	(For example, a change that would create a more open environment and/or avenue for exposure of data that previously did not exist.)
	A change that results in information in identifiable form being merged, centralized, or matched with other databases.
	A new method of authenticating the use of and access to information in identifiable form by members of the public.
	A systematic incorporation of databases of information in identifiable form purchased or obtained from commercial or public source
	A new interagency use or shared agency function that results in new uses or exchanges of information in identifiable form.
20 20 200 30 200 40 20 <sup>2</sup>	A change that results in a new use or disclosure of information in identifiable form.
	A change that results in new items of information in identifiable form being added into the system/project.
	Changes do not involve a change in the type of records maintained the individuals on whom records are maintained, or the use or dissemination of information from the system/project.
	Other (Provide brief explanation):
3. Does	a PIA for this system/project already exist?
- 12	
If yes:	
* * * <b>* * * * * * * * *</b>	ovide date/title of the PIA:
<b>.</b>	is the system/project undergone any significant changes since the PIA?
	now complete and after division approval(s) should be submitted to CLU for final FBI approval and determination if PIA and/or other equired.]

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(OGC/PCLU (Rev. 08/19/2013)

## FBI PRIVACY THRESHOLD ANALYSIS (PTA)

NAME O	F SYSTEM / PROJ	IECT:		b3
BIKR FB	Il Unique Asset ID:	NET-0000073		b7E
Derived From:	SSP, 30 De€	SYSTEM/PROJECT POC	FBI OGC/PCLUPOC	b3 b6
<del>2014  </del>   Classified Bv: <del>E530</del> /	124K53L	Name: Technical Architect	Name: AGC Phone:	<b>Б</b> 7С
Reason:		Program Office: Data Center Hardware	Room Number: 7350 JEH	b7E
Declassify On:-2039	4224	& OS Section		
DOCUMENT 15	ME (I)	Division: ITID Phone: Room Number: CHV-102		

FBI DIVISION INTERMEDIATE APPROVALS [complete as necessary consonant with

Division policy]

	Program Manager (or other appropriate executive as Division determines)	Division Privacy Officer
Program Division: Information Technology Applications and Data Division (ITAD)	Signature:  Date signed:  Name: David J. Bukovich  Title: Section Chief, Intelligence and Investigative Applications Section	Signature:  Date signed: 6/11/28/9  Name: ITS  Title: ITID/VCSU Privacy Officer
FBIHQ Division:	Signature: Date signed: Name: Title:	Signature: Date signed: Name: Title:

After all division approvals, forward signed hard copy plus electronic copy to FBI OGC/PCLU (JEH 7350).

(The FBI Privacy and Civil Liberties Officer's determinations, conditions, and/or final approval will be recorded on the following page.)

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FINAL FBI APPROVAL / DETERMINATIONS / CONDITIONS: [This section will be completed by the FBI PCLU/PCLO following PTA submission. The PTA drafter should skip to the next page and continue.]

PIA is required by the E-Government Act.  PIA is to be completed as a matter of FBI/DOJ discretion.  Is PIA to be published on FBI.GOV (after any RMD FOIA redactions)? Yes No (indicate reasor X PIA is not required for the following reason(s): System does not collect, maintain, or disseminate PII.	
Is PIA to be published on FBI.GOV (after any RMD FOIA redactions)?	
X PIA is not required for the following reason(s):  System does not collect, maintain, or disseminate PII. System is grandfathered (in existence before 4/17/2003; no later changes posing significant privary information in the system relates to internal government operations. System has been previously assessed under an evaluation similar to a PIA. X No significant privacy issues (or privacy issues are unchanged).  X Other  Applicable SORN(s): Department of Justice Computer Systems Activity and Access Records, DOJ-002, pt. 164 Fed. Reg. 73585 (Dec. 30. 1999).  Notify FBI RMD/RIDS per MIOG 190.2.3? X No Yes—See sample EC on PCLU intranet webs http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form_for_miog190-2-3_ec.wpd  SORN/SORN revision(s) required? X No Yes  Prepare/revise/add Privacy Act (e)(3) statements for related forms? X No Yes  Form, provides a Privacy Act Statement of users of FBI IT systems. All FBI personnel with access to FBI IT must electronically sign form FD-889 as part of their annual INFOSEC training.  RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic record. The system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a not the system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a not the contains Privacy Act requests and, in any event, a not the contains Privacy Act requests and, in any event, a not the contains Privacy Act requests and, in any event, a not the contains Privacy Act requests and, in any event, a not the contains Privacy Act requests and, in any event, a not the contains Privacy Act requests and, in any event, a not the contains Privacy Act requests and, in any event, a not the contains Privacy Act requests and, in any event, a not the contains Privacy Act requests and the cont	
System does not collect, maintain, or disseminate PIISystem is grandfathered (in existence before 4/17/2003; no later changes posing significant privary information in the system relates to internal government operationsSystem has been previously assessed under an evaluation similar to a PIA	);
Applicable SORN(s):	y risks).
Notify FBI RMD/RIDS per MIOG 190.2.3? X No Yes-See sample EC on PCLU intranet webs http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form_for_miog190-2-3_ec.wpd SORN/SORN revision(s) required? X No Yes  Prepare/revise/add Privacy Act (e)(3) statements for related forms? X No Yes  Form FD-889, FBI Information Technology and Information Systems Rules of Behavior for General Users Agrom, provides a Privacy Act Statement of users of FBI IT systems. All FBI personnel with access to FBI IT nust electronically sign form FD-889 as part of their annual INFOSEC training.  RECORDS: The program should consult with RMD to identify/resolve any Federal records/electronic record the system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a record.	
Notify FBI RMD/RIDS per MIOG 190.2.3? X No Yes-See sample EC on PCLU intranet webs http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form_for_miog190-2-3_ec.wpd  SORN/SORN revision(s) required? X No Yes  Prepare/revise/add Privacy Act (e)(3) statements for related forms? X No Yes  Form FD-889, FBI Information Technology and Information Systems Rules of Behavior for General Users Ag  Form, provides a Privacy Act Statement of users of FBI IT systems. All FBI personnel with access to FBI IT nust electronically sign form FD-889 as part of their annual INFOSEC training.  RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic record.  The system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a re-	
Notify FBI RMD/RIDS per MIOG 190.2.37 X No Yes-See sample EC on PCLU intranet webs http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form_for_miog190-2-3_ec.wpd  SORN/SORN revision(s) required? X No Yes  Prepare/revise/add Privacy Act (e)(3) statements for related forms? X No Yes  Form FD-889, FBI Information Technology and Information Systems Rules of Behavior for General Users Ag  Form, provides a Privacy Act Statement of users of FBI IT systems. All FBI personnel with access to FBI IT nust electronically sign form FD-889 as part of their annual INFOSEC training.  RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic record.	<u>ıblished</u>
http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form_for_miog190-2-3_ec.wpd  SORN/SORN revision(s) required? X No Yes  Prepare/revise/add Privacy Act (e)(3) statements for related forms? X No Yes  orm FD-889, FBI Information Technology and Information Systems Rules of Behavior for General Users Ag  orm, provides a Privacy Act Statement of users of FBI IT systems. All FBI personnel with access to FBI IT  nust electronically sign form FD-889 as part of their annual INFOSEC training.  ECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic record the system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a re-	
Prepare/revise/add Privacy Act (e)(3) statements for related forms? X No Yes  Form FD-889, FBI Information Technology and Information Systems Rules of Behavior for General Users Ag  Form, provides a Privacy Act Statement of users of FBI IT systems. All FBI personnel with access to FBI IT nust electronically sign form FD-889 as part of their annual INFOSEC training.  RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic record the system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a re-	te here:
Form FD-889, FBI Information Technology and Information Systems Rules of Behavior for General Users Agrorm, provides a Privacy Act Statement of users of FBI IT systems. All FBI personnel with access to FBI IT nust electronically sign form FD-889 as part of their annual INFOSEC training.  RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic record the system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a re-	
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The system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a re	<i>reement</i> systems
is well as on compliance with requirements for Electronic Recordkeeping Certification and any necessary upon	coras ice on this
Other:	
Signatur	
Unit Chief, Privacy and Civil Liberties Unit  BI Privacy and Civil Liberties Officer  Date Sig	

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#### I. INFORMATION ABOUT THE SYSTEM / PROJECT

As also watered a constitution of the constitu	
1. Provide a general description of the system or project that includes: (a) name of the system/project, including associated acronyms; (b) structure of the system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.	

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	J
<ol><li>Does the system/project collect, maintain, or disseminate any information about</li></ol>	
individuals (i.e., a human being or natural person, regardless of nationality)?	
mary mand (i.e., a minum cents or mountar person, resulties or material).	
NO	
NO	
X YES [If yes, please continue.]	
75 (170) [81 ] DOS PERSON CONTRIGUES	

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	Б7
3. Please indicate if any of the following characteristics apply to the information in the	
system about individuals: Bear in mind that log-on information may identify or be	
linkable to an individual.	
(Check all that apply.)	
X The information directly identifies specific individuals.	
The information is intended to be used, in conjunction with other data elements,	
to indirectly identify specific individuals.	
X The information can be used to distinguish or trace an individual's identity (i.e., it	
is linked or linkable to specific individuals).	
Warrant A. A. a. Saka akan ana ana da Onradian I	
If you marked any of the above, proceed to Question 4.	
None of the above. If none of the above, describe why the information does not	
identify specific individuals either directly or indirectly.	
sachury specime marriadus einer anecay or manceay.	
4. Does the system/project pertain only to government employees, contractors, or	
consultants?	
VV-1.0 0 1.1111111111111111111111111111111	
NO	
X YES	b3
	ь7
	-
5. Is information about United States citizens or lawfully admitted permanent resident	
aliens retrieved from the system/project by name or other personal identifier?	
NO. [If no, skip to question 7.]	
X_YES. [If yes, proceed to the next question.]	
	] b3
	b7
	]
6. Does the system/project collect any information directly from the person who is the	
subject of the information?	
awayeer or the intornation.	
X NO [If no, proceed to question 7.]	
YES	
^ ~~~~	

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18808	a. Doo sments?		stem/project support criminal, CT, or FCI investigations or
		NO	
	***********	YES	[If yes, proceed to question 7.]
		ritten Pr	ts of information from whom the information is directly collected fivacy Act (e)(3) statement (either on the collection form or via a
			he program will need to work with PCLU to develop/implement e necessary form(s).]
			dentify any forms, paper or electronic, used to request such information from the information subject:
			ity Numbers (SSNs) collected, maintained or disseminated from the SSNs should only be used as identifiers in limited instances.
	<u>X</u>	NO	YES If yes, check all that apply:
	witness system	ses or se SSNs :	are necessary to establish/confirm the identity of subjects, victims, ources in this law enforcement or intelligence activity, are necessary to identify FBI personnel in this internal administrative
		SSNs	are important for other reasons. Describe:
			stem/project provides special protection to SSNs (e.g., SSNs are den from all users via a look-up table, or only available to certain fibe:
	SSNs.	lt is no Explai	ot feasible for the system/project to provide special protection to in:
₹.	is the s	ystem (	operated by a contractor?
	_X_	No.	
	contair collect	ered Pri is stand s, maint	nformation systems operated by contractors for the FBI may be vacy Act systems of records. The Federal Acquisition Regulation and contract clauses that must be included in the event the system tains or disseminates PII and additional requirements may be natter of Department of Justice policy. Consultations with the

UNCLASSIFIED/FOUO

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Office of the General Counsel may be required if a contractor is operating the system for the FBI.

Has the system undergone Certification & Accreditation (C&A) by the FBI

	system undergone Ce Division (SecD)?	rtification &	Accreditation (C&A) ધ	y the FBI
1	NO If no, indicate completion de		&A is pending, provide	anticipated
X to oper	YES was ate (A O) through M	last accredite arch 22, 2015	d on March 15, 2012 a 5 at the following risk b	nd has authority evels:
	Confidentiality:	Low	Moderate	X High
	Integrity:	_Low	X Moderate	High
	Availability:	_Low	X Moderate	_High
	Not applicable	- this syster	n is only paper-based.	
Implem	e system conduct data enting Recommendat (fied at 42 USC 2000)	ions of the 9/	efined in Section 804 o 11 Commission Act of	f the 2007, P.L. 110-
_X_	NO			
	YES If yes, please	describe the	data mining function	1:
Is this a n	ational security system	m (as determ	ined by the SecD)?	
	NO <u>X</u>	_YES		
Status of	System/ Project:			
STOP.	The PTA is now con	mplete and a	rvelopment. [ <b>If you ch</b> fter division approval FBI approval and det	l(s) should be

#### II. EXISTING SYSTEMS / PROJECTS

1. When was the system/project developed? 2004

PIA and/or other actions are required.]

2. Has the system/project undergone any significant changes since April 17, 2003?

NO [If no, proceed to next question (II.3).]

9.

10.

11.

12.

	s, indicate which of the following changes were involved (mark all at apply, and provide brief explanation for each marked change):
_	A conversion from paper-based records to an electronic system.
iden	A change from information in a format that is anonymous or non-tifiable to a format that is identifiable to particular individuals.
(For	A new use of an IT system/project, including application of a new nology, that changes how information in identifiable form is managed, example, a change that would create a more open environment and/or sue for exposure of data that previously did not exist.)
mer	A change that results in information in identifiable form being ged, centralized, or matched with other databases.
info	A new method of authenticating the use of and access to rmation in identifiable form by members of the public.
iden	A systematic incorporation of databases of information in tifiable form purchased or obtained from commercial or public sources.
new	A new interagency use or shared agency function that results in uses or exchanges of information in identifiable form.
iden	A change that results in a new use or disclosure of information in tifiable form.
form	A change that results in new items of information in identifiable a being added into the system/project.
	Changes do not involve a change in the type of records maintained, individuals on whom records are maintained, or the use or emination of information from the system/project.
X	Other [Provide brief explanation]:
3. Does a PIA for	this system/project already exist?
<u>X</u> NO	YES

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If yes:
a. Provide date/title of the PIA:
b. Has the system/project undergone any significant changes since the PIA?
NOYES
and the second of the second o

[The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval and determination if PIA and/or other actions are required.]

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OGC/PCLU (Rev. 08/16/2010)

## FBI PRIVACY THRESHOLD ANALYSIS (PTA)

Derived From: Classified By: Resson:	SYSTEM/PROJECT POC Name: Name: Program Office: Investigativ	e	FBI OGC/PCLU POC Name: Phone
Declassify On:	Applications Support Unit (f) Division: ITSD Phone: Room Number: 1333B JEH		Room Number: 7350 JEH
BI DIVISION INTERM	EDIATE APPROVALS [complete as necessal Program Manager (or other appropriate executive as Division determines)	**********	nt with Division policy) Privacy Officer
Program Division: Critical Incident Response Group (CIRG)	Signature:  Date signed: \frac{\frac{1}{2}\lambda/\text{\text{\text{#}}}{\text{Name:}}}{\text{Name:}}  Title: Deputy Commander, Hostage Rescue Team (HRT)	Name	rd: ////////////////////////////////////
FBIHQ Division:	Signature	Signature	

After all division approvals, forward signed hard copy plus electronic copy to FBI OGC/PCLU (JEH 7350). (The FBI Privacy and Civil Liberties Officer's determinations, conditions, and/or final approval will be recorded on the following page.)

FINAL FBI APPROVAL / DEIFERMINATIONS / CONDITIONS:   This section will be exampleded by the FDI PCLUPCLO following PTA submission. The PTA drafter should skip to the next page and continue.
PIA is required by the E-Government Act.
PIA is to be completed as a matter of FBI/DOJ discretion.
Is PIA to be published on FBI.GOV (after any RMD FOIA reductions)? Yes No (indicate reason):
X_PIA is not required for the following reason(s): System does not collect, maintain, or disseminate PII. System is grandfathered (in existence before 4/17/2003; no later changes posing significant privacy risks). X_Information in the system relates to internal government operations. System has been previously assessed under an evaluation similar to a PIA. No significant privacy issues (or privacy issues are unchanged). Other (describe):
Applicable SORN(s): DOJ/FBI-002, Central Records System
Notify FBI RMD/RIDS per MIOG 190.2.37NoX_YesSee sample EC_on PCLU intranet website here: http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form_for_miog190-2-3_ec.wpd
SORN/SORN revision(s) required? _X_NoYes (indicate revisions needed):
Prepare/revise/add Privacy Act (e)(3) statements for related forms?NoX_Yes (indicate forms affected):
Privacy Act (e)(3) statements will be prepared to accompany medic cards and deployment sheets provided to personnel from whom information is collected and will be stored within TRMS.
RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic records issues. The system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a records schedule approved by the National Archives and Records Administration is necessary. RMD can provide advice on this as well as on compliance with requirements for Electronic Recordkeeping Certification and any necessary updates.
Other:
James J. Landon, Deputy General Counsel and Signature:
EDI Deixan and Civil I Braning Officer Dura Comed 7/1/11

[UNCLASSIFIED]

1. Provide a general description of the system or project that includes: (a) name of

the system/project, including associated acronyms; (b) structure of the

#### I. INFORMATION ABOUT THE SYSTEM / PROJECT

	system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.
Γ	

[UNCLASSIFIED]

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Does the system/project collect, maintain, or disseminate any information about individuals (i.e., a human being or natural person, regardless of nationality)?
NO [If no, STOP. The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval. Unless you are otherwise advised, no PIA is required.]
XYES [If yes, please continue.]
3. Please indicate if any of the following characteristics apply to the information in the system about individuals: Bear in mind that log-on information may identify or be linkable to an individual.  (Check all that apply.)
XThe information directly identifies specific individuals.
The information is intended to be used, in conjunction with other data elements, to indirectly identify specific individuals.
The information can be used to distinguish or trace an individual's identity (i.e., it
is linked or linkable to specific individuals).
If you marked any of the above, proceed to Question 4.
None of the above. If none of the above, describe why the information does not identify specific individuals either directly or indirectly. [If you checked this item, STOP here after providing the requested description.]

[UNCLASSIFIED]

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## (UNCLASSIFIED)

4. Does the system/project pertain only to government employees, contractors, or consultants?	
NO X YES	
5. Is information about United States citizens or lawfully admitted permanent resident aliens retrieved from the system/project by name or other personal identifier?	
NO. [If no, skip to question 7.]	
_X_ YES. [If yes, proceed to the next question.]	
	ь7Е
6. Does the system/project collect any information directly from the person who is the subject of the information?	
NO [If no, proceed to question 7.]	
XYES	
	b7E
a. Does the system/project support criminal, CT, or FCI investigations or assessments?	_
XN0	
YES [If yes, proceed to question 7.]	
b. Are subjects of information from whom the information is directly collected provided a written Privacy Act (e)(3) statement (either on the collection form or via a separate notice)?	
X NO [The program will need to work with PCLU to develop/implement the necessary form(s).]	E
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[UNCLASSIFIED]

	YES Identify any forms, paper or electronic, used to request such information from the information subject:
	Are Social Security Numbers (SSNs) collected, maintained or disseminated from th em/project? Full SSNs should only be used as identifiers in limited instances.
	NO _X_ YES If yes, check all that apply:
	SSNs are necessary to establish/confirm the identity of subjects, victims, witnesses or sources in this law enforcement or intelligence activity.
	X_SSNs are necessary to identify FBI personnel in this internal administrative system.
	SSNs are important for other reasons. Describe:
	The system/project provides special protection to SSNs (e.g., SSNs are encrypted, hidden from all users via a look-up table, or only available to certain users). <b>Describe:</b>
	It is not feasible for the system/project to provide special protection to SSNs. Explain:
<b>}</b> .	Is the system operated by a contractor?
	X_ No.
	Yes. Information systems operated by contractors for the FBI may be considered Privacy Act systems of records. The Federal Acquisition Regulation contains standard contract clauses that must be included in the event the system collects, maintains or disseminates PII and additional requirements may be imposed as a matter of Department of Justice policy. Consultations with the Office of the General Counsel may be required if a contractor is operating the system for the FBI.
<b>).</b>	Has the system undergone Certification & Accreditation (C&A) by the FBI Security Division (SecD)?
	X_NO If no, indicate reason; if C&A is pending, provide anticipated completion date:

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	YES	If yes, please indicate the following, if known:
		Provide date of last C&A certification/re-certification:
		Confidentiality:LowModerateHighUndefined
		Integrity:LowModerateHighUndefined
		Availability:LowModerateHighUndefined
	Not ag	plicable – this system is only paper-based.
10.	Is this system/p	roject the subject of an OMB-300 budget submission?
	XNO	
	YES	If yes, please provide the date and name or title of the OMB submission:
11.	Implementing	m conduct data mining as defined in Section 804 of the Recommendations of the 9/11 Commission Act of 2007, P.L. 110- t 42 USC 2000ee-3)?
	_X_NO	
	YES	If yes, please describe the data mining function:
12.	ls this a nationa	I security system (as determined by the SecD)?
	XNO	YES
13.	Status of Systen	n/ Project:
	STOP. The I submitted to	a new system/ project in development. [If you checked this block, TA is now complete and after division approval(s) should be FBI OGC/PCLU for final FBI approval and determination if ther actions are required.]

## II. EXISTING SYSTEMS / PROJECTS

1. When was the system/project developed? FY2005-2006

[UNCLASSIFIED]

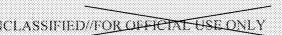
b7E

2. Has the system/project undergone any significant changes since April 17, 20037
NO [If no, proceed to next question (II.3).]
XYES If yes, indicate which of the following changes were involved (mark all changes that apply, and provide brief explanation for each marked change): The system was DEVELOPED and IMPLEMENTED post-April 17, 2003.
A conversion from paper-based records to an electronic system.
A change from information in a format that is anonymous or non- identifiable to a format that is identifiable to particular individuals.
X A new use of an IT system/project, including application of a new technology, that changes how information in identifiable form is managed. (For example, a change that would create a more open environment and/or avenue for exposure of data that previously did not exist.)
A change that results in information in identifiable form being merged, centralized, or matched with other databases.
A new method of authenticating the use of and access to information in identifiable form by members of the public.
A systematic incorporation of databases of information in identifiable form purchased or obtained from commercial or public sources.
A new interagency use or shared agency function that results in new uses or exchanges of information in identifiable form.
X A change that results in a new use or disclosure of information in identifiable form.
Explanation: Content will be accessible through a new application that provides for additional features. This will take the form of a new repository for information on individuals in identifiable form.
A change that results in new items of information in identifiable form being added into the system/project.
Changes do not involve a change in the type of records maintained, the individuals on whom records are maintained, or the use or dissemination of information from the system/project.

[UNCLASSIFIED]

	Other [Provide brief explanation]:
3. Dos	es a PIA for this system/project already exist?
	XNOYES
If yes:	
a, P	rovide date/title of the PIA:
b. I	las the system/project undergone any significant changes since the PIA?
NO .	YES
FBI OGC/	is now complete and after division approval(s) should be submitted to PCLU for final FBI approval and determination if PIA and/or other required.]

[UNCLASSIFIED]



(OGC/PCLU (Rev. 04/01/2011).

## FBI PRIVACY THRESHOLD ANALYSIS (PTA)

NAME OF SYSTEM /	PROJECT:			b3 b7E
BIKR FBI Unique Ass	et ID: Proj2013-014-01			
Derived From: Classified By: Reason: Declassify On:		Name: Program Office: Strategic Technology Unit Division: Directorate of Intelligence Phone		Ъ6 Ъ7С
FBI DIVISION INTE	Program Manager (or other appropriate executive as Division determines)	Divisi	on Privacy Officer	b6
Program Division: Directorate of Intelligence	Signature: Date signed Name: Amy L. Streetam Title: Section Chief, Strategic Services Section	Name	igned: "以以7/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/	ь7c

After all division approvals, forward signed hard copy plus electronic copy to FBI OGC/PCLU (JEH 7350). (The FBI Privacy and Civil Liberties Officer's determinations, conditions, and/or final approval will be recorded on the following page.)



FINAL FBI APPROVAL / DETERMINATIONS / CONDITIONS: [This section will be completed by the FBI PCLU/PCLO following PTA submission. The PTA drafter should skip to the next page and continue.] PIA is required by the E-Government Act. PIA is to be completed as a matter of FBI/DOI discretion. Is PIA to be published on FBLGOV (after any RMD FOIA redactions)? Yes. No (indicate reason): \_\_X\_\_PIA is not required for the following reason(s): \_\_\_\_System does not collect, maintain, or disseminate PII. \_\_\_\_System is grandfathered (in existence before 4/17/2003; no later changes posing significant privacy risks). Information in the system relates to internal government operations. X. System has been previously assessed under an evaluation similar to a PIA. A PIA was conducted for the Secret version of FIDS-dated 9/19/2011. TS FIDS is consistent with the Secret FIDS PIA. X No significant privacy issues (or privacy issues are unchanged). Other (describe): Applicable SORN(s): FBI-002: Central Records System SORN Notify FBI RMD/RIDS per MIOG 190.2.37 No Yes-See sample EC on PCI U intranet website here: http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form\_for\_miog190-2-3\_ec.wpd SORN/SORN revision(s) required? No Yes (indicate revisions needed): Prepare/revise/add Privacy Act (e)(3) statements for related forms? No Yes (indicate forms affected): RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic records issues. The system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a records schedule approved by the National Archives and Records Administration is necessary. RMD can provide advice on this as well as on compliance with requirements for Electronic Recordkeeping Certification and any necessary updates. Other: b6 Unit Chief Signature: b7C Privacy and Civil Liberties Unit Privacy and Civil Liberties Officer

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#### I. INFORMATION ABOUT THE SYSTEM / PROJECT

1. Provide a general description of the system or project that includes: (a) name of the system/project, including associated acronyms; (b) structure of the system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.

Name of the system/project, including associated acronyms:
Durmage of the greatern brue is at.
Purpose of the system/project:
Structure of the system/project, including interconnections with other projects or
systems:
Nature of the information in the system and how it will be used:
Who will have access to the information in the system?

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Manner of transmission to all users:
2. Does the system/project collect, maintain, or disseminate any information about individuals (i.e., a human being or natural person, regardless of nationality)?
NO [If no, STOP. The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval. Unless you are otherwise advised, no PIA is required.]
XYES [If yes, please continue.]
3. Please indicate if any of the following characteristics apply to the information in the system about individuals: Bear in mind that log-on information may identify or be linkable to an individual. (Check all that apply.)
X The information directly identifies specific individuals.
X The information is intended to be used, in conjunction with other data elements, to indirectly identify specific individuals.
X The information can be used to distinguish or trace an individual's identity (i.e., it is linked or linkable to specific individuals).
If you marked any of the above, proceed to Question 4.
None of the above. If none of the above, describe why the information does not identify specific individuals either directly or indirectly. [If you checked this item, STOP here after providing the requested description.]
4. Does the system/project pertain only to government employees, contractors, or consultants?
X NO YES
5. Is information about United States citizens or lawfully admitted permanent resident aliens retrieved from the system/project by name or other personal identifier?
NO. [If no, skip to question 7.]

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X_ YES. [If yes, proceed to the next question.]
6. Does the system/project collect any information directly from the person who is the subject of the information?
X_NO [If no, proceed to question 7.]
YES
a. Does the system/project support criminal, CT, or FCI investigations or assessments?
NO
YES [If yes, proceed to question 7.]
b. Are subjects of information from whom the information is directly collected provided a written Privacy Act (e)(3) statement (either on the collection form or via a separate notice)?
NO [The program will need to work with PCLU to develop/implement the necessary form(s).]
YES Identify any forms, paper or electronic, used to request such information from the information subject:
7. Are Social Security Numbers (SSNs) collected, maintained or disseminated from the system/project? Full SSNs should only be used as identifiers in limited instances.
NOX_ YES If yes, check all that apply:
SSNs are necessary to establish/confirm the identity of subjects, victims, witnesses or sources in this law enforcement or intelligence activity.
SSNs are necessary to identify FBI personnel in this internal administrative system.
SSNs are important for other reasons. <b>Describe:</b>
X The system/project provides special protection to SSNs (e.g., SSNs are encrypted, hidden from all users via a look-up table, or only available to certain

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It is no SSNs. <b>Expla</b> i	ot feasible for the system/project to provide special protection to in:
Is the system	operated by a contractor?
XNo.	
imposed as a r	tains or disseminates PII and additional requirements may be matter of Department of Justice policy. Consultations with the
system for the Has the system Security Divis	m undergone Certification & Accreditation (C&A) by the FBI sion (SecD)?
system for the	FBI.  n undergone Certification & Accreditation (C&A) by the FBI
system for the Has the systen Security Divis	FBI.  m undergone Certification & Accreditation (C&A) by the FBI sion (SecD)?  If no, indicate reason; if C&A is pending, provide anticipated
system for the Has the syster Security DivisX NO	in undergone Certification & Accreditation (C&A) by the FBI sion (SecD)?  If no, indicate reason; if C&A is pending, provide anticipated completion date
system for the Has the syster Security DivisX NO	in undergone Certification & Accreditation (C&A) by the FBI sion (SecD)?  If no, indicate reason; if C&A is pending, provide anticipated completion date  If yes, please indicate the following, if known:
system for the Has the syster Security DivisX NO	in undergone Certification & Accreditation (C&A) by the FBI sion (SecD)?  If no, indicate reason; if C&A is pending, provide anticipated completion date  If yes, please indicate the following, if known:  Provide date of last C&A certification/re-certification:

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10.	Does the system conduct data mining as defined in Section 804 of the Implementing Recommendations of the 9/11 Commission Act of 2007, P.L. 110-53 (codified at 42 USC 2000ee-3)?X_ NO
	YES If yes, please describe the data mining function:
11.	Is this a national security system (as determined by the SecD)?
	NOX YES
12.	Status of System/ Project:
	X_This is a new system/ project in development. [If you checked this block, STOP. The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval and determination if PIA and/or other actions are required.]
II.	EXISTING SYSTEMS / PROJECTS
1. V	When was the system/project developed?
2. F	Has the system/project undergone any significant changes since April 17, 2003?
	NO [If no, proceed to next question (II.3).]
	YES If yes, indicate which of the following changes were involved (mark all changes that apply, and provide brief explanation for each marked change):
	A conversion from paper-based records to an electronic system.
	A change from information in a format that is anonymous or non-identifiable to a format that is identifiable to particular individuals.
	A new use of an IT system/project, including application of a new technology, that changes how information in identifiable form is managed. (For example, a change that would create a more open environment and/or avenue for exposure of data that previously did not exist.)
	A change that results in information in identifiable form being merged, centralized, or matched with other databases.

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informa	A new method of authenticating the use of and access to ation in identifiable form by members of the public.
identifi	A systematic incorporation of databases of information in able form purchased or obtained from commercial or public sources.
new use	A new interagency use or shared agency function that results in es or exchanges of information in identifiable form.
identifi	A change that results in a new use or disclosure of information in able form.
form be	A change that results in new items of information in identifiable eing added into the system/project.
	Changes do not involve a change in the type of records maintained, viduals on whom records are maintained, or the use or nation of information from the system/project.
	Other [Provide brief explanation]:
3. Does a PIA fo	r this system/project already exist?
NO	YES
If yes:	
a. <b>Provide da</b>	te/title of the PIA:
b. Has the sys	tem/project undergone any significant changes since the PIA?
NOYES	
	nplete and after division approval(s) should be submitted to final FBI approval and determination if PIA and/or other .]

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## UNCLASSIFIED // FOR OFFICIAL USE ONLY FBI PRIVACY THRESHOLD ANALYSIS (PTA)

NAME OF SYSTEM / PROJECT: Terrorist Screening Center Network (TSCNET)

BIKR FBI Unique Asset ID: Sys-0000183

Derived From: Classified By: Ressau: Declassify On:	SYSTEM/PROJECT POOR Name: Program Office: TSC IT Division: TSDC Phone: Room Number: 3176	PM OGC/PCLUPOC Name: Phone: Room Number: 3346
FBI DIVISION INTER	MEDIATE APPROVALS   complete as neces Program Manager (or other appropriate executive as Division determines)	sary consonant with Division policy) Division Privacy Officer
Program Division: TSC IT	Signature  Date signed / 4/8//2 / Name: Title: Vrogram Manager /)	Signature: Date signed: ь6 Name: ь70 Title:
FBIHQ Division; TSC IT	Signature  Date signed: 4/4//2/  Name: Title: II Branch Chief	Signature:  Date signed: //////  Name:  Title: TSC Privacy Officer

FBI OGC/PCLU POC

After all division approvals, forward signed hard copy plus electronic copy to FBI OGC/PCLU (JEH 7350). (The FBI Privacy and Civil Liberties Officer's determinations, conditions, and/or final approval will be recorded on the following page.)

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FINAL FBI APPROVAL / DETERMINATIONS / CONDITIONS:
PIA is respuired by the E-Government Act.
PIA is to be completed as a matter of FBI/DOI discretion.
Is PIA to be published on FBLGOV (after any RMD FOIA redactions)? Yes No (indicate reason):
X_PIA is not required for the following reason(s): System does not collect, maintain, or disseminate PII. System is grandfathered (in existence before 4/17/2003; no later changes posing significant privacy risks).  Information in the system relates to internal government operations.  System has been previously assessed under an evaluation similar to a PIA.  No significant privacy issues (or privacy issues are unchanged).  X Other (describe): TSCNet is a Windows network environment designed to host and provide connectivity to other systems; significant applications will be described in subsequent privacy documentation on an as-needed basis.
Applicable SORN(s): N/A
Notify FBI RMD/RIDS per MIOG 190.2.37 _X_NoYesSec sample EC_on PCLU intranet website here: http://home/DO/OGC/LTB/PCLU/PrivacyCivil%20Liberties%20Library/form_for_miog190-2-3_ec.wpd SORN/SORN revision(s) required? _X_NoYes (indicate revisions needed):
Prepare/revise/add Privacy Act (e)(3) statements for related forms? X_No Yes (indicate forms affected):
RECORDS. The program should consult with RMD to identify/resolve any Federal records/electronic records issues. The system may contain Federal records whether or not it contains Privacy Act requests and, in any event, a records schedule approved by the National Archives and Records Administration is necessary. RMD can provide advice on this as well as on compliance with requirements for Electronic Records equing Certification and any necessary updates.
Other:
James J. Landon, Deputy General Counsel Signature:

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#### I. INFORMATION ABOUT THE SYSTEM / PROJECT

1. Provide a general description of the system or project that includes: (a) name of the system/project, including associated acronyms; (b) structure of the system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.

environment designed provides the Terrorist that personnel can x	to host and provide Screening Center (TS ise Federal Bureau the Terrorist Screen	connectivity to of iC) with access to of Investigation (	ssified windows network her systems. TSCNET unclassified networks so FBI) mission essential BB: TSCNET provides
TSCNet access is rest	ricted to cleared FBL	TSC personnel. T	SCNET does not allow

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# UNCLASSIFIED // FOR OFFICIAL USE ONLY Does the system/project collect, maintain, or disseminate any information about individuals (i.e., a human being or natural person, regardless of nationality)? X NO [If no, STOP, The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval. Unless you are otherwise advised, no PIA is required.) \*TSCNet collects audit log and general logon information. Other types of PH collected, maintained, or disseminated by TSCNet will be described in the privacy documentation associated with the specific application that performs such function. YES [If yes, please continue.] 3. Please indicate if any of the following characteristics apply to the information in the

system about individuals: Bear in mind that log-on information may identify or be linkable to an individual.

(Check all that apply.)

\_\_\_\_\_ The information directly identifies specific individuals.

The information is intended to be used, in conjunction with other data elements, to indirectly identify specific individuals.

\_\_\_\_The information can be used to distinguish or trace an individual's identity (i.e., it is linked or linkable to specific individuals).

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\*TSCNet collects audit log and general logon information. Other types of PII collected, maintained, or disseminated by TSCNet will be described in the privacy documentation associated with the specific application that performs such function.

If you marked any of the above, proceed to Question 4.
None of the above. If none of the above, describe why the information does not identify specific individuals either directly or indirectly. [If you checked this item, STOP here after providing the requested description.]
4. Does the system/project pertain only to government employees, contractors, or consultants?
X
S. Is information about United States citizens or lawfully admitted permanent resident aliens retrieved from the system/project by name or other personal identifier?
YES. [If yes, proceed to the next question.]
6. Does the system/project collect any information directly from the person who is the subject of the information?
NO [ <b>If no, proceed to question 7.]</b> Don't we get the log-on info from the user?
Y#.\$
a. Does the system/project support criminal, CT, or FCI investigations or assessments?
YES [If yes, proceed to question 7.]
b. Are subjects of information from whom the information is directly collected provided a written Privacy Act (e)(3) statement (either on the collection form or via a separate notice)?
NO [The program will need to work with PCLU to develop/implement the necessary form(s).]

	YES Identify any forms, paper or electronic, used to request such information from the information subject:
	Are Social Security Numbers (SSNs) collected, maintained or disseminated from the em/project? Full SSNs should only be used as identifiers in limited instances.
	NO YES If yes, check all that apply:
	SSNs are necessary to establish/confirm the identity of subjects, victims, witnesses or sources in this law enforcement or intelligence activity.
	SSNs are necessary to identify FBI personnel in this internal administrations system.
	SSNs are important for other reasons. Describe:
	The system/project provides special protection to SSNs (e.g., SSNs are encrypted, hidden from all users via a look-up table, or only available to certain users). <b>Describe</b> :
	It is not feasible for the system/project to provide special protection to SSNs. Explain:
8.	Is the system operated by a contractor?
	Yes. Information systems operated by contractors for the FBI may be considered Privacy Act systems of records. The Federal Acquisition Regulation contains standard contract clauses that must be included in the event the system collects, maintains or disseminates PII and additional requirements may be imposed as a matter of Department of Justice policy. Consultations with the Office of the General Counsel may be required if a contractor is operating the system for the FBI.

9.	Has the system undergone Certification & Accreditation (C&A) by the FBI Security Division (SecD)?
	NO If no, indicate reason; if C&A is pending, provide anticipated completion date:
	YES If yes, please indicate the following, if known:
	Provide date of last C&A certification/re-certification: March 02, 2010
	Confidentiality: Low Moderate High _Undefined
	Integrity:LowModerateHighUndefined
	Availability:LowModerateHighUndefined
	Not applicable – this system is only paper-based.
10.	Is this system/project the subject of an OMB-300 budget submission?
	YES If yes, please provide the date and name or title of the OMB submission:
	Does the system conduct data mining as defined in Section 804 of the Implementing Recommendations of the 9/11 Commission Act of 2007, P.L. 110-53 (codified at 42 USC 2000ce-3)? NO
	YES If yes, please describe the data mining function:
12.	Is this a national security system (as determined by the SecD)?
13.	Status of System/ Project:
	This is a new system/ project in development. (If you checked this block STOP. The FTA is now complete and after division approval(s) should be

submitted to FBI OGC/PCLU for final FBI approval and determination if FIA and/or other actions are required.]

## II. EXISTING SYSTEMS / PROJECTS

I. When was t	ne system/project developed? April 2005
2. Has the syst	em/project undergone any significant changes since April 17, 2003?
X NO [If	no, proceed to next question (II.3).)
************	yes, indicate which of the following changes were involved (mark all that apply, and provide brief explanation for each marked change):
	A conversion from paper-based records to an electronic system.
	A change from information in a format that is anonymous or non- dentifiable to a format that is identifiable to particular individuals.
	A new use of an IT system/project, including application of a new echnology, that changes how information in identifiable form is managed. For example, a change that would create a more open environment and/or venue for exposure of data that previously did not exist.)
	A change that results in information in identifiable form being nerged, centralized, or matched with other databases.
	A new method of authenticating the use of and access to aformation in identifiable form by members of the public.
	A systematic incorporation of databases of information in lentifiable form purchased or obtained from commercial or public sources
3 · · · · · · · · · · · · · · · · · · ·	A new interagency use or shared agency function that results in ew uses or exchanges of information in identifiable form.
	A change that results in a new use or disclosure of information in lentifiable form.
	A change that results in new items of information in identifiable orm being added into the system/project.

	Othy	r [Provide br	ief ernlanat	iemi,		
e se <sup>r</sup> eco a Tabasa	XX		m capana	*******		
Does a	PIA for this	system/projec	a already exi	st?		
X	NO	YES				
<b>\$</b>						
Bear	:.886/#[#]					
a. a 8491	\$44X (\$40\$X) (\$44)	N W 1886 1 178.				
h Hax	he system/n	miect undervi	me anv sioni	ficant char	wes since t	he PIA
					.6.4.0. n.v.a.a. s	
10	YES					
		le of the PIA: roject undergo		ficant char	iges since (	he P

DECLASSIFY ON: 10-27-2039 DATE: 10-27-2014



ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

(OGC/PCLU (Rev. 05/15/09)

# FBI PRIVACY THRESHOLD ANALYSIS (PTA)

(Equivalent to the DOJ Initial Privacy As	550551110111 (11 /	(1))	
ROJECT:			b7E
ID:			
Name: Program Office: Technical M Services Unit (TMSU) Division: Operational Techn Division (OTD) Phone:	Management ology	FBI OGC/PCLU POC Name: Phone Room Number: 7350 JEH	ъ6 ъ7С
			1
	Division i	iivacy Officei	
Signature: Date signed: Name: Title: Unit Chief, Technical Management	Date signe Name: Pat Title: Sect	ed: trick N. DeVall	ь6 ь7с
Signature: Date signed: Name: Title:			
Liberties Officer's determinations, conditions, FBI OGC/PCLU will distribute as follows:	and/or final a	approval will be recorded on the	
Privacy and Civil Liberties (via e-mail to v) via hand delivery to 1331 Penn. Ave. NW, PP (JEH 9376, attn: elec. copy: via e-mail to UC attn:		le(s)):  1 - OGC\PCLU intranet 1 - PCLU UC 1 - PCLU Library 1 - PCLU Tickler	ь6 ь7с
	SYSTEM/PROJECT POC Name: Program Office: Technical M Services Unit (TMSU) Division: Operational Techn Division (OTD) Phone Room Number: ERF, B-22  IEDIATE APPROVALS [complete as necess Program Manager (or other appropriate executive as Division determines)  Signature: Date signed: Name: Title: Unit Chief, Technical Management Services Unit (TMSU)  Signature: Date signed: Name: Title:  als, forward signed hard copy plus electronic I Liberties Officer's determinations, conditions, FBI OGC/PCLU will distribute as follows: of file 190-HQ-C1321794 (fwd to JEH 1B2) lease print/reproduce as needed for Program Privacy and Civil Liberties (via e-mail to 7)	SYSTEM/PROJECT POC Name: Program Office: Technical Management Services Unit (TMSU) Division: Operational Technology Division (OTD) Phone Room Number: ERF, B-221  IEDIATE APPROVALS [complete as necessary consona Program Manager (or other appropriate executive as Division determines) Signature: Date signed: Name: Title: Unit Chief, Technical Management Services Unit (TMSU) Signature: Date signed: Name: Title: Date signed: Name: Title: Date signed: Name: Title: Title:  Signature: Date signed: Name: Title: Date signed: Name: Title:  Signature: Date signed: Name: Title: Date signed: Name: Title:  Date signed: Name: Title: Date signed: Name	SVSTEM/PROJECT POC Name: Program Office: Technical Management Services Unit (TMSU) Division: Operational Technology Division (OTD) Phone Room Number: ERF, B-221  IEDIATE APPROVALS [complete as necessary consonant with Division policy] Program Manager (or other appropriate executive as Division determines) Signature: Date signed: Name:



# FINAL FBI APPROVAL / DETERMINATIONS / CONDITIONS:

PIA is required by the E-Government Act.	
PIA is to be completed as a matter of FBI/D	OOJ discretion.
Is PIA to be published on FBI.GOV (after any RN	MD FOIA redactions)?YesNo (indicate reason):
<ul> <li>x_PIA is not required for the following reason(s)</li> <li>System does not collect, maintain, or d</li> <li>System is grandfathered (in existence begin in the system relates to into the system has been previously assessed until a system has been previously as a system has been pre</li></ul>	lisseminate PII. before 4/17/2003; no later changes posing significant privacy risks). ternal government operations. under an evaluation similar to a PIA.
Applicable SORN(s): N/A	
Notify FBI RMD/RIDS per MIOG 190.2.3? <u>x</u> http://home/DO/OGC/LTB/PCLU/PrivacyCivil%  SORN/SORN revision(s) required?No	NoYesSee sample EC on PCLU intranet website here: 620Liberties%20Library/form_for_miog190-2-3_ec.wpdYes (indicate revisions needed):
Prepare/revise/add Privacy Act (e)(3) statements f	for related forms?NoYes (indicate forms affected):
The system may contain Federal records whether or schedule approved by the National Archives and Re	D to identify/resolve any Federal records/electronic records issues. In not it contains Privacy Act requests and, in any event, a records ecords Administration is necessary. RMD can provide advice on this etronic Recordkeeping Certification and any necessary updates.
Other:	
David C. Larson, Deputy General Counsel FBI Privacy and Civil Liberties Officer	Signature: Date Signed: /s/ 7/19/2010





# I. INFORMATION ABOUT THE SYSTEM / PROJECT

is composed of the FBI, and ecurity agencies	b7E
The Operational Technology Division OTD) is the FBI host and sponsor of this network.	
	b7E
A. Type of FBI employee/contractor information stored includes:  a. The full name of the FBI participants, both employee and contractors  b. Internet Protocol (IP) address of the workstation they are logging into;	
B. Purpose for collecting the information and how it will be used:  a. For security auditing purposes only	<b>b</b> 1
	b3 b7E
	b7E



2. Does the system/project collect, maintain, or disseminate any information about individuals in identifiable form, i.e., is information linked to or linkable to specific individuals (which are the definition of personally identifiable information (PII))?
NO [If no, STOP. The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval. Unless you are otherwise advised, no PIA is required.]
XYES [If yes, please continue.]
3. Does the system/project pertain only to government employees, contractors, or consultants?
XNOYES
4. Is information about United States citizens or lawfully admitted permanent resident aliens retrieved from the system/project by name or other personal identifier?
_X_NOYES
5. Are Social Security Numbers (SSNs) collected, maintained or disseminated from the system/project?
_X_NO YES If yes, check all that apply:
SSNs are necessary to establish/confirm the identity of subjects, victims, witnesses or sources in this law enforcement or intelligence activity.





SSNs are necessary to identify FBI personnel in this internal administrative
system.
SSNs are important for other reasons. <b>Describe:</b>
The system/project provides special protection to SSNs (e.g., SSNs are encrypted, hidden from all users via a look-up table, or only available to certain users). <b>Describe:</b>
It is not feasible for the system/project to provide special protection to SSNs. <b>Explain:</b>
6. Does the system/project collect any information directly from the person who is the subject of the information?
NO [If no, proceed to question 7
X YES, but only log-on and password information
a. Does the system/project support criminal, CT, or FCI investigations or assessments? It provides a means of sharing technical information that might lead to possible improvements in current collection system designs.
<u>X</u> YES [If yes, proceed to question 7.]
NO
b. Are subjects of information from whom the information is directly collected provided a written Privacy Act (e)(3) statement (either on the collection form or via a separate notice)?
NO [The program will need to work with PCLU to develop/implement the necessary form(s).]
YES Identify any forms, paper or electronic, used to request such information from the information subject:
7. Has the system undergone Certification & Accreditation (C&A) by the FBI Security Division (SecD)?
NO If no, indicate reason; if C&A is pending, provide anticipated completion date:





	X YES	If yes, provid 04/09/2008	e date of last	C&A certification/re-certification:
	Don't	Know.		
8.	Is this system/p	roject the subject	ct of an OMB	-300 budget submission?
	_X_ NO		_Don't know	YES If yes, please provide the date and name or title of the OMB submission:
9.	Is this a national	security system	n (as determin	ned by the SecD)?
	<u>X</u> NO		_YES	Don't know
10	. Status of Syste	m/ Project:		
	STOP. The submitted to	PTA is now co	mplete and a LU for final	evelopment. (If you checked this block fter division approval(s) should be FBI approval and determination if
П.	EXISTING SY	STEMS / PRO	JECTS	
1.	When was the sy	stem/project de	veloped? 200	)1
2.	Has the system/p	roject undergon	ne any signific	cant changes since April 17, 2003?
	NO [If no, p	proceed to next	question (II.3)	).]
	X_YES If yes, i		of the following	ng changes were involved (mark all
		A conversion	from paper-l	pased records to an electronic system.
	identi			n in a format that is anonymous or non-tifiable to particular individuals.
	(For e	ology, that chan example, a chan	ges how inforge that would	n/project, including application of a new rmation in identifiable form is managed. create a more open environment and/or reviously did not exist.)





[The PTA is now complete and after division approval(s) should be submitted to FBI OGC/PCLU for final FBI approval and determination if PIA and/or other actions are required.]	
X NO YES No change that would impact privacy.	
b. Has the system/project undergone any significant changes since the PIA?	
a. Provide date/title of the PIA:	
If yes:	
YES Previous	
X NO PTA 5/16/2007	b7E
3. Does a PIA for this system/project already exist?	
X Other [Provide brief explanation]:	b7E
Changes do not involve a change in the type of records maintained, the individuals on whom records are maintained, or the use or dissemination of information from the system/project.	
A change that results in new items of information in identifiable form being added into the system/project.	
A change that results in a new use or disclosure of information in identifiable form.	
A new interagency use or shared agency function that results in new uses or exchanges of information in identifiable form.	
A systematic incorporation of databases of information in identifiable form purchased or obtained from commercial or public sources.	
A new method of authenticating the use of and access to information in identifiable form by members of the public.	
A change that results in information in identifiable form being merged, centralized, or matched with other databases.	

Derived From:

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(OGC PCLU (Rev. 04/01/2011)

FBI OGCPCLUPOC

# FBI PRIVACY THRESHOLD ANALYSIS (PTA)

SYSTEM PROJECT POC

NAME OF SYSTEM / PROJECT: Uniform Crime Reporting Redevelopment Project (UCRRP)

BIKR FBI Unique Asset ID: 2009-056-01-P-115-046-3367

eclassify On:	Name Program Office: UCRRP Division: CJS Division, Info Technology Management So (ITMS) Phone Room Number: Module B-	ction	Name Phone Room Number
BEDIVISION INTE	WEDIATE APPROVALS Program Manager (or other appropriate	Division	Privacy Officer
executive as Division determines)			
rogram Division	Signature	Signature	
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		Name	
		1.7386-7.7	A Division Privacy Officer
NAI ENIAPPONY	Title: UCR Program Manager/Chief, Crime Statistics Management Unit (CSMU)		IS Division Privacy Officer – ry IT Specialist
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Applicable SORN(s):Central Re	cords System	
	2.37 _X_NoYes—See sample EC_on FacyCivil%20Liberties%20Library/form_for_mic	
SORN/SORN revision(s) required? _X	NoYes	
Prepare/revise/add Privacy Act (e)(3) sta	tements for related forms? X_NoY	es :
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he system may contain Federal records w hedule approved by the National Archive	with RMD to identify/resolve any Federal recor hether or not it contains Privacy Act requests an es and Records Administration is necessary. RN	d, in any event, a records ID can provide advice on this
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#### I. INFORMATION ABOUT THE SYSTEM / PROJECT

1. Provide a general description of the system or project that includes: (a) name of the system/project, including associated acronyms; (b) structure of the system/project, including interconnections with other projects or systems; (c) purpose of the system/project; (d) nature of the information in the system/project and how it will be used; (e) who will have access to the information in the system/project; (f) and the manner of transmission to all users.

The Federal Bureau of Investigation (FBI) Criminal Justice Information Services (CJIS) Division Uniform Crime Reporting (UCR) Program is a collective effort on the part of federal, state, local, and tribal law enforcement agencies to present a nationwide view of crime. Law Enforcement Agencies (LEAs) throughout the country participating in the FBI UCR Program provide crime statistics related to offenses known to law enforcement and reports on persons arrested. These offenses are defined according to criteria established by the FBI's UCR Program, Congressional mandates and through coordination with the law enforcement community via the CJIS Advisory Policy Board (APB). The data is currently compiled/published on a semi-annual and annual basis. Agencies also provide Hate Crime statistical data and aggregate Law Enforcement Officers Killed and Assaulted (LEOKA) information, and the number of full-time law enforcement employees. All statistical data submitted to the UCR Program is provided without Personally Identifiable Information (PII).

In addition to the aggregate LEOKA data mentioned above, there is separate, detailed LEOKA data that is collected by the UCR Program via paper-based forms that contain PII. The New UCR system will store both the aggregate statistical LEOKA data and the additional detailed LEOKA data. The additional detailed LEOKA data contains information about the victim officer that was assaulted or killed during the incident being reported. This data includes the officer's name, rank, total law enforcement experience, date of birth, gender, race, height, weight, and circumstances surrounding the incident.

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The intent of the FBI UCR Redevelopment Project (UCRRP) effort is to meet user need by:

- Creating a centralized, searchable data repository for internal FBI stakeholders. Note: Only designated LEOKA staff will be given access to the LEOKA PII data. The PII data is collected and stored for research purposes only and is not included in the resulting research product publications or training. The NARA has agreed to allow the LEOKA system PII data to be stored indefinitely for research purposes.
- Establishing UCR interoperability between the FBI and law enforcement data contributors via the Law Enforcement Enterprise Portal (LEEP). The user access information that is exchanged between LEEP and UCR to ensure system access privileges within UCR includes the following data elements: user name, user id, user group(s), e-mail address, phone number, password.

- Provide a web-based interface for State Programs and direct contributing LEAs to submit data via the LEEP
- Provide a web-based interface for State Programs to manage their data via the LEEP
- Creating an external web-based portal for statistical data for public use.
   Note: there will be no access to the LEOKA PII data via this portal.

For the purposes of this document, the resulting system from the UCRRP will be referred to as the New UCR System.

e New UCR System through a variety of means: wel	Data will be submitted b services, email, and
ianual data entry,	

The New UCR system will also maintain an externally viewable database with crime statistics data cleared for public release. This publicly accessible, external database called the UCR Crime Data Explorer (UCR CDE), will not contain LEOKA Program PII data.

2. Does the system/project collect, maintain, or disseminate any information about individuals (i.e., a human being or natural person, regardless of nationality)?

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# UNCLASSIFIED ..... NO X YES[If yes, please continue.] The New UCR system will store the following LEOKA PII data, but this data will not be able to be pushed to UCR CDE and will only be accessible by internal FBI LEOKA staff: Victim Officer's Name Victim Officer's Date of Birth \* Narrative of Incident (May contain PII if entered by the submitting LEA in the Narrative) 3. Please indicate if any of the following characteristics apply to the information in the system about individuals. Bear in mind that log-on information may identify or be linkable to an individual. (Check all that apply.) X The information directly identifies specific individuals. The information is intended to be used, in conjunction with other data elements, to indirectly identify specific individuals. $\underline{X}$ . The information can be used to distinguish or trace an individual's identity (i.e., it is linked or linkable to specific individuals).

### If you marked any of the above, proceed to Question 4.

None of the above. If none of the above, describe why the information does not identify specific individuals either directly or indirectly.

4. Does the system/project pertain only to government employees, contractors, or consultants?

X NO YE

5. Is information about United States citizens or lawfully admitted permanent resident aliens retrieved from the system/project by name or other personal identifier?

\_\_\_\_NO. [If no, skip to question 7.]

X YES. [If yes, proceed to the next question.]

6. Does the system/project collect any information directly from the person who is the

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subject of the information?
X_NO [If no, proceed to question 7.]
YES
a. Does the system/project support criminal, CT, or FCI investigations or assessments?
NO
YES [If yes, proceed to question 7.]
b. Are subjects of information from whom the information is directly collected provided a written Privacy Act (e)(3) statement (either on the collection form or via a separate notice)?
NO [The program will need to work with PCLU to develop/implement the necessary form(s).]
YES Identify any forms, paper or electronic, used to request such information from the information subject:
7. Are Social Security Numbers (SSNs) collected, maintained or disseminated from the system/project? Full SSNs should only be used as identifiers in limited instances.
_X_NO YES If yes, check all that apply:
SSNs are necessary to establish/confirm the identity of subjects, victims, witnesses or sources in this law enforcement or intelligence activity.
SSNs are necessary to identify FBI personnel in this internal administrative system.
SSNs are important for other reasons. Describe:
The system/project provides special protection to SSNs (e.g., SSNs are encrypted, hidden from all users via a look-up table, or only available to certain users). <b>Describe</b> :
It is not feasible for the system/project to provide special protection to SSNs. <b>Explain:</b>
8. Is the system operated by a contractor?

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		n undergone Certi iton (SecD)?	fication &	& Accreditatio	m (C&A	) by the FBI
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