-/12
Privacy and Civil Liberties Unit James J. Landon, Deputy General Counsel	Date Signed:	***************************************
FBI Privacy and Civil Liberties Officer	Signature: July C	····· 4/20/20

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

ъ6 ъ7с

I. INFORMATION ABOUT THE SYSTEM / PROJECT

1. Provide a general description of the system or project that includes: (a) name of the system/project, including associated acronyms; (b) structure of the system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.



BIKR is the authoritative, centralized source of information for all FBI IT projects, systems, networks and investments. For each of these, BIKR stores the information most critical to their effective management – such as capability descriptions, points of contact (POCs) and other stakeholder and compliance information, including Federal Information Security Management Act and privacy documentation. The POC information in BIKR is the only personally identifiable information in the system.

Access to the physical database, as well as the ability to enter data into BIKR, is restricted to members of the Data Entry Team within the Capital Planning Unit in the Information Technology Management Division.

BIKR data is electronically available on an unrestricted basis to all authorized FBINET users at http://home/teamsites/BIKR. Once logged into FBINET, no username or password is required to view BIKR data. In the future, the Bureau anticipates placing some additional information on BIKR that will only be accessible by certain members of the Finance and Security divisions.

Importantly, the information stored in BIKR does not "belong" to BIKR; rather, BIKR is a repository for 14 different data stewards to manage the information they require to complete their individual missions. In addition to storing information, something the data stewards could do themselves, the unique service BIKR provides is in maintaining the crosswalks between the various data stewards' perspectives. For example, BIKR maintains the relationship between a system's name (the way the business owner knows a capability), that same system's C&A boundary (the way SecD knows the capability), that

UNCLASSIFIED/FOUO

.

¹ The data stewards are assigned by membership in the BIKR Advisory Group (BAG), which consists of representatives from the Customer Liaison Office, Finance Division, Office of General Counsel, Records Management Division, Security Division, Office of the Chief Knowledge Officer, Information Technology Engineering Division, and Information Technology Management Division.

system's PTA name (the way OGC initially evaluates privacy aspects), and the system's Investment name (the way the capability is reported out to OMB and Congress). With BIKR, users can view the information in the way that makes most sense to them, while remaining confident that their view of the data is reconciled with the views shown to other stakeholders.

BIKR not only stores information for open use, it also maintains relationships with outside data sets to enable deeper analysis and improve operations. For example, for commercial off-the-shelf systems or projects, users can determine whether the specific technologies a project or system employs (as stored in BIKR) are still supported by the original product manufacturer (as indicated by the Information and Technology Branch's Standard Product List), or users can use BIKR to determine whether the technologies they want to procure next are available via one of the FBI's enterprise-wide contract vehicles.

BIKR also contains links to the reference guides, handbooks, and templates most often used by Project, System, and Network Managers.

2. Does the system/project collect, maintain, or disseminate any information about individuals (i.e., a human being or natural person, regardless of nationality)?

___NO [If no, STOP. The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval. Unless you are otherwise advised, no PIA is required.]

X YES [If yes, please continue.]

3. Please indicate if any of the following characteristics apply to the information in the system about individuals: Bear in mind that log-on information may identify or be linkable to an individual.

(Check all that apply.)

X_	The information directly identifies specific individuals.
a 8 9 a 35 35	The information is intended to be used, in conjunction with other data elements,
000	to indirectly identify specific individuals.
	The information can be used to distinguish or trace an individual's identity (i.e., it
	is linked or linkable to specific individuals).

If you marked any of the above, proceed to Question 4.

None of the above. If none of the above, describe why the information does not identify specific individuals either directly or indirectly. [If you checked this item, STOP here after providing the requested description.]

4. Does the system/project pertain only to government employees, contractors, or consultants?
NO <u>X</u> YES
5. Is information about United States citizens or lawfully admitted permanent resident aliens retrieved from the system/project by name or other personal identifier?
X_NO. [If no, skip to question 7.] While it is possible that a user, knowing a POC, could retrieve information by the POC's name, the purpose of BIKR is to provide information about FBI systems, projects, networks and investments.
YES. [If yes, proceed to the next question.]
6. Does the system/project collect any information directly from the person who is the subject of the information?
XNO [If no, proceed to question 7.] While POCs may complete BIKR information, they are not the subject of the information.
YES
a. Does the system/project support criminal, CT, or FCI investigations or assessments?
YES [If yes, proceed to question 7.]
b. Are subjects of information from whom the information is directly collected provided a written Privacy Act (e)(3) statement (either on the collection form or via a separate notice)?
NO [The program will need to work with PCLU to develop/implement the necessary form(s).]
YES Identify any forms, paper or electronic, used to request such information from the information subject:

3	(_NO	YES	If yes, chec	k all that ap	ply:	
					lentity of subjec gence activity.	ts, victims,
syste		re necessary i	to identify FF	31 personnel	in this internal s	dministrative
	SSNs a	re important :	for other reas	ons. Deseri	be:	
		len from all u			ı to SSNs (e.g., τ only available	
SSN	It is not s. Explain		the system/pr	oject to prov	ide special prote	ection to
s th	e system o	perated by a	contractor?			
Κ	LNo.					
ont olle mpe Offic	idered Priv ains standa ects, mainta osed as a m	racy Act system rd contract of tins or dissemulation atter of Depa eneral Couns	ems of record lauses that mi ninates PH an ertment of Jus	ls. The Fede ust be includ d additional stice policy.	rs for the FBI m ral Acquisition ed in the event t requirements m Consultations w ntractor is opera	Regulation he system ay be ith the
		undergone C on (SecD)?	ertification &	è Accreditati	on (C&A) by th	e FBI
	NO	If no, indica		C&A is per	ding, provide :	inticipated
X	YES	If yes, pleas	e indicate th	e following,	if known:	
		Provide date BIKR operat		4 certificati	m/re-certificat	<u>ion</u> ;

		Confidentiality:	_X_Low_	Moderate	Hi	ghUndefined
		Integrity:	_X_Low_	_Moderate_	_High _	Undefined
		Availability:	_X_Low_	Moderate_	_High_	Undefined
	Not a	oplicable – this syst	em is only	paper-based.		
Imp	lementing	em conduct data mi Recommendations t 42 USC 2000ee-3	of the 9/1			
2	<u>C</u> NO					
	YES	If yes, please des	cribe the	data mining	function	•
11. Is this	a nations	il security system (a	s determin	ned by the Sco	:D)?	
2	CNO .		28			
12. Status	of Syster	n/Project:				
	This	s a new system/ pro	oject in de	velopment.		
II. EXIST	ING SY:	STEMS / PROJEC	TS			
i. When w	as the sy:	stem/project develo	ped? 200:	Σ		
2. Has the	system/p	roject undergone an	y significa	int changes si	nce April	17, 2003?
X NO	[lf no, p	roceed to next ques	tion (II.3).	1		
		ndicate which of the apply, and provid				
		A conversion from	n paper-bi	ised records i) an elect	ronic system.
	identii	A change from in iable to a format th				
	lechno	A new use of an I logy, that changes I				

	(For example, a change that would create a more open environment and/or avenue for exposure of data that previously did not exist.)
	A change that results in information in identifiable form being merged, centralized, or matched with other databases.
	A new method of authenticating the use of and access to information in identifiable form by members of the public.
	A systematic incorporation of databases of information in identifiable form purchased or obtained from commercial or public sources.
	A new interagency use or shared agency function that results in new uses or exchanges of information in identifiable form.
	A change that results in a new use or disclosure of information in identifiable form.
	A change that results in new items of information in identifiable form being added into the system/project.
	Changes do not involve a change in the type of records maintained, the individuals on whom records are maintained, or the use or dissemination of information from the system/project.
	Other (Provide brief explanation):
3. Does	a PIA for this system/project already exist?
X_	_NOYES
(yes:	
a. Pro	wide date/title of the PIA:
b. Hat	s the system/project undergone any significant changes since the PIA?
NO	XX S
	now complete and after division approval(s) should be submitted to CLU for final FBI approval and determination if PIA and/or other equired.]

(OGC/PCLU (Rev. 04/01/2011)

FBI PRIVACY THRESHOLD ANALYSIS (PTA)

NAME OF SYSTEM / PROJECT: Bureau Mailing List (BML)

Derived From: Classified By:	SYSTEM/PROJECT PO Name:	FBI OGC/PCLUPOC Name:	b
Reason: Declassify On:	Program Office: HRASU Division: ITSD Phone: Room Number: 1907	Phone: Room Number: 7350	b
BI DIVISION INTER	MEDIATE APPROVALS [complete as neces	sary consonant with Division policy Division Privacy Officer	
	Program Manager (or other appropriate executive as Division determines)	Division Fill Macy Critical	
Program Division: Facilities & Logistics Services Division	Program Manager (or other appropriate executive as División deservature) Signature Date signed:// / 6 / 27 / 26 \\ Name: Title: Unit Chief	Signature: A A Tomas Date signed: V/QT/VV Name: Elton Wayne Thomas Title: SUPVY SECUR SPEC-CSO	b6 b7

After all division approvals, forward signed hard copy plus electronic copy to FBI OGC/PCLU (JEH 7350). (The FBI Privacy and Civil Liberties Officer's determinations, conditions, and/or final approval will be recorded on the following page.)

epic.org

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

PIA is required by the E-Government	Ast.	
PIA is to be completed as a matter of	FBI/DOJ discretion.	
Is PIA to be published on FBLGOV (after a	iny RMD FOIA redactions)? Ye	ra No (indicate reason):
PIA is not required for the following a System does not collect, maintain System is grandfathered (in exist Information in the system relates System has been previously asset X No significant privacy issues (or Other (describe): 44444 1444 1444 1444 1444 1444 1444 1	n, or disseminate PII. ence before 4/17/2003; no later chan to internal government operations. iscd under an evaluation similar to a privacy issues are unchanged). ###################################	PIA. 2. CA Astrock and Loss 2. CA Astrock from Astrock 2. CA As
Prepare/revise/add Privacy Act (e)(3) statem	ents for related forms? X_No	Yes (indicate forms affected):
RECORDS. The program should consult with The system may contain Federal records wheth whedule approved by the National Archives as is well as on compliance with requirements for Other:	ner or not it contains Privacy Act required Records Administration is necessarial.	uesta and, in any event, a records ary. RMD can provide advice on th
Unit Chief	Signature:	
rivacy and Civil Liberties Unit	Date Signed:	11/15/19
ames J. Landon, Deputy General Counsel	Signature:	

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

b6 b7C

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

I. INFORMATION ABOUT THE SYSTEM / PROJECT

- BML (Bureau Mailing List) The BML is a system that supports the efforts of the
 Logistics Unit (LU) which, among other activities, is responsible for mail services.
 BML provides customers with the capability to enter, query, and report address
 information about FBI and non-FBI individuals and groups who are eligible to receive
 publications and wanted bulletins distributed by the FBI.
- 2. Data is not migrated into another system or used by any other systems. It is used to produce statistical reports and for address labels, which are utilized to mail Bureau publications, such as the Uniform Crime Report, the Law Enforcement Bulletin, and reports from the Bomb Data Center and National Academy. Address information of FBI individuals, non-FBI-individuals, and law enforcement agencies scheduled to receive Bureau publications is entered into BML via an online data entry panel. Through various online functions on the BML main menu panel, customers granted access to BML can also query and report address information. The LU has the ability to print mailing addresses using BML; it is the only unit that can use BML for this purpose.
- 3. The data in BML is text information consisting of name and address. It is kept in a Natural/ADABAS relational database file. Since LU is the sponsor, LU is primarily responsible for the overall entry and maintenance of the data. However, groups supporting the Bomb Data Center, National Academy, and Law Enforcement Bulletin also enter data into the BML. These users have a group code that allows them to enter address data pertaining to their group.
- 2. Does the system/project collect, maintain, or disseminate any information about individuals (i.e., a human being or natural person, regardless of nationality)? [If no, STOP. The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval. Unless you are otherwise advised, no PIA is required.) X YES [If yes, please continue.] 3. Please indicate if any of the following characteristics apply to the information in the system about individuals: Bear in mind that log-on information may identify or be linkable to an individual. (Check all that apply.) The information directly identifies specific individuals. The information is intended to be used, in conjunction with other data elements, to indirectly identify specific individuals. The information can be used to distinguish or trace an individual's identity (i.e., it is linked or linkable to specific individuals). If you marked any of the above, proceed to Question 4.

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

None of the above. If none of the above, describe why the information does not identify specific individuals either directly or indirectly. [If you checked this item, STOP here after providing the requested description.]
4. Does the system/project pertain only to government employees, contractors, or consultants?
_XNOYES
5. Is information about United States citizens or lawfully admitted permanent resident aliens retrieved from the system/project by name or other personal identifier?
NO. [If no, skip to question 7.]
X_YES. [If yes, proceed to the next question.]
6. Does the system/project collect any information directly from the person who is the subject of the information?
XNO [If no, proceed to question 7.]
YES
a. Does the system/project support criminal, CT, or FCI investigations or assessments?
YES [If yes, proceed to question 7.]
b. Are subjects of information from whom the information is directly collected provided a written Privacy Act (e)(3) statement (either on the collection form or via a separate notice)? N/A
NO [The program will need to work with PCLU to develop/implement the necessary form(s).]
YES Identify any forms, paper or electronic, used to request such information from the information subject:
7. Are Social Security Numbers (SSNs) collected, maintained or disseminated from the system/project? Full SSNs should only be used as identifiers in limited instances.

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

	X	NO	YES II	yes, che	ck all that ap	piy:	
		60000		A . 1. 37 . 3. 7	8 8 19		
		•	are necessary to es ources in this law				
	WHITE	1808 OF 8	ouices in uns iam	cumaca	ncin oi mesii	genee aen	Y1.yx
		SSNs	are necessary to id	entify F	BI personnel:	in this inte	anal administrativ
	systen	ì.					
	: S	SSNs	are important for c	ther rea	sons. Descri l) e :	
		7740 or ox	stem/project provi	doe emo	ial mentantine	sto SSMe i	on CCNemp
			den from all users				
		Desci					
	:00000000000000000000000000000000000000						
		. It is no	ot feasible for the s	ystem/p	roject to prov	ide special	protection to
	SSNs.	Expla	n;				
	* 24						
S.	is me	system (operated by a conti	racior;			
	X	No.					
	consid contain collect impose Office	ered Pri is stand s, main id as a r	ormation systems or vacy Act systems and contract clause ains or disseminat matter of Departme Seneral Counsel m	of records that more es PII are more for the more estimated by the estimated by	ds. The Feder ust be include ad additional a stice policy.	ral Acquis ed in the e requiremen Consultati	ition Regulation vent the system nts may be ons with the
			i undergone Certif	ication a	& Accreditation	эп (С&A)	by the FBI
	Securit	y Divis	ion (SecD)?				
		NO	If no, indicate re completion date		ΓC&A is pen	ding, pro	vide anticipated
	Х	YES	If yes, please inc	licate th	e following, i	if known:	
			Provide date of	last C&	A certificatio	ın/re-certi	ification:
			Confidentiality:	T essa	Moderate	X Hiab	Undefined
						· · · · · · · · · · · · · · · · · · ·	
			Integrity:	_Low_	Moderate_	X_High	Undefined
			\$	*	N43	X2 889 X	\$7
			Availability:	8 8388	Moderate	A 833€33	K / 83 83 85 K X 83 85 65 G

 $[INSERT\ CLASSIFICATION/CONTROL\ MARKINGS,\\ IF\ APPROPRIATE]\\ EPIC-14-06-04-FBI-FOIA-20150519-6th-Production$

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

Not applicable – this system is only paper-based.

ŧů.	Does the system conduct data mining as defined in Section 804 of the Implementing Recommendations of the 9/11 Commission Act of 2007, P.L. 110-53 (codified at 42 USC 2000ee-3)? XNO
	YES If yes, please describe the data mining function:
11.	Is this a national security system (as determined by the SecD)?
	X_NOYES
12.	Status of System/ Project:
	This is a new system/ project in development. [If you checked this block, STOP. The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval and determination if PIA and/or other actions are required.]
II. E	XISTING SYSTEMS / PROJECTS
1. W	hen was the system/project developed? 1986
2. H	as the system/project undergone any significant changes since April 17, 2003?
X	_NO [If no, proceed to next question (II.3).]
	YES If yes, indicate which of the following changes were involved (mark all changes that apply, and provide brief explanation for each marked change):
	A conversion from paper-based records to an electronic system.
	A change from information in a format that is anonymous or non-identifiable to a format that is identifiable to particular individuals.
	A new use of an IT system/project, including application of a new technology, that changes how information in identifiable form is managed. (For example, a change that would create a more open environment and/or avenue for exposure of data that previously did not exist.)
	A change that results in information in identifiable form being merged, centralized, or matched with other databases.

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

[INSERT CLASSIFICATION/CONTROL MARKINGS, IF APPROPRIATE]

	A new method of authenticating the use of and access to information in identifiable form by members of the public.
	A systematic incorporation of databases of information in identifiable form purchased or obtained from commercial or public sources.
	A new interagency use or shared agency function that results in new uses or exchanges of information in identifiable form.
	A change that results in a new use or disclosure of information in identifiable form.
	A change that results in new items of information in identifiable form being added into the system/project.
	Changes do not involve a change in the type of records maintained, the individuals on whom records are maintained, or the use or dissemination of information from the system/project.
	Other [Provide brief explanation]:
3. Doc	es a PIA for this system/project already exist?
o oo' keegaa	X_NOYES
If yes:	
a P	rovide date/title of the PIA;
6. H	las the system/project undergone any significant changes since the PIA?
NO	
	is now complete and after division approval(s) should be submitted to PCLU for final FBI approval and determination if PIA and/or other required.)

(OGC/PCLU (Rev. 04/01/2011).

FBI PRIVACY THRESHOLD ANALYSIS (PTA)

NAME OF SYSTEM / PROJECT: CA Universal Job Management Agent & Workload Control Center System

FBI DIVISION INTERMEDIATE APPROV	3.43° &		
Program Manager executive as Divis	(or other appropriate	Division Privacy Officer	
Program Division: Signature: Date signed: Name: Title:	an uccumes)	Signature: Dute signed: Name: Title:	
FBIHQ Division: Signature: Date signed: XXX	ITSD, Operating System	Signature: Date signed: /~//~// Name: Title: IT Specialist, ITMD, Product Assurance Unit	ъ6 ъ7С

After all division approvals, forward signed hard copy plus electronic copy to FBI OGC/PCLU (JEH 7350). (The FBI Privacy and Civil Liberties Officer's determinations, conditions, and/or final approval will be recorded on the following page.)

EPIC-14-06-04-FBI-FOIA-20150519-6th-Production

FINAL FRI APPROVAL / DETERMINATIONS / CONDITIONS PIA is required by the E-Government Act. PIA is to be completed as a matter of FBI/IXXI discretion. Is PIA to be published on FBLGOV (after any RMI) FOIA redactions)? _____ Yes. ____ No (indicate reason): x PIA is not required for the following reason(s): System does not collect, maintain, or disseminate PIL ____System is grandfathered (in existence before 4/17/2003; no later changes posing significant privacy risks). ____Information in the system relates to internal government operations. ____ System has been previously assessed under an evaluation similar to a PIA. xx No significant privacy issues (or privacy issues are unchanged). Infrastructure ___Other (describe): Applicable SORN(s): Notify FBI RMD/RIDS per MIOG 190.2.3? ____No ____Yes--See sample EC on PCLU intranet website here: http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form_for_miog190-2-3_ec.wpd SORN/SORN revision(s) required? ____No ____Ycs (indicate revisions needed): Prepare/revise/add Privacy Act (e)(3) statements for related forms? No Yes (indicate forms affected): NA RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic records issues. The system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a records schedule approved by the National Archives and Records Administration is necessary. RMD can provide advice on this as well as on compliance with requirements for Electronic Recordkeeping Certification and any necessary updates. Others Unit Chief Signature: Privacy and Civil Liberties Unit Date Signer

[UNCLASSIFIED]

Signature:

Date Signed:

10/12/11

b6 b7С

James J. Landon, Deputy General Counsel

FBI Privacy and Civil Liberties Officer

I. INFORMATION ABOUT THE SYSTEM / PROJECT

1. Provide a general description of the system or project that includes: (a) name of the system/project, including associated acronyms; (b) structure of the system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.

The Computer Associate (CA) Universal Job Management Agent (UJMA) and Workload Control Center (WCC) are mainframe-hosted products designed to automate workloads and IT processes across the enterprise. UJMA and WCC work together as a subsystem to provide a graphical management user interface (GUI) to mainframe schedulers to manage the resources and background processes of the enterprise servers in order to balance workload on each partition in the server. This project is an online, real-time, interactive system that automatically controls, schedules, and initiates work according to time-driven and event-driven activities. The UJMA provides monitoring resources in real time to identify if the appropriate amounts of memory, disk, or CPU resources are available before allowing a job to execute. This will prevent failures and potential cleanup activities later. The WCC is a graphical interface that helps to coordinate, execute, and manage job schedules and triggered events from the mainframe hosted workload automation tool.

This project supports the Data Center Unit (DCU), Infrastructure Support Section.

The system has been online since last year. The system will be migrated from production physical servers into the
The UJMA/WCC system will reside between the FBI's Mainframe and Windows Server 2003/2008 Environment, which is part of the "Secret" Enclave. Secret Enclave is the subject of an existing PTA.
Since the UJMA/WCC system is designed to track mainframe resources and schedule jobs, it does not contain the actual names of individual users or specific personally identifiable information (PII).
2. Does the system/project collect, maintain, or disseminate any information about individuals (i.e., a human being or natural person, regardless of nationality)?
XNO [If no, STOP. The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval. Unless you are otherwise advised, no PIA is required.]
YES [If yes, please continue.]

b7E

$\{UNCLASSIFIED\}$

The information directly identifies specific individuals. The information is intended to be used, in conjunction with other data elements,
to indirectly identify specific individuals. The information can be used to distinguish or trace an individual's identity (i.e., i is linked or linkable to specific individuals).
If you marked any of the above, proceed to Question 4.
None of the above. If none of the above, describe why the information does not identify specific individuals either directly or indirectly. [If you chacked this item, STOP here after providing the requested description.]
4. Does the system/project pertain only to government employees, contractors, or consultants?
NO YES
5. Is information about United States citizens or lawfully admitted permanent resident aliens retrieved from the system/project by name or other personal identifier?
NO. [If no, skip to question 7.]
37776 180 3 3 3
YES. [If yes, proceed to the next question.]
6. Does the system/project collect any information directly from the person who is the subject of the information?
6. Does the system/project collect any information directly from the person who is the
6. Does the system/project collect any information directly from the person who is the subject of the information?
6. Does the system/project collect any information directly from the person who is the subject of the information?
6. Does the system/project collect any information directly from the person who is the subject of the information? NO [If no, proceed to question 7.] YES a. Does the system/project support criminal, CT, or FCI investigations or

	b. Are subjects of information from whom the information is directly collected vided a written Privacy Act (e)(3) statement (either on the collection form or via a grate notice)?
	NO [The program will need to work with PCLU to develop/implement the necessary form(s).]
	YES Identify any forms, paper or electronic, used to request such information from the information subject:
	Are Social Security Numbers (SSNs) collected, maintained or disseminated from the em/project? Full SSNs should only be used as identifiers in limited instances.
	NO YES If yes, check all that apply:
	SSNs are necessary to establish/confirm the identity of subjects, victims, witnesses or sources in this law enforcement or intelligence activity.
	SSNs are necessary to identify FBI personnel in this internal administrative system.
	SSNs are important for other reasons. Describe:
	The system/project provides special protection to SSNs (e.g., SSNs are encrypted, hidden from all users via a look-up table, or only available to certain users). Describe:
	It is not feasible for the system/project to provide special protection to SSNs. Explain:
8.	Is the system operated by a contractor?
	No.
	Yes. Information systems operated by contractors for the FBI may be considered Privacy Act systems of records. The Federal Acquisition Regulation contains standard contract clauses that must be included in the event the system collects, maintains or disseminates PII and additional requirements may be imposed as a matter of Department of Justice policy. Consultations with the Office of the General Counsel may be required if a contractor is operating the system for the FBI.

N0	If no, indicate re completion date:		C&A is pen	ding, pr	ovide anticipat
YES	If yes, please ind	icate th	e following,	if knowi	ı:
	Provide date of I	ast C&	A certificatio	m/re-cei	rtification:
	Confidentiality:_	_Low_	_Moderate_	_High_	_Undefined
	Integrity:	Low	_Moderate	High	_Undefined
	Availability:	Low	Moderate	High	Undefined

10. Does the system conduct data mining as d Implementing Recommendations of the 9/ 53 (codified at 42 USC 2000ec-3)?	
YES If yes, please describe the	data mining function:
11. Is this a national security system (as determ	ned by the SecD)?
NO YES	
12. Status of System/ Project:	
This is a new system/ project in de STOP. The PTA is now complete and a submitted to FBI OGC/PCLU for final I PIA and/or other actions are required.]	· · · · · · · · · · · · · · · · · · ·
II. EXISTING SYSTEMS / PROJECTS	
When was the system/project developed?	
2. Has the system/project undergone any signific	ant changes since April 17, 2003?
NO [If no, proceed to next question (II.3)	
YES If yes, indicate which of the followin changes that apply, and provide brief ex	33 7
A conversion from paper-b	ased records to an electronic system.
A change from information identifiable to a format that is ident	in a format that is anonymous or non- fiable to particular individuals.
technology, that changes how infor	project, including application of a new nation in identifiable form is managed. reate a more open environment and/or viously did not exist.)
A change that results in infi merged, centralized, or matched wit	ormation in identifiable form being h other databases.

	A new method of authenticating the use of and access to information in identifiable form by members of the public.
	A systematic incorporation of databases of information in identifiable form purchased or obtained from commercial or public source
	A new interagency use or shared agency function that results in new uses or exchanges of information in identifiable form.
	A change that results in a new use or disclosure of information in identifiable form.
	A change that results in new items of information in identifiable form being added into the system/project.
	Changes do not involve a change in the type of records maintained the individuals on whom records are maintained, or the use or dissemination of information from the system/project.
	Other [Provide brief explanation]:
3. Does	a PIA for this system/project already exist?
	_NOYES
If yes:	
a. Pri	wide date/title of the PIA:
b. Ha	s the system/project undergone any significant changes since the PIA?
NO	YES
The PTA is FBI OGC/Po ections are r	now complete and after division approval(s) should be submitted to CLU for final FBI approval and determination if PIA and/or other equired.]

(OGC/PCLU (Rev. 04/01/2011)

FBI PRIVACY THRESHOLD ANALYSIS (PTA)

(AME OF SYSTEM / PR BIKR FBI Unique Asset I		b7E
Derived From: Classified By: Reason: Declassify On:	SYSTEM/PROJECT POC Name: Program Office: ITB Division: ITSD Phone: Room Number: 8979	FBI OGC/PCLU POC Name: Phone Room Number: 7350
FBI DIVISION INTERM	EDIATE APPROVALS Program Manager (or other appropriate executive as Division determines)	Division Privacy Officer
Program Division: DI	Signature: Date signed: 12-14-11 Name: Title: Acting Chief,	Signature: Date signed: */2//3//3//1 Name Title: Unit Chief, Supervisory MAPA b6
FBIHQ Division: ITSD	Signature: Date signed: 12/9/ Name:	Signature: Date signed: /-6-/ Name: