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16 **UNITED STATES DISTRICT COURT**  
17 **NORTHERN DISTRICT OF CALIFORNIA**  
18 **SAN FRANCISCO DIVISION**

19  
20 **IN RE GOOGLE LLC STREET VIEW**  
21 **ELECTRONIC COMMUNICATIONS**  
22 **LITIGATION**

Case No. 3:10-md-02184-CRB

**CLASS ACTION**

**PLAINTIFFS' NOTICE OF MOTION;**  
**MOTION FOR PRELIMINARY**  
**APPROVAL OF CLASS ACTION**  
**SETTLEMENT; AND MEMORANDUM**  
**OF POINTS AND AUTHORITIES**

23  
24  
25  
26 Date: September 6, 2019  
Time: 10:00 a.m.  
Courtroom: 6  
27 Judge: The Hon. Charles R. Breyer

**NOTICE OF MOTION**

**TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:**

**PLEASE TAKE NOTICE** that Plaintiffs, Dean Bastilla, Rich Benitti, Matthew Berlage, David Binkley, James Blackwell, Stephanie and Russell Carter, Jeffrey Colman, Bertha Davis, James Fairbanks, Wesley Hartline, Benjamin Joffe, Patrick Keyes, Aaron Linsky, Lilla Marigza, Eric Myhre, John Redstone, Danielle Reyas, Karl Schulz, Jason Taylor, and Vicki Van Valin (collectively, “Plaintiffs”),<sup>1</sup> will move the Court for an order, pursuant to Federal Rule of Civil Procedure (“Rule”) 23(e), granting preliminary approval of the proposed class action Settlement Agreement<sup>2</sup> entered into by Plaintiffs and Google LLC (“Google”) (collectively, “Parties”), on Friday September 6, 2019 at 10:00 a.m., or at such other time as may be set by the Court, at 450 Golden Gate Avenue, Courtroom 6, San Francisco, CA 94102, before The Honorable Charles R. Breyer, United States District Judge for the Northern District of California, consistent with the following:

- (a) Granting preliminary approval of the proposed Settlement Agreement entered into between the Parties;
- (b) Determining that the Court, at the final approval stage, will likely certify the Settlement Class as defined in the Settlement Agreement;
- (c) Appointing Plaintiffs as Class Representatives of the proposed Class;
- (d) Appointing the law firms Spector Roseman & Kodroff, P.C. (“SRK”), Cohen Milstein Sellers & Toll, PLLC (“CMST”), and Lief Cabraser Heimann & Bernstein LLP (“LCHB”) as Class Counsel for the proposed Class;
- (e) Approving the Parties’ proposed Notice Program outlined herein, including the proposed “Notice of Class Action Settlement” Long Form (“Long Form”), and directing that notice be disseminated pursuant to the Notice Program;<sup>3</sup>
- (f) Appointing A.B. Data as Notice Administrator, and directing A.B. Data to carry out the duties and responsibilities of the Class Administrator specified in the Settlement Agreement;

<sup>1</sup> Named Plaintiff Jennifer Locsin does not move to serve as Class Representative as Class Counsel, after several attempts, has been unable to contact her or her attorney.

<sup>2</sup> See Settlement Agreement of June 11, 2018, attached as Exhibit A to the Declaration of Jeffrey L. Kodroff (“Kodroff Decl.”) filed herewith.

<sup>3</sup> See Declaration of Linda V. Young, Vice President, Media with A.B. Data, Ltd. (“A.B. Data”), attached as Exhibit J to the Kodroff Decl.; Notice Program attached as Kodroff Decl., Exhibit J-1 and Long Form attached as Kodroff Decl., Exhibit J-5.

- 1 (g) Staying all non-Settlement related proceedings in the above-captioned case  
2 pending final approval of the Settlement Agreement; and  
3 (h) Setting a Fairness Hearing and certain other dates in connection with the final  
4 approval of the Settlement Agreement.

5 This Motion is based on this Notice of Motion and Motion, the accompanying  
6 Memorandum of Points and Authorities, the Settlement Agreement, the Declaration of Jeffrey L.  
7 Kodroff with supporting exhibits, the Declaration of Linda V. Young of A.B. Data attached  
8 thereto with supporting exhibits, the argument of counsel, all papers and records on file in this  
9 matter, and such other matters as the Court may consider.

10 Dated: July 19, 2019

Respectfully submitted,

11 By:                   /s/ Jeffrey L. Kodroff                    
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**MEMORANDUM OF POINTS AND AUTHORITIES**

**I. INTRODUCTION**

This proposed nationwide class action settlement resolves a claim against Google for damages and declaratory and injunctive relief under Title III of the Omnibus Crime Control and Safe Streets Act of 1968 (the “Wiretap Act”), as amended by the Electronic Communications Privacy Act of 1986 (“ECPA”), 18 U.S.C. §§ 2510, *et seq.* Plaintiffs allege<sup>4</sup> that their privacy was violated, as well as that of the proposed Class Members, when Google engineers created software specifically designed to intercept, decode, and analyze all types of payload<sup>5</sup> data contained in electronic communications traveling over unencrypted wireless internet connections (“Wi-Fi connections”), embedded the software onto Google Street View vehicles, and then used the software to intentionally intercept Plaintiffs’ and proposed Class Members’ electronic communications from January 1, 2007 through May 15, 2010. *See* CCAC, D. 54, ¶¶ 1-4; Kodroff Decl., Exhibit A, ¶ 2. Google then compiled the private payload data<sup>6</sup> from the Street View vehicles and stored it on its servers.

The Settlement Agreement was achieved after nearly a decade of litigation, including a contested motion to dismiss, its appeal to the Ninth Circuit, which affirmed Plaintiffs’ properly pled claim under the Wiretap Act, substantial jurisdictional discovery on the issue of standing, over five months of arm’s-length negotiations, and mediation. It seeks to restore and strengthen the privacy of Plaintiffs and the proposed Class Members’ electronic communications through *cy pres* awards and injunctive relief.

First, the Settlement Agreement calls for the establishment of a \$13 million settlement fund to be distributed, after the deduction of settlement administration expenses, litigation expenses, service awards, and attorneys’ fees, to court-approved *cy pres* recipients who are

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<sup>4</sup> For purposes of the this Motion for Preliminary Approval, references and discussion regarding Google’s conduct of intercepting Plaintiffs’ and Class Members’ electronic communications in violation of the Wiretap Act are all based on the allegations contained in the Consolidated Class Action Complaint (“CCAC.”).

<sup>5</sup> Payload data includes “personal emails, passwords, videos, audio, documents and Voice Over Internet Protocol (“VOIP”) information.” *See* CCAC, ECF Docket No. (“D.”) 54, ¶ 4.

<sup>6</sup> Plaintiffs allege that Google admitted to collecting 600 gigabytes of data in more than 30 countries. *See* CCAC, D. 54, ¶¶ 73, 75.

1 independent organizations with a track record of addressing consumer privacy concerns on the  
2 Internet and/or in connection with the transmission of information via wireless networks; as a  
3 condition of receiving the settlement funds, the *cy pres* recipients are required to use the funds to  
4 promote the protection of Internet privacy. The amount of the *cy pres* settlement is about 50  
5 percent larger than the range of similar class action settlements, including: *In re Google Buzz*  
6 *Privacy Litig.*, No. 10-672 (N.D. Cal. Sept. 3, 2010), D. 41 (\$8.5 million *cy pres* fund); *In re*  
7 *Netflix Privacy Litig.*, 11-379 (N.D. Cal. Mar. 18, 2013), D. 256 (\$9 million *cy pres* fund); and  
8 *Lane v. Facebook, Inc.*, 696 F.3d 811 (9th Cir. 2012) (\$9.5 million *cy pres* fund).

9 Second, the Settlement Agreement also provides for significant injunctive relief that  
10 extends for five years after Final Approval. Google would be required to 1) destroy all of the  
11 acquired payload data; 2) agree to not use Street View vehicles to collect and store payload data  
12 for use in any product or service, except with notice and consent; 3) comply with all aspects of  
13 the Privacy Program described in the relevant portions of the Assurance of Voluntary  
14 Compliance;<sup>7</sup> and 4) agree to host and maintain educational webpages that instruct users on the  
15 configuration of wireless security modes and the value of encrypting a wireless network,  
16 including a how-to video demonstrating how users can encrypt their networks and instructions on  
17 how to remove a wireless network from inclusion in Google's location services.

18 In light of the risks of continuing litigation—which may not yield any recovery for  
19 Plaintiffs and the proposed Class Members—the Settlement Agreement is deserving of  
20 preliminary approval because it provides the immediate benefits of substantial *cy pres* donations  
21 tailored to serve and promote the interests of Class Members, and injunctive relief. This is an  
22 excellent recovery for the proposed Class Members and is, therefore, fair, adequate and  
23 reasonable as described further herein.

24 Furthermore, Plaintiffs have devised a robust and far-reaching Notice Program to advise  
25 Class Members of this litigation and the Settlement Agreement. The proposed Internet and  
26 website media notice campaign will disclose to proposed Class Members their legal rights and

27 <sup>7</sup> The Assurance of Voluntary Compliance refers to the agreement entered into by Google and the  
28 Attorneys General of various states in March 2013 regarding Google's collection of Wi-Fi  
information with its Street View vehicles. *See* Kodroff Decl., Exhibit A, ¶ 4.

1 options, including their objection and exclusion rights. Plaintiffs propose that A.B. Data serve as  
 2 the Notice Administrator. A.B. Data is experienced in this line of work.<sup>8</sup> *See* Curriculum Vitae  
 3 of Linda V. Young and Profile of A.B. Data’s Background and Capabilities, attached as Kodroff  
 4 Decl., Exhibits J-2 and J-3, respectively.

## 5 **II. LITIGATION HISTORY**

### 6 **A. Procedural History**

7 Following consolidation of all related actions by the JPML in the Northern District of  
 8 California, on November 8, 2010, Plaintiffs filed the CCAC against Google for damages and  
 9 declaratory and injunctive relief under the Wiretap Act, various state wiretap statutes, and the  
 10 California Business and Professions Code §§17200, *et seq.* *See* CCAC, D. 54.

11 On June 29, 2011, the Court denied Google’s motion to dismiss Plaintiffs’ federal Wiretap  
 12 Act claims (while dismissing Plaintiffs’ state wiretap statute and California Business and  
 13 Professions Code §17200 claims), *see* D. 82, a decision that was subsequently affirmed by the  
 14 Ninth Circuit on December 27, 2013 (as amended). *See* D. 101 and *Joffe v. Google, Inc.*, 746  
 15 F.3d 920 (9th Cir. 2013), *cert. denied* 573 U.S. 947 (2014).

### 16 **B. Discovery**

17 On February 7, 2014, the Court authorized “limited discovery on the issue of standing” to  
 18 determine whether any Plaintiff’s communications were acquired by Google. D. 108. On  
 19 September 19, 2014, the Court decided to appoint a Special Master to take custody of the Google  
 20 Street View data and to oversee searches of the data. *See* D. 121. The Court subsequently  
 21 appointed Douglas Brush as the Special Master. After the conclusion of jurisdictional discovery,  
 22 the Special Master completed his report, which was filed with the Court on December 14, 2017.  
 23 *See* D. 139.

24 <sup>8</sup> Plaintiffs selected A.B. Data following a competitive bidding process, through which five  
 25 competing proposals were obtained. A.B. Data was ultimately selected based upon quality and  
 26 cost considerations. A.B. Data has quoted Class Counsel a flat fee of \$158,000 for providing  
 27 notice to the Class. *See* Kodroff Decl., ¶¶ 19-20. Over the past two years, SRK engaged A.B.  
 28 Data in *Vista Healthplan, Inc. v. Cephalon, Inc., et al.*, 2:06-cv-1833 – MSG (E.D. Pa.); CMST  
 engaged A.B. Data in *In re Harman International Industries, Inc. Securities Litigation*, 1:07-cv-  
 01757-RC (D.D.C.) and in *In re BP p.l.c. Securities Litig.*, 4:10-MD-02185 (S.D. Tex.); and  
 LCHB engaged A.B. Data in *Cipro Cases I and II (California)*, Nos. 4154 and 4220 (Cal. App. 4  
 Dist.). *See id.* at ¶ 21.

1           **C.     Settlement**

2           After the issuance of the Report of the Special Master, the Parties engaged in extensive  
3 arm’s length settlement negotiations, which spanned over 5 months and included a mediation  
4 session on February 1, 2018 before the respected and skilled mediator Greg Lindstrom of Phillips  
5 ADR Enterprises P.C. *See* Kodroff Decl., ¶ 14. The mediation resulted in the proposed  
6 Settlement Agreement, which was executed by the Parties on June 11, 2018. *Id.*

7           **III.   SUMMARY OF SETTLEMENT TERMS**

8           **A.     Class Definition**

9           The Settlement Agreement provides for a single Settlement Class, defined as follows:

10                   “Class” means all persons who used a wireless network device from  
11                   which Acquired Payload Data was obtained.

12                   “Acquired Payload Data” means the Payload Data acquired from  
13                   unencrypted wireless networks by Google’s Street View vehicles  
14                   operating in the United States from January 1, 2007 through May  
15                   15, 2010.

16           Kodroff Decl., Exhibit A, ¶¶ 2, 5.<sup>9</sup>

17           **B.     Settlement Fund Payments**

18           Google has agreed to pay \$13 million into a Settlement Fund—none of which will revert  
19 to Google absent termination or rescission—to be used for the payment of approved *cy pres*  
20 distributions, any approved attorneys’ fees, expense reimbursement, Plaintiff service awards,<sup>10</sup>  
21 dissemination of class notice, and the administrative costs of the Settlement. *See* Kodroff Decl.,  
22 Exhibit A, ¶¶ 16, 21, 24, 53.

23 <sup>9</sup> The CCAC defined the proposed litigation class as follows: “All persons in the United States  
24 whose electronic communications sent or received on wireless internet connections were  
25 intercepted by Defendant’s Google Street View vehicles from May 25, 2007 through the present.”  
26 The differences between the proposed litigation Class and Settlement Class reflect information  
27 learned through discovery in this action, including that the specific conduct challenged in the  
28 CCAC took place as early as January 1, 2007 and terminated no later than May 15, 2010, and that  
the “electronic communications” contemplated by the litigation Class definition contain Payload  
Data collected by the Street View Vehicles. *See* Kodroff Decl., ¶ 12.

<sup>10</sup> Plaintiffs propose that those Plaintiffs named in the CCAC, who participated in jurisdictional  
discovery, receive a service award of \$5,000 each. And those Plaintiffs named in the CCAC, who  
did not participate in jurisdictional discovery, receive a service award of \$500 each.

1           **C.     Injunctive Relief**

2           Google has agreed to injunctive relief to safeguard the privacy of Class Members with  
3 respect to both their previously-intercepted electronic communications, as well as their future  
4 electronic communications sent over Wi-Fi connections. Google has agreed (1) to “destroy all  
5 Acquired Payload Data, including disks containing such data, within forty-five (45) days of Final  
6 Approval, subject to any preservation obligations Google may have with respect to any Excluded  
7 Class Member” (see Kodroff Decl., Exhibit A, ¶ 33); (2) to “not collect and store for use in any  
8 product or service Payload Data via Street View vehicles, except with notice and consent.” (see  
9 Kodroff Decl., Exhibit A, ¶ 34); and (3) to “comply with all aspects of the Privacy Program  
10 described in paragraph 16 of Section I of the Assurance of Voluntary Compliance and with the  
11 prohibitive and affirmative conduct described in paragraphs 1-5 of the Assurance of Voluntary  
12 Compliance.” See Kodroff Decl., Exhibit A, ¶ 35.

13           Furthermore, Google has agreed, for five years after Final Approval, to “host and maintain  
14 educational webpages that instruct users on the configuration of wireless security modes and the  
15 value of encrypting a wireless network, including a how-to video demonstrating how users can  
16 encrypt their networks and instruction on how to remove a wireless network from inclusion in  
17 Google’s location services. Google agrees to use its best efforts to have the webpages operational  
18 by the time the class notice is first disseminated.” See Kodroff Decl., Exhibit A, ¶¶ 36-37.

19           **D.     Cy Pres**

20           After payment of settlement administration expenses, Court-approved attorneys’ fees,  
21 litigation expenses, Plaintiff service awards, and class notice, the net settlement fund will be  
22 distributed to the *cy pres* recipients recommended by the Plaintiffs and approved by the Court.  
23 See Kodroff Decl., Exhibit A, ¶¶ 11, 16, 29.

24           The Settlement Agreement requires that the “Proposed Cy Pres Recipient(s)...be  
25 independent organizations with a track record of addressing consumer privacy concerns on the  
26 Internet and/or in connection with the transmission of information via wireless networks, directly  
27 or through grants...[and] shall commit to use the funds to promote the protection of Internet  
28 privacy.” See Kodroff Decl., Exhibit A, ¶¶ 29-30.

1 Plaintiffs recommend the following entities as *cy pres* recipients: The Center on Privacy &  
 2 Technology at Georgetown Law, Center for Digital Democracy, Massachusetts Institute of  
 3 Technology - Internet Policy Research Initiative, World Privacy Forum, Public Knowledge, Rose  
 4 Foundation for Communities and the Environment, American Civil Liberties Union Foundation,  
 5 Inc., and Consumer Reports, Inc. Detailed proposals from each of these organizations are  
 6 attached as Kodroff Decl. Exhibits B through I, respectively.<sup>11</sup> The proposed *cy pres* awards  
 7 account for the nature of Plaintiffs' lawsuit, the objectives of the Wiretap Act, and the interests of  
 8 the silent Class Members. *See Lane*, 969 F.3d at 819-820, quoting *Nachshin v. AOL, LLC*, 663  
 9 F.3d 1034, 1036 (9th Cir. 2011).<sup>12</sup>

10 **The Center on Privacy & Technology at Georgetown Law** ("the Center") has a long  
 11 track record of researching and educating the public on the issues raised in this litigation, from  
 12 consumer privacy, to commercial tracking, to the technology of packet sniffing, to the Wiretap  
 13 Act. The Center proposes to use a *cy pres* award to hire a full-time Associate and a full-time  
 14 technologist, who would have responsibility for research, drafting, and distributing public  
 15 education materials focused on protecting consumer Internet and digital privacy. The Center also  
 16 proposes to fund an annual conference focused on elevating new research on consumer privacy  
 17 issues and educating policymakers and members of the public alike. *See Kodroff Decl.*, Exhibit  
 18 B.

19 **The Center for Digital Democracy** ("CDD") conducts research and outreach to serve as  
 20 an "early warning system" for threats from commercial surveillance on a spectrum of new and

21 \_\_\_\_\_  
 22 <sup>11</sup> While some of the *cy pres* proposals request an award of a specific dollar amount, Plaintiffs do  
 23 not at this time propose an allocation of the total *cy pres* money among the proposed recipients.  
 24 Plaintiffs intend to propose such an allocation in their final approval brief. *See Kodroff Decl.*,  
 25 Exhibit A, ¶ 32.

26 <sup>12</sup> Pursuant to this District's Guidance, Co-Lead Class Counsel identify the following  
 27 relationships with the ACLU: Lieff Cabraser filed a lawsuit with the ACLU and ACLU of  
 28 Michigan in 2012 against Morgan Stanley for violating federal civil rights laws by providing  
 strong incentives to a subprime lender to originate mortgages that were likely to be foreclosed on.  
 Cohen Milstein has co-counseled several cases with the ACLU or ACLU state-based affiliates.  
 For example, the firm recently filed a lawsuit with the ACLU of Maryland to stop the Prince  
 George's County Board of Education from charging fees for summer school, and with the ACLU  
 Women's Rights Project against AT&T for violating the Pregnancy Discrimination Act. Other  
 than this disclosure, Class Counsel are aware of no other relationship between the proposed *cy*  
*pres* recipients and the Plaintiffs or their counsel.



1 developing technologies. Notably, CDD was one of the first groups to raise public concerns  
2 about Street View Vehicles when the vehicles were initially launched. CDD proposes to use *cy*  
3 *pres* funds for a two-year research, outreach, and education project focused on emerging  
4 developments in the Big Data digital marketplace, to better understand next-generation  
5 technologies and services, and to raise awareness among consumers of their implications for  
6 privacy and security. *See* Kodroff Decl., Exhibit C.

7 **The Massachusetts Institute of Technology - Internet Policy Research Initiative**

8 (“IPRI”) was founded in 2015 as a response to the critical need for technology-informed policy  
9 making in the areas of privacy, security, networks and the Internet economy. Its mission is to  
10 lead the development of policy-aware, technically grounded research that enables policymakers  
11 and engineers to increase the trustworthiness of interconnected digital systems like the Internet  
12 and related technologies. IPRI proposes to use *cy pres* funds to launch a new MIT Privacy  
13 Education and Design Lab (PEDaL), which would develop new approaches to privacy education  
14 and research for computer scientists, software developers, product managers, engineers, and  
15 others (the software at issue in this litigation was developed by a Google engineer), to ensure that  
16 they are aware of potential privacy risks in their work. Through open source curriculum materials  
17 and online courseware, IPRI would make the materials available to faculty at universities around  
18 the world. By educating the next generation of scholars, technologists, and policymakers, IPRI  
19 would help alert them to potential privacy risks and ways to avoid them. *See* Kodroff Decl.,  
20 Exhibit D.

21 **The World Privacy Forum** (“WPF”), for more than eighteen years, has been a leading  
22 voice on behalf of consumers affected by the unconsented collection and sharing of consumer  
23 data, online and offline fraud, and invasions of health privacy, digital privacy, and privacy related  
24 to mobile devices and communications. WPF proposes to use *cy pres* funds to support long-  
25 running projects regarding the collection of digital information without consumers’ consent,  
26 including to fund WPF’s consumer data privacy education campaign, which provides consumers  
27 with objective, plain English advice on how to reduce their risk of privacy-related problems.  
28 WPF also proposes to fund direct counseling and support to victims. Further, WPF would fund



1 its ongoing research and best practices work addressing the collection and sale of personally  
2 identifiable information, including by providing guidance directly to industry participants through  
3 multi-stakeholder dialogues organized by standard-setting bodies and federal agencies. *See*  
4 Kodroff Decl., Exhibit E.

5 **Public Knowledge** (“PK”) was founded in 2001 to advocate for the public interest and  
6 consumer rights in universal access to nondiscriminatory broadband networks and access to  
7 knowledge online, and has since expanded its mission to encompass consumer protection, privacy,  
8 and competition issues related to online platforms and services. PK proposes to use *cy pres* funds  
9 to organize a stakeholder summit targeting development of comprehensive privacy legislation; to  
10 publish White Papers that generate pro-privacy incentives for companies and to educate the  
11 public; to conduct public information campaigns and mobilize consumers to direct their voices to  
12 policy makers; to create a privacy advocacy website that would contain direct action information  
13 and educational materials; and to fund a 1-2 year Privacy Fellow, who could focus full time on  
14 executing this privacy work and then move on to another position in the field as a privacy  
15 advocate. *See* Kodroff Decl., Exhibit F.

16 **The Rose Foundation for Communities and the Environment** is a non-profit  
17 organization that specializes in distributing *cy pres* funds for a wide range of charitable work that  
18 has a direct nexus with the class action settlement. The Rose Foundation utilizes its grant-making  
19 experience and deep knowledge of privacy issues and consumer education to conduct a public,  
20 competitive, and transparent national grant-making process designed to identify appropriate  
21 recipients whose work has a direct nexus to the interests of the class members and goals of the  
22 underlying litigation. The foundation’s Consumer Privacy Fund has previously administered  
23 more than \$6 million in privacy grants to more than 100 consumer privacy non-profits throughout  
24 the United States, funded by *cy pres* settlements in other privacy litigation. Advised by an expert  
25 funding board with extensive knowledge of privacy issues and organizations, the Rose  
26 Foundation proposes to use *cy pres* funds from this action to support further grant-making  
27 specifically tailored to the interests of the Class and the goals of this litigation. In addition to  
28 soliciting, reviewing, selecting, and administering funding of project proposals, the Rose

1 Foundation would contract with each grantee to allow for oversight and require detailed follow-  
 2 up reporting to ensure that promises made in the grant application are fulfilled to the best ability  
 3 of each grantee. *See* Kodroff Decl., Exhibit G.

4 **The American Civil Liberties Union Foundation, Inc.** (“ACLU”) consistently has been  
 5 at the forefront of precedent-setting privacy litigation (*see, e.g., U.S. v. Carpenter*) and also  
 6 engages in records requests, public education, advocacy before companies and internet standards-  
 7 setting bodies, and separately funded state and federal lobbying, to protect data privacy and  
 8 security throughout the United States. The ACLU proposes to use *cy pres* funding to hire and  
 9 fund specialized public interest attorneys who will focus on securing civil and privacy rights  
 10 related to data surveillance and artificial intelligence used by corporations and the government  
 11 with data collected from members of the public. *See* Kodroff Decl., Exhibit H.

12 **Consumer Reports, Inc.** (“CR”) has a ninety-year history of testing products to provide  
 13 consumers with unbiased information about the risks they face in the marketplace. In recent  
 14 years, CR has expanded its efforts to the digital marketplace, evaluating the privacy implications  
 15 of digital technologies to provide consumers with information about security and privacy risks  
 16 and further corporate accountability. CR proposes to use *cy pres* funds to support CR’s Digital  
 17 Lab, an initiative addressing data privacy and security issues faced by consumers in a marketplace  
 18 fueled by personal data, which support would enable CR to design and implement tests to rate  
 19 technology products, services, and platforms on their collection, use, and protection of consumer  
 20 data, and to educate and empower consumers and to galvanize the industry to bring better and  
 21 safer products and services to market. *See* Kodroff Decl., Exhibit I.

22 **E. Release**

23 In exchange for the relief described herein, and upon entry of a final order approving this  
 24 Settlement Agreement, Plaintiffs and Class Members will release all claims “arising out of or  
 25 related to the allegations in the [CCAC], including but not limited to the claims arising out of or  
 26 related to the allegations in the [CCAC] that have been asserted or could have been asserted’ by  
 27 Plaintiffs and the other Class Members. *See* Kodroff Decl., Exhibit A, ¶¶ 17, 46.<sup>13</sup>

28 <sup>13</sup> Pursuant to this District’s Procedural Guidance for Class Action Settlements, Plaintiffs advise

1 **F. Proposed Schedule of Events**

2 Consistent with the provisions of the Settlement Agreement, Plaintiffs respectfully  
3 propose the following schedule for the various Settlement events:

4 <b>Event</b>	<b>Date</b>
5 Notice of Settlement to be Disseminated	30 days after entry of the Court's Preliminary Approval Order
6 Deadline for Class Counsel's motions for final approval and for attorneys' fees, costs, and service awards.	45 days after the entry of the Court's Preliminary Approval Order.
7 Objection and Opt Out Deadline	60 days after Dissemination of Notice
8 Deadline for Parties to file a written response to any comment or objection filed by a class member	90 days after Dissemination of Notice
9 Notice Administrator affidavit of compliance with notice requirements	14 days before Final Approval Hearing
10 Final Approval Hearing	11 Not less than 130 days after entry of the Preliminary Approval Order, or as soon thereafter as is convenient for the Court

12  
13  
14 **IV. ARGUMENT**

15 In the Ninth Circuit, “[t]here is a strong judicial policy that favors settlements,  
16 particularly where complex class action litigation is concerned.” *In re Volkswagen “Clean Diesel” Mktg., Sales Practices, & Prod. Liab. Litig.* (“Volkswagen”), MDL No. 2672, 2017 WL  
17 672727, at \* 11 (N.D. Cal. Feb. 16, 2017) (Breyer J.) quoting *Allen v. Bedolla*, 787 F. 3d 1218,  
18 1223 (9th Cir. 2015) (Internal citation omitted). The Court’s role in determining whether to  
19 approve a proposed class action settlement includes evaluating a number of factors.

20  
21 *Footnote continued from previous page*

22 that the released claims differ from the claims asserted in the CCAC insofar as the Release  
23 applies to claims arising out of or relating to the allegations in the CCAC that could have been,  
24 but were not, asserted therein. The scope of the Release is consistent with governing standards in  
25 this Circuit. See e.g., *In re Anthem, Inc. Data Breach Litig.*, 327 F.R.D. 299, 327 (N.D. Cal.  
26 2018) (approving class settlement release of claims “related to or arising from any of the facts  
27 alleged in any of the Actions”); *Custom LED, LLC v. eBay, Inc.*, No. 12-350, 2013 WL 6114379  
28 (N.D. Cal. Nov. 20, 2013) (approving release of claims “arising out of or relating in any way to  
any of the legal, factual, or other allegations made in the Action, or any legal theories that could  
have been raised on the allegations of the Action.”). See also *Hesse v. Sprint Corp.*, 598 F.3d  
581, 590 (9th Cir. 2010) (claims appropriately included in scope of release can include any claim  
“based on the identical factual predicate as that underlying the claims in the settled class  
action.”); *Class Plaintiffs v. City of Seattle*, 955 F.2d 1268, 1287 (9th Cir. 1992) (same, noting  
that released claims need not have been asserted or necessarily presentable in the underlying class  
action).

1 First, the United States Supreme Court recently noted in *Frank v. Gaos* that courts “‘have  
2 an obligation to assure [themselves] of litigants’ standing under Article III’” in the context of  
3 court approval of proposed class action settlements. 139 S. Ct. 1041, 1046 (2019) (quoting  
4 *DaimlerChrysler Corp. v. Cuno*, 547 U.S. 332, 340 (2006)). Article III standing requires that the  
5 Plaintiffs “must have (1) suffered an injury in fact, (2) that is fairly traceable to the challenged  
6 conduct of the defendant, and (3) that is likely to be redressed by a favorable judicial decision.”  
7 *Spokeo v. Robins*, 136 S. Ct. 1540, 1547 (2016) (citing *Lujan v. Defenders of Wildlife*, 504 U.S.  
8 555, 560-61 (1992)).

9 Next, in determining whether to grant preliminary approval of a class action settlement, a  
10 court must determine whether it “will likely be able to ... certify the class for purposes of  
11 judgment on the [settlement] proposal.” Fed. R. Civ. P. 23(e)(1)(B)(ii). The court is also  
12 required to determine whether it “will likely be able to ... approve the [settlement] proposal under  
13 Rule 23(e)(2)” at the final approval stage as “fair, reasonable, and adequate.” Fed. R. Civ. P.  
14 23(e)(1)(B)(i); *see* Fed. R. Civ. P. 23(e)(2).

15 Plaintiffs satisfy the Article III standing requirements. Further, as outlined below, it will  
16 be proper to certify the settlement class at the final approval stage pursuant to Rule 23(a) and  
17 Rule 23(b)(3). The proposed Settlement Agreement between the Parties—calling for a *cy pres*  
18 distribution of the settlement fund and injunctive relief—is fundamentally fair, adequate and  
19 reasonable pursuant to Rule 23(e)(2). Thus, this Court should grant preliminary approval of the  
20 class action settlement described herein and direct notice to the Class.

21 **A. Plaintiffs Have Alleged an Injury in Fact and Satisfy All Article III**  
22 **Requirements.**

23 Standing under *Spokeo* and *Gaos* is readily shown here. “[T]o establish injury in fact, a  
24 plaintiff must show that he or she suffered ‘an invasion of a legally protected interest’ that is  
25 ‘concrete and particularized’ and ‘actual or imminent, not conjectural or hypothetical.’” *Spokeo*,  
26 136 S. Ct. at 1548 quoting *Lujan*, 504 U.S. at 560. Specifically, “[f]or an injury to be  
27 ‘particularized,’ it must affect the plaintiff in a personal and individual way.” *Id.* at 1548. For an  
28 injury to be “concrete,” it “must be ‘*de facto*,’ that is, it must actually exist.” *Id.* at 1548.

1 “Intangible” injuries, such as privacy invasions, can satisfy Article III; the Supreme Court has  
2 confirmed “that intangible injuries can nevertheless be concrete.” *Id.* Furthermore, “[i]n  
3 determining whether an intangible harm constitutes injury in fact, both history and the judgment  
4 of Congress play important roles. . . . [I]t is instructive to consider whether an alleged intangible  
5 harm has a close relationship to a harm that has traditionally been regarded as providing a basis  
6 for a lawsuit in English or American courts. . . . In addition, because Congress is well positioned  
7 to identify intangible harms that meet minimum Article III requirements, its judgment is also  
8 instructive and important.” *Id.* at 1549.

9 Here, Plaintiffs suffered an injury in fact when Google invaded their legally protected  
10 privacy interest under the Wiretap Act.<sup>14</sup> A violation of the Wiretap Act exists when “any  
11 person...intentionally intercepts, endeavors to intercept, or procures any other person to intercept  
12 or endeavor to intercept, any wire, oral, or electronic communication.” 18 U.S.C. § 2511(1)(a).  
13 The prohibition outlined in the statute, and its accompanying private cause of action in 18 U.S.C.  
14 § 2520, reflect the considered judgment of Congress that intentional, nonconsensual interception  
15 of private communications is an invasion of the right to privacy. The statute defines the scope of  
16 the right to privacy consumers may expect, and provides a remedy.

17 Plaintiffs’ injuries in this case are precisely the harms Congress sought to remedy and  
18 prevent. For example, the Senate Judiciary Committee explained in its report recommending  
19 passage of the ECPA, which amended the Wiretap Act to apply to electronic communications,  
20 “the law must advance with the technology.... Privacy cannot be left to depend solely on physical  
21 protection, or it will gradually erode as technology advances.” S. Rep. 99-541, *reprinted in* 1986  
22 U.S.C.C.A.N. 3555, at 3559 (1986); *see also* H.R. Rep. No. 99-647, at 16-19 (1986) (stating that  
23 one of Congress’ goals in passing ECPA was to keep the privacy protection of electronic  
24 communications consistent with expectations arising from the Fourth Amendment). The Wiretap  
25 Act’s purpose is to protect private communications like those over Wi-Fi connections, using the  
26 Fourth Amendment’s privacy protections as a touchstone. Thus, Google is alleged to have done

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27 <sup>14</sup> At the pleading stage, standing is analyzed taking the allegations of the complaint as true. *See*  
28 *Spokeo*, 136 S. Ct. at 1547; *Warth v. Seldin*, 422 U.S. 490, 501-02 (1975).

1 precisely what the statute prohibits: it intentionally designed highly-sophisticated software that  
2 allowed it to reach into Plaintiffs' homes and intercept their electronic communications being sent  
3 or received, at that moment, over Wi-Fi connections, then collected, decoded, and stored these  
4 private communications on their servers. *See* CCAC, D. 54, ¶¶ 18-38. Plaintiffs and the  
5 proposed Class Members were injured because their privacy right was breached.

6 Furthermore, as with many privacy torts, a Wiretap violation lies in the *invasion* of a  
7 plaintiff's privacy, rather than in tangible, material harm flowing therefrom. *See* Restatement  
8 (Second) of Torts § 625B ("The intrusion itself makes the defendant subject to liability, even  
9 though there is no publication or other use of any kind of the photograph or information  
10 outlined."). Thus, Plaintiffs allege *substantive*, rather than *procedural*, violations of the Wiretap  
11 Act. Courts widely recognize that alleged ECPA violations give rise to Article III standing. *See*  
12 *Matera v. Google, Inc.*, No. 15-04062, 2016 WL 5339806, at \*13, 14 (N.D. Cal. Sept. 23, 2016)  
13 ("[T]he Wiretap Act . . . create[s] substantive rights to privacy in one's  
14 communications".... "[T]he Court concludes that the judgment of Congress and the California  
15 Legislature indicate that the alleged violations of Plaintiff's statutory rights under the Wiretap Act  
16 and CIPA constitute concrete injury in fact. This conclusion is supported by the historical  
17 practice of courts recognizing that the unauthorized interception of communication constitutes  
18 cognizable injury."); *Rackemann v. LISNR, Inc.*, No. 17-00624, 2017 WL 4340349, at \*3-5 (S.D.  
19 Ind. Sept. 29, 2017) (Finding that the plaintiff "sufficiently identified as an injury the violation of  
20 his substantive interest in the privacy of his communications....[Thus, plaintiff had] standing to  
21 raise a challenge regarding violations of the Wiretap Act.").

22 Thus, Google's alleged Wiretap violations are concrete and particularized harms,  
23 historically rooted in the privacy torts traditionally protected in English and American Courts, and  
24 validated by the considered judgment of Congress. *See Spokeo*, 136 S. Ct. at 1549; *Van Patten v.*  
25 *Vertical Fitness Grp., LLC*, 847 F.3d 1037, 1042-43 (9th Cir. 2017). Plaintiffs clearly sustained  
26 an injury in fact when their electronic communications were allegedly intercepted by Google in  
27 violation of the Wiretap Act.

28 The other requirements of Article III causation and redressability are also met. Google is



1 alleged to have caused the harms at issue by intentionally designing and implementing the Google  
 2 Street View program to include interception of communications over Wi-Fi connections. *See*  
 3 CCAC, D. 54 ¶¶ 1-8. The injury is redressable by statute through monetary damages and  
 4 injunctive relief, as sought in the CCAC and obtained in the proposed Settlement Agreement.  
 5 Plaintiffs thus have fulfilled all requirements for Article III standing.

6 **B. The Court Will Be Able to Certify the Proposed Settlement Class.**

7 According to this Court,

8 Class certification is a two-step process. . . . The Settlement Class  
 9 Representatives must first satisfy Rule 23(a)'s four requirements:  
 10 (1) numerosity, (2) commonality, (3) typicality, and (4) adequacy of  
 11 representation. *See Fed. R. Civ. P. 23(a)*. “[C]ertification is proper  
 12 only if the trial court is satisfied, after a rigorous analysis, that the  
 prerequisites of Rule 23(a) have been satisfied[.]” . . . . The  
 Settlement Class Representatives must then establish that a class  
 action may be maintained under any of Rule 23(b)(1), (2), or (3).

13 *Volkswagen*, 2017 WL 672727, at \*12, quoting *Amchem Prod., Inc. v. Windsor*, 521 U.S. 591,  
 14 613 (1997); *Wal-Mart Stores, Inc. v. Dukes*, 564 U.S. 338, 350-51 (2011) (internal quotations  
 15 omitted).

16 Plaintiffs contend, and Google does not dispute, for settlement purposes only, that the  
 17 proposed class meets the requirements for class certification under Rule 23(a) and Rule 23(b)(3).

18 **1. The Requirements of Rule 23(a) Are Satisfied.**

19 **a. Numerosity Is Satisfied.**

20 The numerosity requirement is satisfied when the class is “so numerous that joinder of all  
 21 parties is impracticable.” *Id.* quoting Rule 23(a)(1). While there is no fixed rule, numerosity is  
 22 generally presumed when the potential number of class members reaches forty. *See Jordan v.*  
 23 *County of Los Angeles*, 669 F.2d 1311, 1319 (9th Cir. 1982), *vacated on other grounds*, 459 U.S.  
 24 810 (1982). “Where ‘the exact size of the class is unknown, but general knowledge and common  
 25 sense indicate that it is large, the numerosity requirement is satisfied.’” *In re Abbot Labs. Norvir*  
 26 *Anti-trust Litig.*, No. 04-1511, 2007 WL 1689899 at \*6 (N.D. Cal. June 11, 2007) quoting ALBA  
 27 CONTE & HERBERT B. NEWBERG, *NEWBERG ON CLASS ACTIONS* §3.3 (4<sup>TH</sup> ED. 2002).

28 Here, numerosity is readily established because Google’s conduct involved numerous cars

1 driving house to house through densely populated cities and other areas for three years.  
 2 Discovery has revealed that the Street View data includes up to 297,758,782 payload data frames.  
 3 See Kodroff Decl., ¶13. Even assuming multiple data frames may have been acquired from the  
 4 same wireless network device, Class Members likely number in the tens of millions and easily  
 5 satisfy the numerosity requirement.<sup>15</sup>

6 **b. Commonality Is Satisfied.**

7 Rule 23(a)(2) requires that there be one or more questions common to the class. See  
 8 *Hanlon v. Chrysler Corp.*, 150 F.3d 1011, 1018 (9th Cir. 1998). Plaintiffs “need only show the  
 9 existence of a common question of law or fact that is significant and capable of classwide  
 10 resolution.” *In re Yahoo Mail Litig.*, 308 F.R.D. 577, 592 (N.D. Cal. 2015) (citations omitted).  
 11 Furthermore, “[t]he existence of shared legal issues with divergent factual predicates is  
 12 sufficient, as is a common core of salient facts coupled with disparate legal remedies within the  
 13 class.” *Volkswagen*, 2017 WL 672727, at \*12 quoting *Hanlon*, 150 F.3d at 1019.

14 Here, Plaintiffs readily meet this standard, as several significant common questions of law  
 15 and fact exist, including the following:

- 16 (a) Whether Google “intercepted” the “contents” of “electronic  
 17 communications” within the meaning of the Wiretap Act;  
 18 (b) Whether any interception was “intentional” within the meaning of the  
 19 Wiretap Act; and  
 20 (c) Whether payload data transmitted over unencrypted wireless networks is  
 21 “readily accessible to the general public” within its ordinary meaning.

22 All Class Members’ claims will be resolved by answering these same legal questions.  
 23 Indeed, Class Members’ claims arise from a common course of alleged conduct: that Google  
 24 intentionally intercepted their electronic communications sent or received on Wi-Fi connections.  
 25 See *Volkswagen*, 2017 WL 672727, at \*12 (Finding commonality satisfied where the class

26 <sup>15</sup> The Canadian government issued a report stating that “Google estimates that it collected over 6  
 27 million BSSIDs [network names] over the period its Street View cars drove throughout Canada.”  
 28 This indicates that about 6 million persons/entities in Canada had their data captured by Google.  
 The U.S. population is nearly ten times Canada’s, providing further evidence that the Class  
 includes millions of members.



1 representative claims “arise from Volkswagen’s common course of conduct.”). Thus,  
2 commonality is satisfied.

3 **c. Typicality Is Satisfied**

4 The typicality requirement is satisfied when the “representative parties’ claims [are]  
5 ‘typical of the claims or defenses of the class.’” *Volkswagen*, 2017 WL 672727, at \*13 quoting  
6 Rule 23 (a)(3). “Typicality ‘assure[s] that the interest of the named representative aligns with the  
7 interests of the class.’” *Id.* quoting *Wolin v. Jaguar Land Rover N. Am., LLC*, 617 F.3d 1168,  
8 1175 (9th Cir. 2010) (citation and quotations omitted). Specifically, “‘representative claims are  
9 ‘typical’ if they are reasonably coextensive with those of absent class members; they need not be  
10 substantially identical.’” *Id.* quoting *Parsons v. Ryan*, 754 F.3d 657, 685 (9th Cir. 2014) (citation  
11 and quotations omitted). “The test of typicality ‘is whether other members have the same or  
12 similar injury, whether the action is based on conduct which is not unique to the named plaintiffs,  
13 and whether other class members have been injured by the same course of conduct.’” *Id.* quoting  
14 *Hanon v. Dataproducts Corp.*, 976 F.2d 497, 508 (9th Cir. 1992) (citation omitted).

15 Here, Plaintiffs’ claims stem from the same course of conduct as the claims of the Class  
16 Members. As alleged, Plaintiffs and the Class Members all had their electronic communications,  
17 sent or received over unencrypted Wi-Fi connections, intentionally intercepted by Google in  
18 violation of 18 U.S.C. § 2511, *et. seq.* Plaintiffs’ claims are based on the same pattern of  
19 wrongdoing as those brought on behalf of Class Members. Thus, they all are alleged to have  
20 suffered the same injury. *See Volkswagen*, 2017 WL 672727, at \*13.

21 **d. Adequacy of Representation Is Satisfied.**

22 The adequate representation requirement is satisfied when “the representative party [is]  
23 able to ‘fairly and adequately protect the interests of the class.’” *See Volkswagen*, 2017 WL  
24 672727, at \*13 quoting Rule 23(a)(4). “This requirement is rooted in due-process concerns—  
25 ‘absent class members must be afforded adequate representation before entry of a judgment  
26 which binds them.’” *Id.* quoting *Radcliffe v. Experian Info. Sols. Inc.*, 715 F.3d 1157, 1165 (9th  
27 Cir. 2013) (Internal citation omitted). Furthermore, “[c]ourts engage in a dual inquiry to  
28 determine adequate representation and ask: ‘(1) do the named plaintiffs and their counsel have

1 any conflicts of interest with other class members and (2) will the named plaintiffs and their  
2 counsel prosecute the action vigorously on behalf of the class?” *Id.* quoting *Hanlon*, 150 F.3d at  
3 1020. (Internal citation omitted).

4 First, Class Counsel have extensive experience litigating and settling class actions,  
5 including consumer cases throughout the country. *See* Firm Resumes of SRK and CMST  
6 attached as Kodroff Decl., Exhibits K and L, respectively. The Firm Resume for LCHB can be  
7 accessed at [https://www.lieffcabraser.com/pdf/Lieff\\_Cabraser\\_Firm\\_Resume.pdf](https://www.lieffcabraser.com/pdf/Lieff_Cabraser_Firm_Resume.pdf). At the outset  
8 of the MDL, as part of a competitive application process, the Court chose Lead Counsel and  
9 Liaison Counsel due to their qualifications, experience, and commitment to the successful  
10 prosecution of this case. The criteria that the Court considered in appointing Lead and Liaison  
11 Counsel were substantially similar to the considerations set forth in Rule 23(g). *See, e.g.,*  
12 *Clemens v. Hair Club for Men, LLC*, No. 15-01431, 2016 WL 1461944, at \*2 (N.D. Cal. Apr. 14,  
13 2016); Order of October 8, 2010, D. 47. Indeed, Class Counsel have vigorously litigated this  
14 action and had sufficient information at their disposal before entering into settlement negotiations,  
15 which allowed Class Counsel to adequately assess the strengths and weaknesses of Plaintiffs’  
16 case and balance the benefits of settlement against the risks of further litigation. *See* Kodroff  
17 Decl., ¶ 30. Thus, Class Counsel have fairly and adequately protected the interests of all  
18 Settlement Class Members, and will continue to do so.

19 Second, the named Plaintiffs’ interests are aligned with, and are not antagonistic to, the  
20 interests of the other Class Members. Specifically, the Plaintiffs and the Settlement Class  
21 Members are equally interested in obtaining relief for Google’s violations of the Wiretap Act, and  
22 for ensuring that Google refrains from any future intentional interceptions of their private Wi-Fi  
23 communications in violation of the ECPA. *See Hanlon*, 150 F.3d at 1021 (adequacy satisfied  
24 where “each...plaintiff has the same problem.”).

25 Accordingly, Plaintiffs and Class Counsel have fairly and adequately protected the  
26 interests of all Settlement Class Members, and will continue to do so.

27 **2. Class Certification Is Appropriate Under Rule 23(b)(3).**

28 Class certification pursuant to Rule 23(b)(3) requires that “the court finds [1] that the

1 questions of law or fact common to class members predominate over any questions affecting only  
2 individual members, and [2] that a class action is superior to other available methods for fairly  
3 and efficiently adjudicating the controversy[.]” *See Volkswagen*, 2017 WL 672727, at \*13  
4 quoting Rule 23(b)(3).

5 a. **Common Questions of Law or Fact Predominate Over**  
6 **Individual Issues.**

7 “The ‘predominance inquiry tests whether proposed classes are sufficiently cohesive to  
8 warrant adjudication by representation’” and requires “courts to give careful scrutiny to the  
9 relation between common and individual questions in a case.” *Tyson Foods, Inc. v. Bouaphakeo*,  
10 136 S. Ct. 1036, 1045 (2016) (citation omitted). Predominance is found “[w]hen common  
11 questions present a significant aspect of the case and they can be resolved for all members of the  
12 class in a single adjudication[.]” *Hanlon*, 150 F.3d at 1022 (internal quotations omitted);  
13 *Volkswagen*, 2017 WL 672727, at \*14.

14 Here, common questions predominate because there are few, if any, individualized factual  
15 issues, and because the core facts involve Google’s uniform conduct that allegedly harmed all  
16 Class Members. Specifically, Plaintiffs allege that Google intentionally intercepted their and the  
17 other Class Members’ electronic communications during transmission over Wi- Fi connections,  
18 and this conduct is a violation of the Wiretap that uniformly injured Plaintiffs’ and the other Class  
19 Members’ legally protected privacy interests. Thus, Google engaged in the same alleged illegal  
20 conduct in violation of the Wiretap Act “in the same manner against all Class Members.” *Id.*  
21 Class Members’ injury would also be established through common proof. Had Plaintiffs  
22 prevailed on summary judgment or at trial, the Court would have been authorized to assess  
23 damages for each Class Member of \$10,000. *See* 18 U.S.C. § 2520(a). Common questions  
24 would predominate with respect to each Class Member’s entitlement to the statutory damages  
25 award because the fundamental questions turn on Google’s conduct, not the individual’s.  
26 Because Google’s alleged conduct applies “to all Class Members’ claims” and Plaintiffs allege “a  
27 common and unifying injury” as a result of Google’s alleged illegal conduct, the predominance  
28 requirement is met. *Volkswagen*, 2017 WL 672727, at \*14.

1                                   **b.     Class Treatment Is a Superior Method of Adjudication.**

2           Whether a class action is the superior method for the adjudication of claims “requires the  
3 court to determine whether maintenance of [the] litigation as a class action is efficient and  
4 whether it is fair.” *Volkswagen*, 2017 WL 672727, at \*14 quoting *Wolin*, 617 F.3d at 1175-76.  
5 Specifically, “[a] class action is the superior method for managing litigation if no realistic  
6 alternative exists.” *Valentino v. Carter-Wallace, Inc.*, 97 F.3d 1227, 1234-35 (9th Cir. 1996).  
7 Furthermore, a class action is superior where, as here, classwide litigation of common issues  
8 “reduce[s] litigation costs and promote[s] greater efficiency.” *Id.* at 1234.

9           Here, certification of the instant claims as a class action is the superior method of  
10 adjudication. First, there is no realistic alternative to a class action due to the size of the Class.  
11 Second, most members would find the cost of litigating individual claims to be prohibitive,  
12 especially considering the risk factors of the case. *See* Section IV.C.3.b., *infra*. Third, if  
13 individual lawsuits were asserted against Google, each Class Member “would be required to  
14 prove the same wrongful conduct to establish liability and thus would offer the same evidence.”  
15 This would also leave open “the possibility of inconsistent rulings and results.” *Volkswagen*,  
16 2017 WL 672727, at \*14.

17           Consequently, this Court will likely certify the proposed Settlement Class at final approval  
18 pursuant to Rule 23(b)(3).

19                                   **c.     The Proposed Settlement Is Fundamentally Fair, Adequate and Reasonable.**

20           Recent amendments to Rule 23, which took effect on December 1, 2018, “provide new  
21 guidance on the ‘fair, adequate, and reasonable’ standard at the preliminary approval stage.”  
22 *O’Connor v. Uber Techs., Inc.*, No. 13-03826, 2019 WL 1437101, at \*4 (N.D. Cal. Mar. 29,  
23 2019). Specifically, the amendments clarify that “preliminary approval should only be granted  
24 where the parties have ‘show[n] that the court will likely be able to ... approve the proposal under  
25 [the final approval factors in] Rule 23(e)(2)...” *Id.* quoting Rule 23(e)(1)(B) (emphasis in  
26 original). These factors take into account whether:

27                                   (A) the class representatives and class counsel have adequately  
28                                   represented the class;

1 (B) the proposal was negotiated at arm’s length;

2 (C) the relief provided for the class is adequate, taking into account:

3 (i) the costs, risks, and delay of trial and appeal;

4 (ii) the effectiveness of any proposed method of distributing  
5 relief to the class, including the method of processing class-  
6 member claims;

7 (iii) the terms of any proposed award of attorney’s fees,  
8 including timing of payment; and

9 (iv) any agreement required to be identified under Rule  
10 23(e)(3); and

11 (D) the proposal treats class members equitably relative to each  
12 other.

13 *Id.*, quoting Rule 23(e)(2). Here, the proposed Settlement, negotiated by competent counsel who  
14 vigorously represented the interests of the Class, meets the standards for preliminary approval.

15 **1. The Class Representatives and Class Counsel Have Adequately**  
16 **Represented the Class.**

17 As discussed in detail in Section IV.B.1.d., *supra*, the Plaintiffs’ interests are aligned with,  
18 and are not antagonistic to, the interests of the Class Members. Each of the Plaintiffs has  
19 remained committed to representing the proposed Class in this litigation since 2010, remaining  
20 available to and in touch with Class Counsel, and submitting information, declarations, and other  
21 evidence, including electronic devices for forensic imaging, as required to meet the needs of the  
22 Special Master and the jurisdictional discovery conducted in this action. *See* Kodroff Decl., ¶ 29.  
23 And Class Counsel, who have extensive experience litigating and settling consumer class actions  
24 throughout the country, have committed all necessary time, expertise, and resources to vigorously  
25 litigating this action for more than nine years. *See* Kodroff Decl., ¶ 28 and Exhibits K and L  
26 thereto.

27 **2. The Settlement Agreement Was Negotiated at Arm’s Length.**

28 This factor “examines...the means by which the parties arrived at settlement.”  
*Volkswagen*, 2017 WL 672727, at \*16 quoting *Sciortino v. PepsiCo, Inc.*, No. 14-00478, 2016  
WL 3519179, at \*4 (N.D. Cal. June 28, 2016) (internal quotations omitted). Specifically,

1 “[p]reliminary approval is appropriate if the proposed settlement is the product of serious,  
2 informed, non-collusive negotiations.” *Id.*

3 Here, Plaintiffs have conducted a meaningful investigation and analyzed and evaluated the  
4 merits of the claims made against Google, including having the benefit of the Court’s ruling on  
5 Google’s motion to dismiss, the Ninth Circuit opinion affirming that ruling, the Report of the  
6 Special Master, and the results of Jurisdictional Discovery. Furthermore, the Parties engaged in  
7 extensive arm’s length settlement negotiations, which spanned over 5 months and included a  
8 mediation session on February 1, 2018 before a respected and skilled mediator, which ultimately  
9 resulted in the proposed Settlement Agreement. *See* Kodroff Decl., ¶ 14. Thus, Plaintiffs had the  
10 necessary information to properly assess the value of the Class’s claims and the value of this  
11 Settlement Agreement to the Class. Based upon that analysis, and recognizing the substantial  
12 risks of continued litigation, Plaintiffs concluded that this settlement with Google is in the best  
13 interest of the Class Members.

14 Furthermore, there are no signs of collusion in the Settlement Agreement.<sup>16</sup> First, the key  
15 terms of the Settlement were negotiated with the assistance of a respected mediator, who  
16 witnessed and oversaw the vigorous and arm’s length nature of the negotiations. *See* Kodroff  
17 Decl., ¶ 14.

18 Second, given the risks in continuing litigation that threaten the Class with little or no  
19 relief, *see* Section IV.C.3.b., *infra*, the \$13 million *cy pres* settlement addresses these concerns by  
20 providing “the next best compensation use, *e.g.*, for the aggregate, indirect, prospective benefit of

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21 <sup>16</sup> Signs of collusion include:

- 22 (1) when counsel receive a disproportionate distribution of the  
23 settlement, or when the class receives no monetary distribution  
24 but class counsel are amply rewarded, (2) when the parties negotiate  
25 a “clear sailing” arrangement providing for the payment of attorneys’  
26 fees separate and apart from class funds, which carries “the  
27 potential of enabling a defendant to pay class counsel excessive fees  
28 and costs in exchange for counsel accepting an unfair settlement on  
behalf of the class”; and (3) when the parties arrange for fees not  
awarded to revert to defendants rather than be added to the class fund[.]

*Volkswagen*, 2017 WL 672727, at \*15; *In re Bluetooth Headset Prod. Liab. Litig.*, 654 F.3d 935,  
947 (9th Cir. 2011).

1 the Class.” *Nachshin*, 663 F.3d at 1038. (Internal citations and quotations omitted).

2 Third, Class Counsel will not receive a disproportionate distribution of the Settlement  
3 funds.<sup>17</sup> The Settlement leaves the amount of Class Counsel’s fee entirely in the discretion of the  
4 Court and under Plaintiffs’ proposed schedule, their fee petition will be filed well before the  
5 deadline for objections, thus providing the Class with a full opportunity to object. And there is no  
6 suggestion of collusion given that the named Plaintiffs also will not receive a disproportionate  
7 share of the recovery. The settlement leaves the amount of any plaintiff service awards to the  
8 discretion of this Court.<sup>18</sup> Plaintiffs’ request for service awards will be made together with the  
9 request for attorneys’ fees, affording Class Members ample time to object.

10 Fourth, the Settlement Agreement does not create a “clear sailing” arrangement, as  
11 reasonable attorneys’ fees will be paid only upon Court approval of Plaintiffs’ petition, and  
12 Google has reserved all rights to contest the amount of Plaintiffs’ fee request. *See generally*  
13 Exhibit A.

14 Fifth, no portion of the \$13 Settlement Amount will revert back to Google. According to  
15 the Settlement Agreement, “[o]ther than via termination or rescission as described in this Section,  
16 in no event shall any portion of the Settlement Fund revert to Google.” *See Kodroff Decl.*,  
17 Exhibit A, ¶ 53.

18 **3. The Meaningful, Well-Tailored Relief Provided for the Class Is**  
19 **Adequate and Appropriate for This Case.**

20 The Settlement represents a strong result for the Class. The injunctive relief and  
21

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22 <sup>17</sup> Pursuant to this District’s Procedural Guidance for Class Action Settlements, Class Counsel  
23 anticipate a request for attorneys’ fees of no more than 25% of the \$13 million Settlement  
24 Amount, plus a request for reimbursement expenses. A fee petition will be filed with the Court  
25 well in advance of the objection deadline and the Long Form Notice will inform the Class  
26 Members of the prospective attorney fee and expense request, thus providing the Class with a full  
27 opportunity to object. Thus far in this Action, SRK has expended 3,505.35 hours, and has a  
28 lodestar of \$1,815,054.50 and costs of \$250,988.19. CMST has expended 2,820.40 hours, and  
has a lodestar of \$2,006,816.35 and costs of \$323,698.37. LCHB has expended 1,724.70 hours,  
and has a lodestar of \$1,114,113.50 and costs of \$141,272.20. *See Kodroff Decl.*, ¶¶ 25-27.  
Thus, the ultimate award of attorneys’ fees in this action will result in a negative multiplier.

<sup>18</sup> Plaintiffs anticipate requesting service awards of up to \$5,000 for each of the eighteen Plaintiffs  
named in the CCAC who participated in jurisdictional discovery, and up to \$500 for each of the  
three Plaintiffs named in the CCAC who did not participate.



1 corrected practices components of the Settlement Agreement are meaningful provisions that  
2 provide direct benefits to Class members, as well as the public, by protecting their privacy rights  
3 and interests. *See* Section III.B, *supra*. The Court will have ongoing jurisdiction to enforce  
4 compliance with these provisions. *See* Kodroff Decl., Exhibit A, ¶ 44. Moreover, the Court  
5 should grant preliminary approval because the proposed *cy pres* awards account for the nature of  
6 Plaintiffs’ lawsuit, the objectives of the Wiretap Act, and the interests of the silent Class Members,  
7 and because analysis of the Rule 23(e)(2)(C)(i)-(iv) shows that the relief provided for the Class is  
8 fair, reasonable and adequate, supporting the conclusion that the Court will likely grant final  
9 approval.

10 a. **The *Cy Pres* Awards Relate to the Nature of Plaintiffs’ Lawsuit,**  
11 **the Objectives of the Wiretap Act, and the Interests of the**  
12 **Absent Class Members.**

13 With respect to class action settlements that provide for a *cy pres* remedy, “[t]he district  
14 court’s review...is not substantively different from that of any other class-action settlement,” with  
15 one exception. *Lane*, 696 F.3d at 819-820. In the Ninth Circuit “*cy pres* awards [must] meet a  
16 ‘nexus’ requirement by being tethered to the objectives of the underlying statute and the interests  
17 of the silent class members.” *In re Google Referrer Header Privacy Litig.*, 869 F.3d 737, 743  
18 (9th Cir. 2017) (*vacated and remanded on other grounds by Gaos*, 139 S. Ct. 1041), citing  
19 *Nachshin*, 663 F.3d at 1039. This requirement is satisfied by ensuring that the *cy pres* remedy  
20 ‘account[s] for the nature of the plaintiffs’ lawsuit, the objectives of the underlying statutes, and  
21 the interests of the silent class members....” *Lane*, 696 F.3d at 819-820 quoting *Nachshin*, 663  
22 F.3d at 1036.

23 Here, the proposal that funds be distributed to each potential *cy pres* recipient complies  
24 with the directives from the Ninth Circuit, because the funds will be used to promote the  
25 protection of Internet privacy. This will be achieved in three ways.

26 First, because the basis of Plaintiffs’ claim under the Wiretap Act is that Google  
27 intentionally intercepted Plaintiffs’ and the other Class Members’ private electronic  
28 communications, each approved *cy pres* recipient will commit to instituting a program that aims  
to educate Internet users on how to protect their privacy on the Internet, such as through network



1 encryption.

2 Second, some approved *cy pres* recipients will also pursue programs designed to ensure an  
3 internet policy environment that is more protective of consumers' privacy. Thus, Plaintiffs and  
4 Class Members will benefit from these programs, which seek to better protect them from having  
5 their private electronic communications intercepted again.

6 Third, some approved *cy pres* recipients will institute programs to educate the next  
7 generation of computer programmers and software engineers on the importance of Internet  
8 privacy and make them more sensitive to these issues. These programs are aimed at preventing  
9 future conduct tied to the allegations in this case—the development and use of software to  
10 intercept private communications from unsuspecting Internet users.

11 **b. The Costs, Risks, and Delay from Trial and Appeal Show that**  
12 **the Recovery Contained in the *Cy Pres* Settlement Is Adequate.**

13 Although Plaintiffs are confident in the strength of their claims under the Wiretap Act, and  
14 their ability to ultimately prevail at trial, they nevertheless recognize that this novel and  
15 precedent-setting litigation is inherently risky. Given the substantial recovery obtained for the  
16 Class, and the uncertainties that would accompany continued litigation, there is little question that  
17 the proposed *cy pres* settlement provides an adequate remedy on behalf of the Class Members.

18 First, there is a risk that Google might prevail in motion practice, at trial, or on appeal,  
19 resulting in substantial delay or no relief for Class Members. For instance, if the litigation were  
20 to proceed, Google likely would raise multiple defenses to seek to avoid liability under the  
21 Wiretap Act, including the filing of a motion for summary judgment on the ground that the  
22 intercepted electronic communications were “readily accessible to the general public,” within its  
23 ordinary meaning, and thus lawfully intercepted. While Plaintiffs believe they would prevail on  
24 any such motion, success is not guaranteed. *See Rodriguez v. W. Publ'g Corp.*, 563 F.3d 948, 966  
25 (9th Cir. 2009) (noting that the elimination of “[r]isk, expense, complexity, and likely duration of  
26 further litigation” weighed in favor of approving settlement).

27 Second, this Court has interpreted the Wiretap Act to limit the Court's discretion to a  
28 choice between awarding damages in the full statutory amount of \$10,000 (per Class Member) or

1 awarding nothing at all. *See Campbell v. Facebook Inc.*, 315 F.R.D. 250, 268 (N.D. Cal. 2016),  
2 quoting *DirecTV, Inc. v. Huynh*, No. 04-3496, 2005 WL 5864467, at \*6 (N.D. Cal. May 31, 2005)  
3 (Breyer J.), *aff'd* 503 F.3d 847 (9th Cir. 2007) (“the ECPA ‘makes the decision of whether or not  
4 to award damages subject to the court’s discretion.’” . . . . “Such discretion is clear from the statute,  
5 which was amended in 1986 to state that the court ‘may’ award damages, rather than stating that  
6 it ‘shall’ award damages. However, the court’s discretion is limited to deciding whether to ‘either  
7 award the statutory sum or nothing at all,’ it ‘may not award any amount between those two  
8 figures.’”). Although Plaintiffs believe that Google’s conduct merits the award of full statutory  
9 damages, there is a risk that the Court may disagree and award no damages.

10 Third, the passage of time has created another risk that supports the adequacy of this  
11 settlement. The Class Period encompasses Google’s interception of Class Members’ electronic  
12 communications between January 1, 2007 and May 15, 2010. By the time of trial, memories of  
13 key witnesses may have faded. And the information in the data intercepted by Google that could  
14 identify Class Members, such as individual Wi-Fi router information, will no longer be current as  
15 to some Class Members. This presents potential challenges to distributing a recovery to these  
16 Class Members. *See Rodriguez*, 563 F.3d at 966 (noting that an “anticipated motion for summary  
17 judgment, and . . . [i]nevitable appeals would likely prolong the litigation, and any recovery by  
18 class members, for years,” which facts militated in favor of approval of settlement.).

19 Fourth, Google may argue that the Wiretap Act does not apply where it was a party to, or  
20 the intended recipient of, the intercepted communications pursuant to §2511(2)(d). Google’s  
21 position may be that its servers were the intended recipient of some of the communications that  
22 were collected, including Gmail messages, Google search queries, and communications made in  
23 connection with the use of other Google services, such as YouTube, Google Docs, Google Maps,  
24 and Google Blogger. This argument creates another risk that could reduce the number of Class  
25 Members who could recover.

26 The above risks, and others, which could result in the Class getting no relief or  
27 significantly less relief, when balanced against the proposed \$13 million *cy pres* recovery and the  
28

1 proposed injunctive relief, shows that the Settlement is more than adequate.<sup>19</sup>

2 c. **The Proposed Method of Distributing Relief on Behalf of the**  
 3 **Class Is Effective.**

4 The proposed *cy pres* awards are, by far, the most effective means of providing a benefit  
 5 to the Class. These distributions, guided by the objectives of the Wiretap Act, will meaningfully  
 6 benefit Class members by funding activities that are in their interest and that serve the goals of  
 7 this litigation. They meet the standards for preliminary approval. *See Dennis v. Kellogg Co.*, 697  
 8 F.3d 858 (9th Cir. 2012); *Nachshin*, 663 F.3d 1034.

9 In cases like this one, where individual class members cannot readily be identified and/or  
 10 individual distributions would not be economically viable, *cy pres* awards are widely viewed as  
 11 the best and most effective means of benefiting class members. *See Six (6) Mexican Workers v.*  
 12 *Ariz. Citrus Growers*, 904 F.2d 1301, 1305 (9th Cir. 1990) (“[F]ederal courts have frequently  
 13 approved [*cy pres*] in the settlement of class actions where the proof of individual claims would  
 14 be burdensome or distribution of damages costly”); American Law Institute, *Principles of the*  
 15 *Law of Aggregate Litigation* (2010) (“ALI”) § 3.07, cmt. b. Identifying the individual Class  
 16 Members associated with up to 297,758,782 frames of collected payload data would diminish, if  
 17 not exhaust, the settlement fund, leaving little to no money for direct payments once the costly  
 18 exercise was complete. *See Lane*, 696 F.3d at 821 (*cy pres* supported where “direct monetary  
 19 payments . . . would be infeasible given that each class member’s direct recovery would be *de*  
 20 *minimis*.”). Moreover, analysis of the intercepted data for just 18 named plaintiffs took more than  
 21 three years and involved the expenditure of considerable resources by both Parties and the Special

22 <sup>19</sup> The Ninth Circuit has stated that a district court is not required “to find a specific monetary  
 23 value corresponding to each of the plaintiff class’s statutory claims and compare the value of  
 24 those claims to the proffered settlement award. While a district court must of course assess the  
 25 plaintiffs’ claims in determining the strength of their case relative to the risks of continued  
 26 litigation . . . it need not include in its approval order a specific finding of fact as to the potential  
 27 recovery for each of the plaintiffs’ causes of action. Not only would such a requirement be  
 28 onerous, it would often be impossible—statutory or liquidated damages aside, the amount of  
 damages a given plaintiff (or class of plaintiffs) has suffered is a question of fact that must be  
 proved at trial.” *Lane*, 696 F.3d at 823. Nonetheless, pursuant to this District’s Procedural  
 Guidance for Class Action Settlements, Plaintiffs advise that the potential class recovery, if the  
 litigation Class had achieved certification and Plaintiffs had prevailed on their Wiretap Act claims  
 was likely either \$0 or \$10,000 in statutory damages per Class Member, at the discretion of this  
 Court. *See* 18 U.S.C. § 2520(a); *DiracTV*, 2005 WL 5864467, at \*6.

1 Master. *See* D. 123; 138-3. Putting aside cost considerations, identifying tens of millions of  
2 Class Members could take many more months, if it could ever be accomplished. In these unique  
3 circumstances, *cy pres* is the best way to ensure that Class Members benefit from the Settlement  
4 and that the goals of the litigation are met.

5 Indeed, the *cy pres* doctrine is intended to ensure that the kinds of administrative hurdles  
6 to identifying and compensating Class Members present here do not hinder a settlement from  
7 achieving the purposes of the litigation or Rule 23. In addition to compensatory objectives, those  
8 include access to justice, disgorgement by the defendant, and deterring future similar conduct.  
9 *See* ECPA, 18 U.S.C. § 2511(c) (providing for disgorgement remedy); ALI, § 3.07, cmt. b (noting  
10 that without *cy pres*, defendants could retain the funds otherwise distributed to charities, and such  
11 an outcome “would undermine the deterrence function of class actions and the underlying  
12 substantive-law basis of the recovery”); *Six (6) Mexican Workers*, 904 F.2d at 1306 (“where the  
13 statutory objectives include enforcement, deterrence or disgorgement, the class action may be the  
14 “superior” and only viable method to achieve those objectives, even despite the prospect of  
15 unclaimed funds”); *Coneff v. AT & T Corp.*, 673 F.3d 1155, 1159 (9th Cir. 2012) (discussing  
16 deterrence as a “primary policy rationale for class actions”); Bartholomew, *Saving Charitable*  
17 *Settlements*, 83 FORDHAM L. REV. 3241, 3264 (2015) (explaining that “[*cy pres*] settlements  
18 provide greater process to justice than otherwise possible”). By enabling Google’s disgorgement  
19 of the Settlement Amount, the proposed *cy pres* awards further Rule 23’s and ECPA’s deterrence  
20 goals, and achieve a measure of justice for all Class Members.

21 Compensatory objectives are also furthered by the *cy pres* distributions. The privacy  
22 protections that will be achieved by funding the *cy pres* recipients’ work likely will provide  
23 greater and longer-lasting benefits to Class Members than would a minuscule sum of money (if  
24 any) distributed directly to them. *See Hughes v. Kore of Indiana Enter., Inc.*, 731 F.3d 672, 676  
25 (7th Cir. 2013) (“A foundation that receives \$10,000 can use the money to do something to  
26 minimize violations of the [relevant statute]; as a practical matter, class members each given  
27 \$3.57 cannot.”). Particularly in the context of modern privacy violations, where entities engaged  
28 in commerce at a nationwide scale can affect hundreds of millions of people through nationwide

1 data collection practices, where tangible damages can be difficult to prove, and class members  
2 can be difficult to identify, the work of privacy organizations on behalf of millions of diffuse  
3 victims is critical to the continued vindication of the privacy rights that Congress sought to  
4 protect through the ECPA.

5 **d. Information About Past Distributions in Comparable Class**  
6 **Settlements Supports a Finding of Fairness, Reasonableness,**  
7 **and Adequacy.**

8 The Procedural Guidance requests information about Class Counsel's prior settlements  
9 involving the same or similar clients, claims, and/or issues. Three recent settlements involving  
10 privacy litigation, two of which settled claims under the Wiretap Act, further demonstrate that the  
11 Settlement here is fair, adequate and reasonable.

12 In *Matera et al. v. Google LLC*, Lief Cabraser was Co-Lead Counsel for a putative class  
13 of non-Gmail users who alleged that Google violated the Wiretap Act, among other laws, by  
14 intercepting the contents of messages between class members and Gmail account holders. The  
15 settlement provided for a three-year injunction that bars Google from processing email content  
16 from non-Gmail users for advertising purposes. No. 15-4062, at D. 103. Notice to the estimated  
17 10 million class members was effectuated by publishing online banner ads on popular websites  
18 and establishing a dedicated settlement website, which resulted in more than 109 million  
19 impressions to internet users, and 602,693 clicks through to the settlement website. *Id.* at D. 96;  
20 D. 98-1. The settlement was granted final approval by Judge Lucy Koh of the Northern District  
21 of California on February 9, 2018. *Id.* at D. 103. The attorneys were awarded \$2.2 million in  
22 fees and \$51,421.93 for reimbursement of expenses. *Id.* Administrative costs were approximately  
23 \$123,500. *Id.* at D. 96-2. In exchange for the settlement relief, class members released claims for  
24 injunctive and declaratory relief consistent with certification of the settlement class under Federal  
25 Rule 23(b)(2). *Id.* at D. 102.

26 In *Campbell et al. v. Facebook, Inc.*, Lief Cabraser was Co-Lead Counsel for a certified  
27 litigation class of Facebook users who alleged that Facebook violated the Wiretap Act, among  
28 other laws, by intercepting the contents of messages that were sent over a Facebook messaging  
service. The settlement provided for confirmation of changes to Facebook's business practices

1 and implementation of changes to Facebook’s disclosures and Help Center materials regarding its  
2 scanning practices. No. 13-5996, at D. 227. Notice to the estimated 190 million class members  
3 was effectuated by publishing information about the settlement and fee request on Class  
4 Counsel’s public websites. *Id.* at D. 235. The settlement was granted final approval by Judge  
5 Phyllis Hamilton of the Northern District of California on August 18, 2017. *Id.*, at D. 252, and is  
6 currently pending resolution of an objector’s appeal to the Ninth Circuit. The attorneys were  
7 awarded \$3,236,304.69 in fees and \$653,695.31 for reimbursement of expenses. There were no  
8 separate administrative costs. *Id.* at D. 253. In exchange for the settlement relief, class members  
9 released claims for injunctive and declaratory relief only consistent with certification of the  
10 litigation and settlement class under Federal Rule 23(b)(2).

11 In *Perkins et al. v. LinkedIn Corp.*, Lief Cabraser was Co-Lead Counsel for a settlement  
12 class of LinkedIn users who alleged that LinkedIn violated California’s right of publicity statute  
13 (Cal. Civil Code § 3344), among other privacy laws, by inviting class members’ “contacts” to  
14 join LinkedIn’s social network via e-mails that appeared to be, but were not, sent by class  
15 members themselves. The settlement established a \$13 million settlement fund and provided for  
16 non-monetary relief, which included substantial changes to LinkedIn’s business practices to  
17 improve user control over invitation e-mails, and changes to LinkedIn’s disclosures. No. 13-4303,  
18 at D. 95. The settlement was granted final approval by Judge Lucy Koh of the Northern District  
19 of California on February 16, 2016. *Id.* at D. 134. Notice to the estimated 20.8 million class  
20 members was effectuated through an e-mail notice program and a dedicated settlement website,  
21 which resulted in submission of 441,161 valid claims for *pro rata* compensation, resulting in  
22 \$20.43 payments to each claiming class member, and the distribution of \$1,041,996.26 in funds  
23 from uncashed checks, in equal parts, to the *cy pres* recipients Access Now, Electronic Privacy  
24 Information Center, and Network for Teaching Entrepreneurship. *Id.* at D. 130; 134. The  
25 attorneys were awarded \$3.25 million in fees, inclusive of expenses. *Id.* at D. 134.  
26 Administrative costs were approximately \$716,750. *Id.* at D. 127-4.

27 This case will utilize a publication notice program similar to that employed in *Matera*, but  
28 significantly more robust in light of the heightened notice requirements for a Rule 23(b)(3)

1 settlement and release as compared to the Rule 23(b)(2) settlement in that case. *See Dukes*, 564  
 2 U.S. at 363 (“(b)(2) does not require that class members be given notice and opt-out rights”); *In*  
 3 *re Yahoo Mail Litig.*, No. 13-4980, 2016 WL 4474612, at \*5 (N.D. Cal. Aug. 25, 2016) (same).  
 4 Consideration of recent similar settlements under the Wiretap Act on behalf of multi-million-  
 5 person classes, each of which also represents a strong result for consumers, further supports the  
 6 Settlement’s fairness, adequacy and reasonableness.

7 e. **Any Award of Attorneys’ Fees Will Not Prevent the Court from**  
 8 **Finding that the Relief Provided to the Class Is Adequate.**

9 As stated above, Class Counsel anticipates a request for attorneys’ fees for no more than  
 10 25% of the \$13 million Settlement Amount, plus a request for reimbursement of expenses. *See*  
 11 footnote 17, *supra*. Because the relief obtained for the Class is adequate—considering the risks  
 12 of continuing litigation and the effectiveness of a *cy pres* settlement in this particular instance—a  
 13 request for attorney’s fees in this amount is justified. *See O’Connor*, 2019 WL 1437101, at \*14  
 14 (“In determining whether an attorneys’ fee award is justified, the Court must evaluate the results  
 15 obtained on behalf of the class.”). Under the schedule Plaintiffs have proposed, a fee petition will  
 16 be filed with the Court well in advance of the objection deadline, thus providing the Class with a  
 17 full and fair opportunity to object.

18 f. **There Are No Other Agreements Required to Be Identified**  
 19 **Under Rule 23(e)(3).**

20 Pursuant to Rule 23(e)(3), Plaintiffs state that there are no other agreements that would  
 21 modify any term of the Settlement Agreement.<sup>20</sup>

22 4. **The Settlement Agreement Treats Class Members Equitably Relative**  
 23 **to Each Other.**

24 The proposed injunctive relief and *cy pres* awards are designed, as detailed in Section  
 25 IV.C.3.a., *supra*, to benefit each Class Member alike by ensuring the destruction of the Street  
 26 View data, by protecting against future interceptions of their wireless communications, by

27 <sup>20</sup> Plaintiffs have an agreement, subject to Court approval, to retain A.B. Data to serve as the  
 28 Notice Administrator. Plaintiffs do not understand this type of agreement to be the subject of  
 Rule 23(e)(3)’s disclosure requirement.



1 educating Class Members and the general public on how to protect their privacy on the Internet,  
2 and by educating future software engineers, computer programmers, and other individuals who  
3 choose careers in information technology to become sensitive to Internet privacy. The *cy pres*  
4 awards are aimed at influencing these individuals to become safeguards of Internet privacy rather  
5 than exploiters of personal information communicated over the Internet. Moreover, all Class  
6 Members benefit from the deterrence achieved by the Settlement.

7 **D. The Court Should Approve the Proposed Program for Class Notice.**

8 If the Class is certified, “the court must direct to class members the best notice that is  
9 practicable under the circumstances, including individual notice to all members who can be  
10 identified through reasonable effort.” *Volkswagen*, 2017 WL 672727, at \*18 quoting Rule  
11 23(c)(2)(B). Indeed, “the express language and intent of Rule 23(c)(2) leave no doubt that  
12 individual notice must be provided to those class members who are identifiable through  
13 reasonable effort.” *Id.*, quoting *Eisen v. Carlisle & Jacquelin*, 417 U.S. 156, 175 (1974). Notice  
14 must also comport with the Due Process Clause of the U.S. Constitution. *See Hendricks v.*  
15 *StarKist*, No. 13-00729, 2015 WL 4498083, at \*8 (N.D. Cal. July 23, 2015) quoting *Philips*  
16 *Petroleum Co. v. Shutts*, 472 U.S. 797, 812 (1985) (internal citations omitted).

17 Here, Plaintiffs’ proposed method of providing the notice of the Settlement to the Class  
18 Members satisfies these requirements.

19 **1. The Proposed Method of Providing Notice Is the Best Notice**  
20 **Practicable Under the Circumstances.**

21 Because the proposed Class Members necessarily are Internet users and are electronically  
22 savvy enough to send and receive electronic communications, the method of providing the best  
23 practical notice to each potential Class Member is through the Internet. This is also the best  
24 method of providing notice given the potential size of the Class. And because the proposed  
25 Notice Program uses the Internet as its medium, the Program’s implementation can be measured  
26 in real-time and, if needed, adjustments to the placements can be made to meet the Program’s  
27 goals. Thus, the proposed Notice Program is appropriate for this specific Class, and would be  
28



1 executed as follows, subject to Court approval:<sup>21</sup>

2       **Settlement Website:** The Notice Administrator will create and maintain a Settlement  
3 Website that will go live within 30 days of the entry of an order granting preliminary approval.  
4 The Settlement Website will remain active until at least 30 days after the effective date of the  
5 Settlement Agreement. It will post the Consolidated Class Action Complaint, Settlement  
6 Agreement, Long Form Notice, Opt-Out Form, and *cy pres* proposals. It will notify Class  
7 Members of their rights to object or opt-out, inform Class Members that they should monitor the  
8 Settlement Website for developments, and notify Class Members that no further notice will be  
9 provided to them once the Court enters the Final Order and Judgment, other than updates on the  
10 Settlement Website. Furthermore, the Notice Administrator will establish an email account and  
11 P.O. Box to which Class Members may submit questions regarding the Settlement. The Notice  
12 Administrator will monitor the email account and P.O. Box and respond promptly to  
13 administrative inquiries from Class Members and may direct substantive inquiries to Class  
14 Counsel. *See* Kodroff Decl., Exhibit J, ¶¶ 14-15.

15       **Publication Notice:** Notice to Class Members will also include a comprehensive  
16 publication program that conforms to all applicable rules and guidelines. The proposed Notice  
17 Program includes a combination of digital advertisements on websites, social media, search  
18 engines, and a press release in English and Spanish. *See* Kodroff Decl., Exhibit J, ¶ 10. Notice  
19 will be provided via strategically designed banner ads appearing on mobile devices and social  
20 media newsfeeds. *See id.* at ¶ 11, and Kodroff Decl., Exhibit J-4. These digital ads will feature a  
21 graphic image, brief copy describing the litigation and links and directions to access the case-  
22 specific website. *See* Kodroff Decl., Exhibit J, ¶ 11. The more detailed Long Form will be  
23 available on the case-specific website. *See id.* and Kodroff Decl., Exhibit J-5. The Notice  
24 Administrator has determined that the digital banner ads will be executed through the Google  
25 Display Network, Instagram, Facebook (which includes a settlement-specific Facebook page),  
26 and Google AdWords/Search platforms. A minimum of 382.1 million impressions will be

27 <sup>21</sup> All costs associated with implementing the Notice Program, including the fees and the costs of  
28 the Notice Administrator, up to \$500,000, will be paid out of the Settlement Fund. *See* Kodroff  
Decl., Exhibit A, ¶ 41.

1 delivered. Utilizing the known demographics of the Class, the digital banner ads will be  
 2 specifically targeted to likely Class Members. *See* Kodroff Decl., Exhibit J, ¶ 12. The Notice  
 3 Administrator will also disseminate a news release via *PR Newswire* in English and Spanish.  
 4 This news release will be distributed to more than 10,000 newsrooms, including print, broadcast,  
 5 and digital media, across the United States. After the press release is disseminated, both A.B.  
 6 Data and *PR Newswire* will post a link to the press release on their respective Twitter pages. *See*  
 7 *id.* at ¶ 13. This Notice Program will deliver an estimated reach of 70% to the target audience.  
 8 *Id.* at ¶ 17.<sup>22</sup>

9                   2.       **The Contents of the Notice Are Clear and Appropriate and Should Be**  
 10                   **Approved.**

11                   The contents of the Proposed Long Form Notice satisfy the requirements of Rule 23  
 12 (c)(2)(B) because the notice “clearly and concisely” states:

13                               (i) the nature of the action; (ii) the definition of the class certified;  
 14                               (iii) the class claims, issues, or defenses; (iv) that a class member  
 15                               may enter an appearance through an attorney if the member so  
 16                               desires; (v) that the court will exclude from the class any member  
 17                               who requests exclusion; (vi) the time and manner for requesting  
 18                               exclusion; and (vii) the binding effect of a class judgment on  
 19                               members under Rule 23(c)(3).

17                   *Volkswagen*, 2017 WL 672727, at \*20 quoting Fed. R. Civ. P. 23(c)(2)(B). *See generally*  
 18                   Kodroff Decl., Exhibit J-5. Furthermore, the Notice Long Form “provides a summary of the  
 19                   Settlement and clearly explain[s] how Class Members may object to or opt out of the Settlement,  
 20                   as well as how Class Members may address the Court at the final approval hearing.”  
 21                   *Volkswagen*, 2017 WL 672727, at \*20; *see id.* quoting *Churchill Vill., L.L.C. v. Gen. Elec.*, 361  
 22                   F.3d 566, 575 (9th Cir. 2004) (“Notice is satisfactory if it generally describes the terms of the  
 23                   settlement in sufficient detail to alert those with adverse viewpoints to investigate and to come  
 24                   forward and be heard.”); *See generally* Kodroff Decl., Exhibit J-5.

25                   In sum, the Settlement Website and publication plan represent a cross section of media  
 26                   specifically chosen by the Notice Administrator to target likely Class Members and attain a wide

27                   <sup>22</sup> Google has agreed to cause notice of the Settlement Agreement to be served upon appropriate  
 28                   State and Federal officials as provided in the Class Action Fairness Act, 28 U.S.C. § 1715, at its  
 own expense. *See* Kodroff Decl., Exhibit A, ¶ 40.



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