



Office of Intelligence Policy and Review

Washington, D.C. 20530

April 27, 2001

MEMORANDUM FOR THE ATTORNEY GENERAL

THROUGH: THE ACTING DEPUTY ATTORNEY GENERAL

FROM: *Frances Fragos Townsend*
Frances Fragos Townsend
Counsel for Intelligence Policy

SUBJECT: Attorney General's Reports to Congress on FISA
(U)

Attached for your approval are **four semi-annual reports** that the Attorney General is required to submit to Congress relating to the Foreign Intelligence Surveillance Act (FISA), as amended, and the **annual public report** that the Attorney General is required to submit to the Administrative Office of the United States Courts and Congress on the operation of the FISA. (U)

Non-responsive/
out of scope

~~TOP SECRET//COMINT//NOFORN//X1 AND SECRET MATERIALS ATTACHED
UNCLASSIFIED WHEN ATTACHMENTS ARE REMOVED~~

~~Classified by: Frances Fragos Townsend, Counsel for
Intelligence Policy, Office of Intelligence
Policy and Review, United States Department
of Justice~~

~~Reason: 1.5(c)
Declassify on: X1~~

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Out of scope/non-responsive

Also, at the request of the staff of the Senate Select Committee on Intelligence, we are providing a statistical analysis and thumbnail sketches of U.S. person surveillances conducted pursuant to the pen register/trap and trace amendment to the Act. An original copy is being provided to each committee. (U)

Out of scope/non-responsive

The third semi-annual report (Tab C), covering applications for the use of pen registers and trap and trace devices, encompasses the period from July 1, 2000 through December 31, 2000, and is being provided to the House and Senate Intelligence Committees, as required by 50 U.S.C. § 1846. (U)

Out of scope/non-responsive

Letters of transmittal to the relevant committee chairmen are attached for your signature (Tab E). (U)

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Office of the Attorney General
Washington, D.C. 20530

APR 27 2001

The Honorable Orrin G. Hatch
Chairman, Committee on the Judiciary
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with Sections 1826, 1846, and 1863 of the Foreign Intelligence Surveillance Act of 1978, as amended, I am submitting herewith the semi-annual reports of the Attorney General concerning physical searches conducted under the Act, all requests for pen register and trap and trace surveillance, and all requests for access to certain business records under the Act. These reports cover the period July 1, 2000 through December 31, 2000.

Sincerely,

A handwritten signature in cursive script that reads "John Ashcroft".

John Ashcroft

~~SECRET MATERIALS ATTACHED
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Office of the Attorney General
Washington, D.C. 20530

APR 27 2001

The Honorable Richard Shelby
Chairman, Senate Select Committee on Intelligence
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

In accordance with Sections 1808(a), 1826, 1846, and 1863 of the Foreign Intelligence Surveillance Act of 1978, as amended, I am submitting herewith the semi-annual reports of the Attorney General concerning electronic surveillances and physical searches conducted under the Act, all requests for pen register and trap and trace surveillance, and all requests for access to certain business records under the Act. These reports cover the period July 1, 2000 through December 31, 2000.

Sincerely,

A handwritten signature in cursive script, appearing to read "John Ashcroft".

John Ashcroft

~~TOP SECRET//COMINT//NOFORN//X1 AND SECRET MATERIALS ATTACHED~~
~~UNCLASSIFIED WHEN ATTACHMENTS ARE REMOVED~~



Office of the Attorney General
Washington, D.C. 20530

APR 27 2001

The Honorable Porter Goss
Chairman, Permanent Select Committee on Intelligence
U.S. House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

In accordance with Sections 1808(a), 1826, 1846, and 1863 of the Foreign Intelligence Surveillance Act of 1978, as amended, I am submitting herewith the semi-annual reports of the Attorney General concerning electronic surveillances and physical searches conducted under the Act, all requests for pen registers and trap and trace surveillance, and all requests for access to certain business records under the Act. These reports cover the period July 1, 2000 through December 31, 2000.

Sincerely,

John Ashcroft

~~TOP SECRET//COMINT//NOFORN//X1 AND SECRET MATERIALS ATTACHED
UNCLASSIFIED WHEN ATTACHMENTS ARE REMOVED~~

~~TOP SECRET//COMINT//NOFORN//X1~~Section 1: Introduction (U)

This report is submitted pursuant to Sections 108(a) and 306 of the Foreign Intelligence Surveillance Act of 1978 (FISA or the Act), as amended, 50 U.S.C. §§ 1801-1811, 1821-1829. It covers all electronic surveillances and physical searches conducted under the Act by the Federal Bureau of Investigation (FBI), as well as electronic surveillances conducted by the National Security Agency (NSA), during the period July 1, 2000 through December 31, 2000. At the request of the staff of the Senate Select Committee on Intelligence, this report also covers pen register/trap and trace surveillance conducted pursuant to the Act, 50 U.S.C. §§ 1841-1846. (U)

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Out of scope/non-responsive

H. Pen Register/Trap and Trace Surveillance⁶ (U)

During 1999 and 2000, the FBI filed 18 applications with the FISC to conduct pen register/trap and trace surveillance, pursuant to 50 U.S.C. §§ 1841-1846. The FISC approved all 18 applications. Five of these applications were filed with the Court in 1999, and thirteen applications were filed with the Court in 2000. This report contains statistics regarding all individuals who are subjects of full FBI foreign counterintelligence investigations related to each application

⁶This report includes statistics and summaries of pen register/trap and trace surveillances conducted pursuant to the Act for calendar years 1999 and 2000. The next semi-annual report will include information describing pen register/trap and trace surveillances conducted during the period January 1, 2001 through June 30, 2001. (S)

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for pen register/trap and trace surveillance, and thumbnail sketches of all United States persons who are subjects of these related foreign counterintelligence investigations. ~~(S)~~

1. Applications Filed in 1999 (U)

The FBI filed five applications with the FISC seeking authorization to conduct pen register/trap and trace surveillance concerning four individuals who are targets of full FBI foreign counterintelligence investigations. The

(b) (1), (b) (7)(E)



~~(S)~~

2. Applications Filed in 2000 (U)

The FBI filed 13 applications with the FISC seeking authorization to conduct pen register/trap and trace surveillance concerning seven individuals who are targets of full FBI foreign counterintelligence investigations. The

(b) (1), (b) (7)(E)

^{2/}However, because the pen register/trap and trace amendment to the Act does not require the citizenship of the individuals to be pleaded, this number is not broken down into citizen/permanent resident alien/presumed U.S. person categories. ~~(S)~~

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3. Descriptions of the United States Person Subjects of Foreign Counterintelligence Investigations Related to the Pen Register/Trap and Trace Surveillances (C)

(b) (1), (b) (7)(E)
[Redacted]
(S)

(b) (1), (b) (7)(E)
[Redacted]
(S)

(b) (1), (b) (7)(E)
[Redacted]
(S)

(b) (1), (b) (7)(E)
[Redacted]
(S)

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(b) (1), (b) (7)(E)
[REDACTED]
(S)

(b) (1), (b) (7)(E)
[REDACTED]
(S)

(b) (1), (b) (7)(E)
[REDACTED]
(S)

(b) (1), (b) (7)(E)
[REDACTED]
(S)

(b) (1), (b) (7)(E)
[REDACTED]
(S)

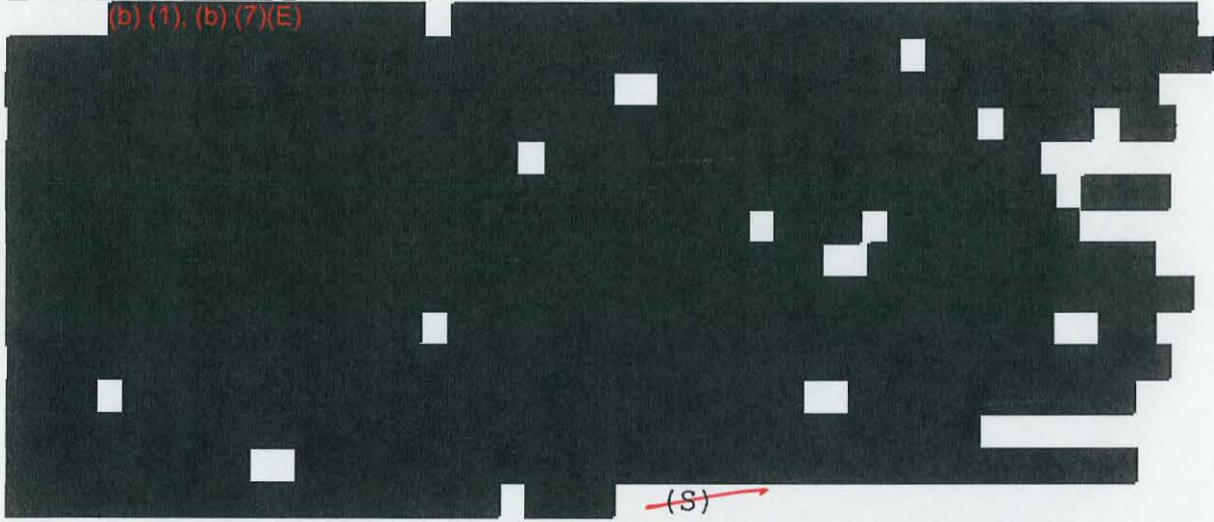
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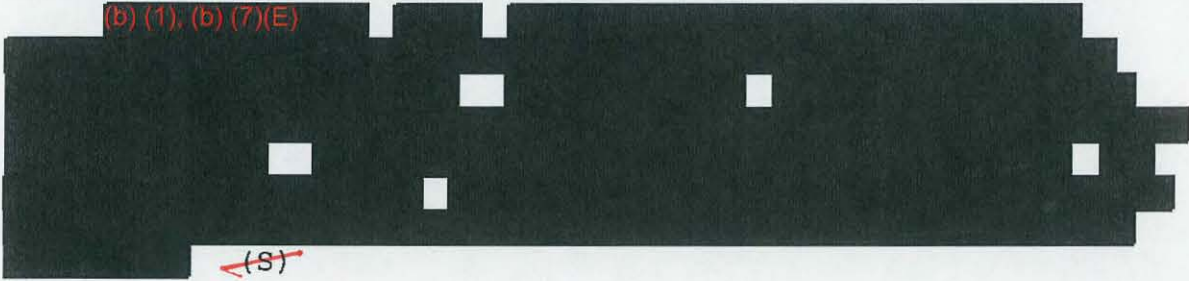
Incident 00-1-3: (U)

(b) (1), (b) (7)(E)



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(b) (1), (b) (7)(E)



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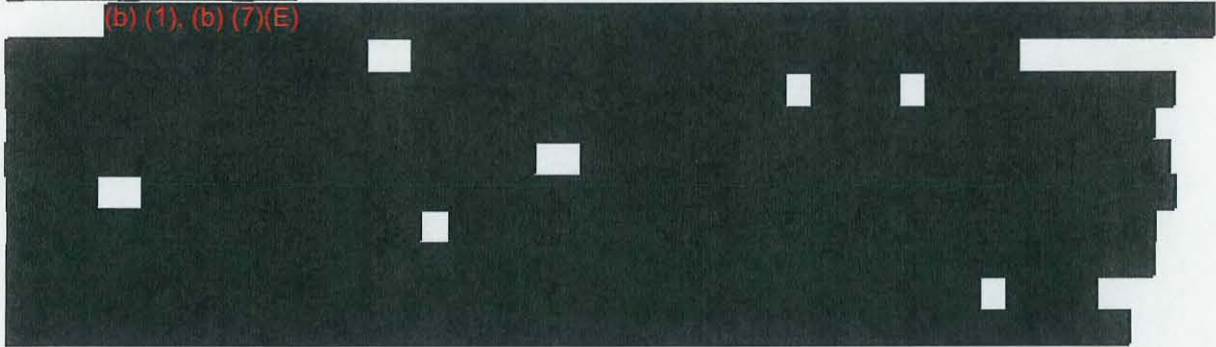
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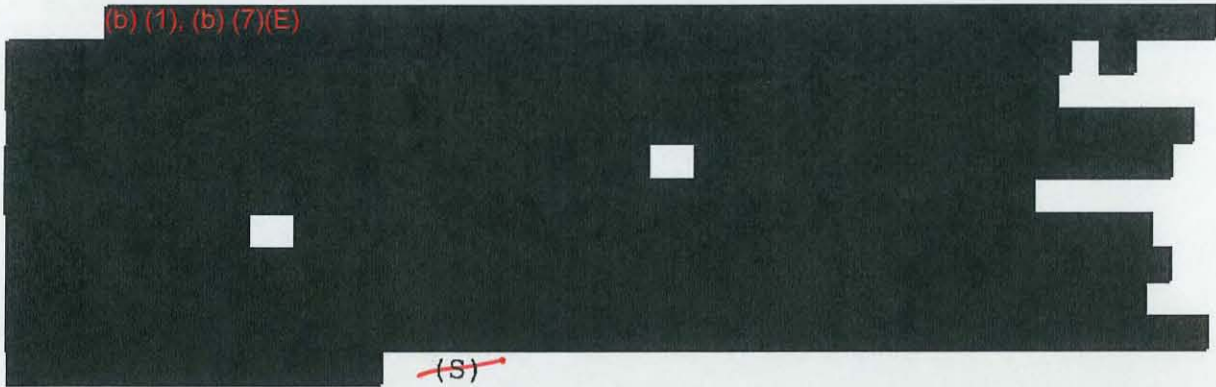
Incident 00-1-9: (U)

(b) (1), (b) (7)(E)



~~(S)~~

(b) (1), (b) (7)(E)



~~(S)~~

(b) (1), (b) (7)(E)



~~(S)~~

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(b) (1), (b) (7)(E)



~~(S)~~

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Incident 00-2-3: (U)
(b) (1), (b) (7)(E)

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(b) (1), (b) (7)(E) [Redacted] ~~(S)~~

(b) (1), (b) (7)(E) [Redacted] ~~(S)~~

Incident 00-2-4: (U)

(b) (1), (b) (7)(E) [Redacted] ~~(S)~~

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